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Instabilities of vortices

Barotropic instability of vortices

Baroclinic instability of vortices

Ageostrophic centrifugal instability

Instabilities of tropical cyclones

Instabilities in the laboratory experiments with 2-layer fluids

Chapter 5. Instabilities in Geophysical Flows. Part 2. Circular flows

V. Zeitlin

Cours GFD M2 MOCIS

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Vortex solutions in 1-layer RSW

RSW in polar coordinates (r, θ) in terms of radial and azimuthal components of velocity $\mathbf{v} = u \hat{r} + v \hat{\theta}$

$$\begin{cases} \frac{du}{dt} - \frac{v^2}{r} - fv = -g\partial_r h, \\ \frac{dv}{dt} + \frac{uv}{r} + fu = -g\frac{1}{r}\partial_\theta h, \\ \partial_t h + \frac{1}{r}\partial_r(hru) + \frac{1}{r}\partial_\theta(hv) = 0. \end{cases}$$
(1)

where $\frac{d}{dt} = \partial_t + u\partial_r + \frac{v}{r}\partial_{\theta}$. Any axisymmetric flow with velocity u = 0, v = V(r) and thickness h = H(r) in cyclo-geostrophic equilibrium

$$\left(\frac{V}{r}+f\right)V=g\partial_r H \tag{2}$$

is an exact solution. Isolated vortex : zero circulation at infinity.

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Scaling and vortex profile

Scaling :

 $\sqrt{gH_0}$ for velocity, $R_d = \frac{\sqrt{gH_0}}{f}$ for *r*, and 1/f for time, where H_0 is the non-perturbed thickness of the layer. Non-dimensional variables denoted by *.

Example of vortex profile

Alpha-Gaussian isolated vortices :

$$V^*(r^*) = \pm \epsilon r^* \frac{\alpha}{2} e^{\frac{(-r^{*\alpha}+1)}{2}}, \quad \alpha \ge 1.$$
 (3)

Positive sign - cyclones, negative sign - anticyclones. The corresponding profile of $H(r^*)$ is given by the primitive of the l.h.s. of (2) calculated with (3). Parameters α and ϵ control the steepness of the azimuthal velocity profile and the amplitude of the velocity, respectively.

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Linearised system

Small perturbation of the axisymmetric background flow :

$$\begin{cases} u(r,\theta,t) = u'(r,\theta,t), \\ v(r,\theta,t) = V(r) + v'(r,\theta,t), \\ h(r,\theta,t) = H(r) + h'(r,\theta,t). \end{cases}$$

Linearised non-dimensional equations :

$$\begin{cases} (\partial_{t^{*}} + \frac{V^{*}}{r^{*}} \partial_{\theta^{*}}) u^{*} - (1 + \frac{2V^{*}}{r^{*}}) v^{*} = -\partial_{r^{*}} \eta^{*}, \\ (\partial_{r^{*}} V^{*} + 1 + \frac{V^{*}}{r^{*}}) u^{*} + (\partial_{t^{*}} + \frac{V^{*}}{r^{*}} \partial_{\theta^{*}}) v^{*} = -\frac{1}{r^{*}} \partial_{\theta^{*}} \eta^{*}, \\ (\partial_{t^{*}} + \frac{V^{*}}{r^{*}} \partial_{\theta^{*}}) \eta^{*} + \left[H^{*} \partial_{r^{*}} + (\frac{1}{r^{*}} \partial_{r^{*}} r^{*} H^{*}) \right] u^{*} + \frac{1}{r^{*}} H^{*} \partial_{\theta^{*}} v^{*} = 0. \end{cases}$$

$$\tag{5}$$

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Linear stability problem

Normal-mode solutions : harmonic dependence on time and polar angle

$$(\boldsymbol{u}^*, \boldsymbol{v}^*, \boldsymbol{\eta}^*)(\boldsymbol{r}^*, \boldsymbol{\theta}^*, \boldsymbol{t}^*) = \boldsymbol{R}\boldsymbol{e}[(i\tilde{\boldsymbol{u}}, \tilde{\boldsymbol{v}}, \tilde{\boldsymbol{\eta}})(\boldsymbol{r}^*)\boldsymbol{e}^{i(l\boldsymbol{\theta}^* - \omega\boldsymbol{t}^*)}], \quad (6)$$

where I and ω are azimuthal wavenumber and frequency. Resulting eigen-problem :

$$\begin{bmatrix} \frac{lV^{*}}{r^{*}} & (1+\frac{2V^{*}}{r^{*}}) & -D_{r^{*}}\\ (1+\frac{V^{*}r^{*}}{r^{*}}+D_{r^{*}}V^{*}) & \frac{lV^{*}}{r^{*}} & \frac{l}{r^{*}}\\ H^{*}D_{r^{*}}+\frac{1}{r^{*}}D_{r^{*}}(r^{*}H^{*}) & \frac{lH^{*}}{r^{*}} & \frac{lV^{*}}{r^{*}} \end{bmatrix} \times \begin{bmatrix} \tilde{u}\\ \tilde{v}\\ \tilde{\eta} \end{bmatrix} = \omega \begin{bmatrix} \tilde{u}\\ \tilde{v}\\ \tilde{\eta} \end{bmatrix}$$
(7)

