

# Nonlinear theory of geostrophic adjustment.

## Part 2. Two-layer and continuously stratified primitive equations

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### Abstract

This paper continues the work started in Part I (Reznik, Zeitlin & Ben Jelloul, 2001) and generalizes it to the case of stratified environment. Geostrophic adjustment of localized disturbances is considered in the context of the two-layer shallow water and continuously stratified primitive equations in the vertically bounded and horizontally infinite domain on the  $f$  - plane. Using the multiple time-scale perturbation expansions in Rossby number  $Ro$  we show that stratification does not substantially change the adjustment scenario established in Part I and any disturbance of well-defined scale is split in a unique way into slow and fast components with characteristic time-scales  $f_0^{-1}$  and  $(f_0 Ro)^{-1}$  respectively, where  $f_0$  is the Coriolis parameter. As in Part I we distinguish two basic dynamical regimes: quasi-geostrophic (QG) and frontal geostrophic (FG) with small and large deviations of the isopycnal surfaces, respectively. We show that dynamics of the FG regime in the two-layer model depends strongly on the ratio of the layer depths. The difference between QG and FG scenarios of adjustment is demonstrated. In the QG case the fast component of the flow essentially does not "feel" the slow one and is rapidly dispersed leaving the slow component to evolve according to the standard QG equation (corrections to this equation are found and should be taken into account for times  $t \gg (f_0 Ro)^{-1}$ ). In the FG case the fast component is a packet of inertial oscillations produced by the initial perturbation. The space-time evolution of the envelope of inertial oscillations obeys a Schrödinger-type modulation equation with coefficients depending of the slow component.

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In both QG and FG cases we show by direct computations that the fast component does not produce any drag terms in the equations for the slow component; the slow component remains close to the geostrophic balance. However, in the continuously stratified FG regime, as well as in the two-layer one with the layers of comparable thickness, the splitting is incomplete in the sense that the slow vortical component and the inertial oscillations envelope evolve at the same time-scale.

## 1 Introduction

In the first part of this work (Reznik, Zeitlin & Ben Jelloul, 2001; hereafter referred to as P1) we developed a theory of nonlinear geostrophic adjustment of arbitrary localized finite-energy disturbances in the framework of the non-dissipative rotating shallow water (RSW) dynamics. The only assumptions made were the well-defined scale of the disturbance and the smallness of the Rossby number  $Ro$ . The latter assumption allows to use the multi-time expansions for solving the initial-value problem. It was shown that velocity and pressure fields are split in a unique way into slow and fast components with characteristic time-scales  $f_0^{-1}$  and  $(f_0 Ro)^{-1}$  respectively, where  $f_0$  is the Coriolis parameter. The slow component is not influenced by the fast one and remains close to the geostrophic balance. The algorithm of initialization of the both components follows by construction. The scenario of adjustment depends on the characteristic scale and/or initial relative elevation of the free surface. For small relative elevations the evolution of the slow motion is governed by the well-known quasi-geostrophic (QG) dynamics on times  $t \leq (f_0 Ro)^{-1}$  and modifications to this dynamics on longer times  $t \leq (f_0 Ro^2)^{-1}$  were found. The fast component consists mainly of linear inertia-gravity waves rapidly propagating outward of the initial disturbance. For large relative elevations the slow vortex field is governed by the frontal geostrophic (FG) dynamics equation. In this case the fast component is a spatially localized packet of inertial oscillations evolving on the background of the slow component of the flow. The envelope of the packet obeys a Schrödinger-type equation with coefficients depending on the yet slower vortex motion.

While the QG results corroborated the "standard wisdom" view of adjustment, the FG ones showed a possibility of incomplete or delayed adjustment due to inertial oscillations coexisting with the slow component of motion.

The question we address in the present paper is how stratification modifies these results. The simplest way to introduce the stratification effects is to consider the layered models. We, therefore, start our analysis with the standard rotating two-layer shallow water model (which will be abbreviated as 2RSW in what follows) with rigid lid and flat bottom boundary conditions. We thus have the baroclinic interface displacement instead of the barotropic free surface elevation.

As in the RSW case a slow-dynamics reduction of the model by implicit filtering of the internal inertia-gravity waves (IGW) via exclusive use of the

slow ("vortical") time-scale  $(f_0 Ro)^{-1}$  is standard and widely applied (cf, eg, Pedlosky, 1982, Gent & McWilliams, 1983a,b). Similarly to the RSW case, in the two-layer (or, more generally, multi-layer) models the geostrophic balance condition allows for different dynamical regimes depending on the value of the Burger number and the ratio of the layer depths. The standard QG regime corresponds to the small deviations of the isopycnals from their equilibrium positions and to typical horizontal scales of the order of the Rossby deformation radius  $R_R$ . The frontal geostrophic (FG) regime corresponds to the large deviations of the isopycnal surfaces under condition that the typical horizontal scale of the flow largely exceeds the deformation radius. Historically, the FG regime in the two-layer model was introduced, again by using exclusively the slow time-scale, by Cushman-Roisin, Sutyrin & Tang (1992). Analogous regimes in the two-layer ocean with a sloping bottom were analyzed by Swaters (1993). In both works the upper layer was assumed to be much thinner than the lower one; the corresponding model will be referred to as inhomogeneous (FGI) in what follows. Later Benilov & Reznik (1996) have classified all possible strongly-nonlinear regimes in a two-layer ocean of constant depth (some of these regimes were studied independently by Stegner & Zeitlin (1996) in the context of near-axisymmetric solitary vortices). A frontal regime with the depths of the layers of the same order, which we call homogeneous (FGH) below was found. A complete classification of the two-layer frontal regimes including the effects of planetary sphericity and variable bottom topography was given by Karsten & Swaters (1999). The same authors (Karsten & Swaters, 2000a,b) explored the stability of various two-layer FG sub-regimes on the beta-plane.

In what follows we propose a full perturbative derivation of the slow dynamics equations for the two-layer QG and FG cases, instead of the "filtered" derivation by imposing an *ad hoc* time-scale. We consider arbitrary non-balanced initial disturbances of well-defined horizontal and vertical scales under a single condition that the Rossby number ( $Ro = \epsilon$ , in what follows) is small, and analyze the dynamics using multiple time-scale asymptotic expansions in  $\epsilon$ . We show that, as in the RSW case, the above-mentioned QG and FG slow-dynamics equations follow from the removal of resonances in the fast dynamics and, once the resonances eliminated, the fast component can be completely quantified. We calculate explicitly the Reynolds stresses due to the fast component and demonstrate that they vanish at the first three orders of the perturbation theory. Corrections to the standard QG dynamics for times much longer than  $(f_0 Ro)^{-1}$  are obtained. Our construction provides an algorithm for initialization of both fast and slow variables. In the FG regime, it turns out that the fast component accompanies the slow one in the form of inertial oscillations. Some recent experimental results may be explained by this incomplete adjustment in the two-layer systems (Stegner, Bouruet-Aubertot & Pichon, 2001).

We then pass to the continuously stratified case in the same geometry (the  $N$ -layer generalizations are straightforward). For simplicity we use the hydrostatic primitive equations (HSPE).<sup>1</sup> Here we again consider the standard QG regime

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<sup>1</sup>Therefore, vertically propagating inertia waves are excluded and the model is of the

(cf. Pedlosky, 1982) and the FG regime, whose slow-dynamics version for the continuous stratification was introduced by Benilov (1993) and turns out to be a generalization of the FGH regime in 2RSW. We do not include the analysis of intermediate between QG and FG regimes (Romanova & Zeitlin, 1984; Stegner & Zeitlin, 1999) in the present paper; it may be done along the same lines as in P1 for RSW.

The method of multiple-scale expansions in Rossby number allows us to prove asymptotic validity of the above-mentioned balanced models, to find corrections to these models for longer times and to specify the adjustment scenarios. A key element of our approach is the initial-value problem setting and the radiation boundary conditions for waves which allows to avoid most of the resonances appearing in the (triple-) periodic box geometry. The absence of the fast-motion drag in the slow equations is proved and the modulation equation for the inertial oscillations in the FG regime is obtained.

It should be noted that, as in P1, below we limit ourselves by the vortex-like initial perturbations having a single horizontal scale. The calculations here are strongly related to those made in P1 and, therefore, are presented in less detail. We do not give here either the classical references on geostrophic adjustment which may be found in P1. The same notation as in P1 is kept whenever possible.