Solved numerically by pseudo-spectral collocation method, D_{r^*} Chebyshev differentiation. Complex eigenvalues $\omega = \omega_r + i\omega_I$ with $(\omega_I > 0) \Leftrightarrow$ instabilities with linear growth rate $\sigma = \omega_I$. Geophysical Fluid Dynamics

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Most unstable mode of a localised cyclone



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Nonlinear evolution of the geostrophic instability with l = 2



Evolution of PV anomaly of the cyclonic vortex with $\alpha = 4, \epsilon = 0.1061$ with superimposed unstable mode with azimuthal wavenumber l = 2.

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2-layer RSW in polar coordinates and vortex solutions

$$\begin{cases} \frac{\partial \boldsymbol{v}_i}{\partial t} + \boldsymbol{v} \cdot \nabla \boldsymbol{v}_i + \left(f + \frac{v_i}{r}\right) \hat{\boldsymbol{z}} \wedge \boldsymbol{v}_i + g \nabla (s^{i-1}h_1 + h_2) = 0, \\ \frac{\partial h_i}{\partial t} + \nabla \cdot (h_i \boldsymbol{v}_i) = 0, \quad i = 1, 2. \end{cases}$$
(8)

 $\mathbf{v}_i = (u_i, v_i)$ is velocity in layer *i* counted from the top, h_i is thickness of the layer *i*, $\mathbf{s} = \rho_1/\rho_2 < 1$, $d = H_1/H_2$, and H_i - thickness of the layer *i* at rest. Scaling is the same as in the one-layer case, with $H_0 = H_1 + H_2$. Stationary solutions (non-dimensional, same scaling as before) : cyclo-geostrophic equilibria layer-wise :

$$V_i\left(\frac{V_i}{r}+1\right) = -\partial_r(s^{i-1}H_1+H_2), \quad i=1,2.$$
 (9)

Below an upper layer vortex with quiescent lower layer will be considered.

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Linearised system

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$$\begin{cases} \left(\partial_{t} + \frac{V_{i}}{r}\partial_{\theta}\right)u_{i} - \left(1 + 2\frac{V_{i}}{r}\right)v_{i} + \partial_{r}(s^{i-1}\eta_{1} + \eta_{2}) = 0, \\ \left(\partial_{t} + \frac{V_{i}}{r}\partial_{\theta}\right)v_{i} + \left(1 + \frac{V_{i}}{r} + \partial_{r}V_{i}\right)u_{i} + \frac{1}{r}\partial_{\theta}(s^{i-1}\eta_{1} + \eta_{2}) = 0, \\ \left(\partial_{t} + \frac{V_{i}}{r}\partial_{\theta}\right)\eta_{i} + \left[H_{i}\partial_{r} + \frac{\partial_{r}(rH_{i})}{r}\right]u_{i} + \frac{H_{i}}{r}\partial_{\theta}v_{i} = 0, i = 1, 2. \end{cases}$$

$$(10)$$

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Solutions, as above, are sought in the harmonic form : $(u_i, v_i, \eta_i)(r, \theta, t) = (i\tilde{u}_i, \tilde{v}_i, \tilde{\eta}_i)(r)e^{i(l\theta - \omega t)}$, where *I* is the discrete azimuthal wavenumber.

Instability of an upper-layer cyclone



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Most unstable mode of an upper-layer cyclone with $\alpha = 4$, $H_2/H_1 = 0.6$, l = 2, $\epsilon = 0.08$, s = 1.37. Top : *left (right)* - pressure and velocity, upper (lower) layer. Bottom : *left* - $H_i(r)$, *right* - radial structure of the mode.

Nonlinear saturation of the I = 3 mode



Evolution of PV anomaly (top) and pressure and velocity (bottom) during the saturation of the baroclinic instability with most unstable mode I = 3.