The paper is organized as follows. In Section 2 we present analysis of the 2RSW model, in the QG (Subsection 2.2) and the FG (Subsection 2.3) regimes. Section 3 contains the analysis of the continuously stratified case in the framework of the HSPE with QG being treated in Subsection 3.2 and FG in Subsection 3.3. Finally, discussion and comparison with the existing in literature results are presented in Section 4. The paper being rather technical, we start the corresponding (sub)sections by describing the dynamical regimes and by stating the main results of our analysis for them. Thus, the readers who are not interested in proofs can move directly forward and skip the calculation details presented afterward.

## 2 Geostrophic adjustment in the two-layer shallow water model on the $f$ - plane

### 2.1 Preliminaries

We consider a non-dissipative fluid on the  $f$ -plane contained between a rigid lid (at  $z = 0$ ) and a rigid bottom (at  $z = -H$ ; the topographic effects may be easily introduced). The unperturbed depths of the upper and lower layers are  $H_1$  and  $H_2$ , respectively;  $H_1 + H_2 = H$ .

The equations of motion for two-layer rotating shallow-water system (2RSW)

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shallow-water type.

are the horizontal momentum equations:

$$\partial_t \mathbf{v}_i + \mathbf{v}_i \cdot \nabla \mathbf{v}_i + f \hat{\mathbf{z}} \wedge \mathbf{v}_i + \frac{1}{\rho_i} \nabla \pi_i = 0, \quad i = 1, 2; \quad (2.1)$$

(no summation over  $i$ ; the combination  $i + 1$  is understood modulo 2 everywhere below) and the mass conservation equations in each layer:

$$\partial_t (H_i - (-1)^{i+1} \eta) + \nabla \cdot (\mathbf{v}_i (H_i - (-1)^{i+1} \eta)) = 0, \quad i = 1, 2, \quad (2.2)$$

where  $f$  is the Coriolis parameter which is equal to  $f_0$  in the  $f$ -plane approximation (to be adopted below unless otherwise stated),  $\mathbf{v}_i = (u_i(x, y, t), v_i(x, y, t))$  are the two-dimensional velocity fields in each of the two layers,  $\rho_i$  are the densities of the layers,  $\eta$  is the vertical displacement of the interface,  $\pi_i$  are defined with the help of the full pressure fields  $P_i$  in each layer:

$$P_i = -\rho_i g z + (i - 1)(\rho_1 - \rho_2) g H_1 + \pi_i, \quad (2.3)$$

$g$  is the acceleration due to gravity ( $g$  becomes the reduced gravity  $g'$  below). Here and below  $\partial_{abc\dots}^n$  denotes the  $n^{\text{th}}$  partial derivative with respect to  $a, b, c, \dots$ ,  $\nabla = (\partial_x, \partial_y)$  in this section and  $\hat{\mathbf{z}}$  is the vertical unit vector.

The potential vorticity (PV) conservation equations in each layer readily follow:

$$(\partial_t + \mathbf{v}_i \cdot \nabla) \Pi_i = 0, \quad \Pi_i = \frac{\zeta_i + f}{H_i - (-1)^{i+1} \eta}, \quad (2.4)$$

where  $\zeta_i = \hat{\mathbf{z}} \cdot \nabla \wedge \mathbf{v}_i$  is the relative vorticity in each layer. From the dynamical boundary condition on the interface

$$P_1 = P_2|_{z=-H_1+\eta} \quad (2.5)$$

it follows that

$$(\rho_2 - \rho_1) g \eta = \pi_2 - \pi_1. \quad (2.6)$$

The vertical velocity should vanish at the top and bottom.

The following parameters:  $N = 2 \frac{\rho_2 - \rho_1}{\rho_2 + \rho_1}$ ,  $d = \frac{H_1}{H_2}$ ,  $g' = gN$ ,  $\bar{H} = \frac{H_1 H_2}{H_1 + H_2}$ ,  $\bar{\rho} = \frac{1}{2}(\rho_1 + \rho_2)$  will be used below for compactness.