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Integral estimate for azimuthally symmetric trapped modes

Azimuthally symmetric system (10) is reduced, by elimination of variables, to

$$(-\omega^{2} + \overline{\Phi}) \begin{pmatrix} u_{1} \\ u_{2} \end{pmatrix} = \partial_{r} \left(\frac{\partial_{r}}{r} \begin{pmatrix} rH_{1}u_{1} + rH_{2}u_{2} \\ rsH_{1}u_{1} + rH_{2}u_{2} \end{pmatrix} \right). \quad (11)$$

Here $\overline{\Phi} = 2\overline{L}_a\overline{\zeta}_a/r^2$, $\overline{L}_a = r^2/2 + rV$ and $\overline{\zeta}_a = 1 + \partial_r(rV)/r$ - non-dimensional absolute angular momentum density and vorticity of the vortex. Integral estimate for trapped modes :

$\omega^{2} = \frac{\int \overline{\Phi} \cdot H_{eq} |u_{b}|^{2} dr}{\int H_{eq} |u_{b}|^{2} dr} + (1-s) \left[\frac{\int \left[|\partial_{r}(H_{eq} u_{b})|^{2} + \frac{|H_{eq} u_{b}|^{2}}{4r^{2}} \right] dr}{\int H_{eq} |u_{b}|^{2} dr} - \frac{\int H_{eq} u_{b}^{*} \partial_{r} \left(\frac{\partial_{r}(rH_{1} u_{b})}{r} \right) dr}{\int H_{eq} |u_{b}|^{2} dr} \right].$ (12)

$$u_B = \frac{H_1 u_1 + H_2 u_2}{H_1 + H_2}, \ u_b = u_1 - u_2, \ H_{eq} = \frac{H_1 H_2}{H_1 + H_2}$$

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Qualitative analysis of the integral estimate

- ► The sign of Φ defines the sign of the first term in the r.h.s. of this relation
- The second term is positive-definite.
- The third term is not sign-definite, but it is the only one containing the barotropic velocity u_B, and thus vanishes for purely baroclinic modes

Hence, we infer that for sufficiently large negative values of $\overline{\Phi}$ there exist trapped baroclinic modes with imaginary eigenfrequencies. \Rightarrow classical Rayleigh criterion for the centrifugal instability :

$$\overline{L}_a \overline{\zeta}_a < \mathbf{0},$$

where the last product is called Rayleigh discriminant.

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Exercise

- Obtain the expression for absolute angular momentum density $\overline{L}_a = r^2/2 + rV$ from the definition of angular momentum
- Obtain (11) from the axisymmetric version of primitive equations in polar coordinates
- Derive (12)

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Tropical cyclone - essentially ageostrophic vortex



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Hydrodynamic characteristics of TC

- Radius of max wind (RMW) : 20 50km
- Max velocity V_{max} : 40 60m/s
- ► Typical value of f (at 20°N) : 5 · 10⁻⁵
- Relative vorticity ζ : up to 100*f*, typical Rossby numbers : 10 – 40
- Vertical wind distribution : \approx barotropic
- Barotropic Froude number :

$$Fr = rac{V_{max}}{\sqrt{gH_0}} = Ro/\sqrt{Bu} = \mathcal{O}(10^{-1})$$

► Radial wind profile : U-shape in the core, decreasing as 1/r in the outer region → ≈ constant vorticity core surrounded by higher vorticity ring, zero vorticity in the outer region.

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Typical category 3 cyclone's profile : RSW modeling



Left panel : azimuthal velocity and thickness (dashed). *Right panel :* relative vorticity. Parameters : ratio of relative vorticities ring/core $\frac{\zeta_r}{\zeta_c} = 105/7$, $Ro_{loc} = \frac{\zeta_r}{f} = 105$, $Ro = V_{max}/fL = 32$, Fr = 0.3.

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The most unstable mode

1.5 1.5 0.5 0.5 -0.5 -0.5 -1.5 -1.5 -1 0.5 15 -15 -1-0.5 0.5 1 15

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Left panel : velocity and pressure anomalies. Right panel : relative vorticity anomaly \Rightarrow Ageostrophic barotropic instability

Evolution of the unstable mode and formation of secondary mesovortices



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Mesovortices as observed in the hurricane Isabel



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Sketch of classical experiments on baroclinic instability

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Preliminaries : 1-layer RSW in the rotating annulus

RSW in cylindrical geometry, annulus $r_1 \le r \le r_2$:

$$Du - (f + \frac{v}{r})v = -g\partial_r h,$$

$$Dv + (f + \frac{v}{r})u = -\frac{g}{r}\partial_{\theta}h,$$
 (13)

$$Dh + h(\partial_r u + \partial_{\theta}v/r + u/r) = 0.$$

B.c. : free-slip : u = 0 at $r = r_1, r_2$; $D = \partial_t + u\partial_r + \frac{v}{r}\partial_{\theta}$. Exact solution : cyclo-geostrophic equilibrium with profiles of the thickness and velocity H(r), V(r) :

$$fV + \frac{V^2}{r} = g\partial_r H. \tag{14}$$

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Linearisation

$\partial_{t}u + \frac{V}{r}\partial_{\theta}u - v - 2\frac{Vv}{r} = -Bu\partial_{r}h, \\ \partial_{t}v + u\partial_{r}V + \frac{V}{r}\partial_{\theta}v + u + \frac{Vu}{r} = -Bu\frac{\partial_{\theta}h}{r},$ (15) $\partial_{t}h + \frac{1}{r}(rHu)_{r} + \frac{1}{r}H\partial_{\theta}v + \frac{V}{r}\partial_{\theta}h = 0,$

where
$$Bu = (R_d/r_0)^2$$
 is the Burger number,
 $R_d = (gH_0)^{\frac{1}{2}}/(\Omega r_0)$ is the deformation radius, $r_0 = r_2 - r_1$
Solution \rightarrow eigenmodes and eigenvalues (dispersion
diagram).

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Dispersion diagram



Dispersion diagram c = c(k). (a) Poincaré modes, (b) and (d) Kelvin modes, (c) Rossby modes. Fast Poincaré and Kelvin modes are separated from the slow Rossby modes ; only the values $k \in \mathbf{N}$ correspond to realisable solutions.

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Kelvin modes



Pressure and velocity for the Kelvin modes propagating along the exterior (left) and interior (right) walls with k = 2. These modes correspond to (b) and (d), respectively.

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Rossby and Poincaré modes



Pressure and velocity of the Rossby (left) and Poincaré (right) modes with n = 1 and k = 2. These modes

correspond to (c) and (a), respectively.

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Exercise

- Consider a parabolic profile of H(r) in (47), find the corresponding profile of V(r),
- Reduce the equations (47) to a single differential equation for the propagating wave solutions

 e^{i(ωt-nθ)}, solve it and find the structure in *r* of different types of waves

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2-layer RSW in the annulus with a rigid lid

$$D_{j}u_{j} - (f + \frac{v_{j}}{r})v_{j} = -\partial_{r}\Pi_{j},$$

$$D_{j}v_{j} + (f + \frac{v_{j}}{r})u_{i} = -\frac{1}{r}\partial_{\theta}\Pi_{i},$$
 (16)

$$D_{j}h_{j} + h_{j}(\partial_{r}u_{j} + \partial_{\theta}v_{j}/r + u_{j}/r) = 0,$$

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 Π_i - geopotentials, D_i - Lagrangian derivative per layer.

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Linearisation about a stationary state with constant azimuthal velocities $V_1 \neq V_2$

$$\partial_{t} u_{j} + \frac{V_{j}}{r} \partial_{\theta} u_{j} - v_{j} - 2 \frac{V_{j} v_{j}}{r} = -B u \partial_{r} \pi_{j} ,$$

$$\partial_{t} v_{j} + u_{j} \partial_{r} V_{j} + \frac{V_{j}}{r} \partial_{\theta} v_{j} + u_{j} + \frac{V_{j} u_{j}}{r} = -B u \frac{\partial_{\theta} \pi_{j}}{r} ,$$

$$\partial_{t} h_{j} + \frac{1}{r} (rH_{j} u_{j})_{r} + \frac{1}{r} H_{j} \partial_{\theta} v_{j} + \frac{V_{j}}{r} \partial_{\theta} h_{j} = 0 ,$$
(17)

Pressure perturbations π_j are coupled via perturbation of the interface :

$$\pi_2 - \pi_1 + s(\pi_2 + \pi_1) = B u \eta , \qquad (18)$$

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Baroclinic instability : RR resonance



Dispersion iagram (top) and growth rates (bottom) for Ro = 0.15 and F = 2.75. Yellow line marks the RR resonance and instability.

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Baroclinic instability : unstable mode



Structure of the unstable mode with k = 2, pressure and velocity in the superior (left) and inferior (right). Solid - positive values , dashed - negative values . Note the balanced character of the mode.

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Baroclinic instability : deviation of the interface



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Rossby-Kelvin instability : RK resonance



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Dispersion diagram (top) and growth rates (bottom) at Ro = 1.9 and F = 0.1. Yellow lines mark the RK et RP resonances and respective instabilities .

Rossby-Kelvin instability : structure of the unstable mode



Structure of the unstable RK mode at k = 5, pressure and velocity in the superior (left) and inferieor (right). Solid - positive values , dashed - negative values.

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Scheme of the classical experiments with gravity currents on the rotating turntable



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Comparison theory/experiment



Deviation of the interface for the most unstable mode, as follows from the linear stability analysisin 2-layer RSW (left), and development of the instability in the experiment by Griffiths and Linden (1982)

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