## 2.2 QG regime

### 2.2.1 Definitions

Supposing in this Subsection that  $d = \mathcal{O}(1)$  we introduce the following QG-scaling: horizontal velocity scale  $U$ , horizontal spatial scale  $L \sim R_R = \sqrt{g' \bar{H}} / f_0$ , where  $R_R$  is the baroclinic Rossby deformation radius, pressure scale is  $P = \bar{\rho} f_0 U L$  and the scale of the interface variations  $\eta^* = \epsilon \bar{H}$ . Time-scale is  $f_0^{-1}$ . Introducing the (order one) parameters  $\bar{h}_i = \frac{H_i}{H_1 + H_2}$ ,  $i = 1, 2$  we rewrite the horizontal momentum and mass conservation equations in the following non-dimensional form:

$$\partial_t \mathbf{v}_i + \epsilon \mathbf{v}_i \cdot \nabla \mathbf{v}_i + \hat{\mathbf{z}} \wedge \mathbf{v}_i + \nabla \pi_i = 0, \quad i = 1, 2; \quad (2.7)$$

$$\partial_t(1 - (-1)^{i+1}\epsilon \bar{h}_{i+1}\eta) + \nabla \cdot ((1 - (-1)^{i+1}\epsilon \bar{h}_{i+1}\eta)\mathbf{v}_i) = 0, \quad i = 1, 2. \quad (2.8)$$

Here in order to simplify the formulae we put ourselves in the oceanographic context and suppose that the densities of the layers are close to each other (and to the mean density). The corresponding density ratios may be easily restored otherwise in front of the pressure gradient terms here and below. The non-dimensional PV's are

$$\Pi_i = \frac{\epsilon \zeta_i + 1}{1 - (-1)^{i+1}\bar{h}_{i+1}\epsilon \eta}, \quad (2.9)$$

where  $\zeta_i$  here and below denote the relative vorticities  $\zeta_i = \partial_x v_i - \partial_y u_i$ ;  $\mathbf{v}_i = (u_i, v_i)$ . The non-dimensional version of (2.6) is

$$\pi_2 - \pi_1 = \eta. \quad (2.10)$$

Below we use the following perturbative expansions of the PV's:

$$\Pi_i = 1 + \epsilon \Pi_i^{(1)} + \epsilon^2 \Pi_i^{(2)} + \mathcal{O}(\epsilon^3) \quad (2.11)$$

with

$$\Pi_i^{(1)} = \zeta_i^{(0)} + (-1)^{i+1}\bar{h}_{i+1}\eta_0, \quad \Pi_i^{(2)} = \zeta_i^{(1)} + (-1)^{i+1}\bar{h}_{i+1}\eta^{(1)} + (-1)^{i+1}\bar{h}_{i+1}\eta^{(0)}\Pi_i^{(1)}. \quad (2.12)$$

The perturbative expansions for velocity and interface displacement fields are

$$\begin{aligned} \mathbf{v}_i &= \mathbf{v}_i^{(0)}(x, y; t, t_1, t_2, \dots) + \epsilon \mathbf{v}_i^{(1)}(x, y; t, t_1, t_2, \dots) + \dots \\ \eta &= \eta^{(0)}(x, y; t, t_1, t_2, \dots) + \epsilon \eta^{(1)}(x, y; t, t_1, t_2, \dots) + \dots \end{aligned} \quad (2.13)$$

where  $t_1, t_2 \dots$  scale as  $(\epsilon f_0)^{-1}, \epsilon^{-2} f_0^{-1}, \dots$ , and each dynamical variable in each order may be uniquely split into the slow (denoted below by over-bar) and fast (denoted by tilde) part defined, correspondingly, as the average over the fast time  $t$  and the fluctuation around it. In what follows we are looking for a perturbative solution of the Cauchy problem with initial conditions

$$\mathbf{v}_i(t=0) = \mathbf{v}_{I_i}, \quad \eta(t=0) = \eta_I \quad (2.14)$$

for the system (2.1), (2.2) under the QG scaling. The subscript  $I$  denotes initial values here and below.

### 2.2.2 The main results

Each field is represented as a sum of slow and fast components. For example, the velocity field is

$$\mathbf{v}_i = \sum_{n=0}^{\infty} \epsilon^n \bar{\mathbf{v}}_i^{(n)}(x, y; t_1, t_2, \dots) + \sum_{n=0}^{\infty} \epsilon^n \tilde{\mathbf{v}}_i^{(n)}(x, y; t, t_1, t_2, \dots), \quad (2.15)$$

and the same for  $\pi_i, \eta$ . The representation (2.15) is unique since the fast components  $\tilde{\mathbf{v}}_i^{(n)}$  are defined to have zero mean over the fast time  $t$ :

$$\langle \tilde{\mathbf{v}}_i^{(n)} \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T dt \tilde{\mathbf{v}}_i^{(n)} = 0. \quad (2.16)$$

In the analysis presented below we demonstrate (up to the third order in  $\epsilon$ ) that the slow and the fast components obey their own evolution equations with uniquely defined initial conditions. The initialization procedure for each component is given order by order in  $\epsilon$ . The slow component is described (up to order  $\epsilon$  terms) by the pair of coupled equations for the pressure and the interface displacement variables  $\bar{\pi}_i = \bar{\pi}_i^{(0)} + \epsilon \bar{\pi}_i^{(1)}$ ,  $\bar{\eta} = \bar{\eta}^{(0)} + \epsilon \bar{\eta}^{(1)}$ :

$$\begin{aligned} \frac{D_i}{Dt_1} [\nabla^2 \bar{\pi}_i + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta} + \epsilon (-1)^{i+1} \bar{h}_{i+1} \bar{\eta} (\nabla^2 \bar{\pi}_i + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta})] \\ - \epsilon \nabla \bar{\pi}_i \cdot \nabla (\nabla^2 \bar{\pi}_i + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta}) - 2\epsilon J (\partial_x \bar{\pi}_i, \partial_y \bar{\pi}_i) = 0, \end{aligned} \quad (2.17)$$

where

$$\frac{D_i}{Dt_1} (\dots) := \partial_{t_1} (\dots) + J \left( \bar{\pi}_i - \epsilon \frac{(\nabla \bar{\pi}_i)^2}{2}, \dots \right), \quad i = 1, 2 \quad (2.18)$$

and  $\bar{\pi}_2 - \bar{\pi}_1 = \bar{\eta}$ .

The fast component is the inertia-gravity wave packet formed by the initial conditions and described by the wave equation for  $\tilde{\eta} = \tilde{\eta}^{(0)} + \epsilon \tilde{\eta}^{(1)}$

$$-\frac{\partial^2 \tilde{\eta}}{\partial t^2} - \tilde{\eta} + \nabla^2 \tilde{\eta} = \epsilon \mathcal{R}(x, y; t, t_1, \dots) \quad (2.19)$$

with the known r.h.s. produced by the nonlinear interaction of the lowest-order fast field with itself and with the slow component (see (2.58) below). The key point is that  $\mathcal{R}$  does not contain resonant terms and has zero mean in the sense of (2.16) and, therefore, the field  $\tilde{\eta}$  and all other fast fields consists of IGW propagating outward of the localized initial perturbation and decays in time at any given spatial location. Namely due to this fact the fast-component drag upon the slow fields is absent.

When  $\epsilon = 0$  equations (2.17), (2.18) are reduced to the standard QG system for the 2-layer model describing the QG motion on times of the order of  $(\epsilon f_0)^{-1}$ . Equation (2.17) is applicable on much longer times of the order  $(\epsilon f_0)^{-2}$ , this is why we call it improved quasigeostrophic potential vorticity (IQGPV) equation.

### 2.2.3 Calculations in the lowest-order approximation

Equations (2.7) and (2.4), (2.9) (2.11) give:

$$\partial_t \mathbf{v}_i^{(0)} + \hat{\mathbf{z}} \wedge \mathbf{v}_i^{(0)} = -\nabla \pi_i^{(0)}, \quad \partial_t \Pi_i^{(1)} = 0. \quad (2.20)$$

The corresponding initial conditions are<sup>2</sup>

$$\mathbf{v}_i^{(0)} \Big|_{t=0} = v_I, \quad \eta^{(0)} \Big|_{t=0} = \eta_I. \quad (2.21)$$

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<sup>2</sup>here and below it is supposed that initial data have no dependence on  $\epsilon$

Hence,

$$\zeta_i^{(0)} + (-1)^{i+1} \bar{h}_{i+1} \eta^{(0)} = \Pi_i^{(1)}(x, y; t_1, \dots). \quad (2.22)$$

It is convenient to introduce the barotropic and the baroclinic components of velocity in each order  $\alpha$  of the perturbation theory:

$$\begin{aligned} \mathbf{v}_{bt}^{(\alpha)} &= \bar{h}_1 \mathbf{v}_1^{(\alpha)} + \bar{h}_2 \mathbf{v}_2^{(\alpha)} \\ \mathbf{v}_{bc}^{(\alpha)} &= \mathbf{v}_1^{(\alpha)} - \mathbf{v}_2^{(\alpha)}, \quad \alpha = 0, 1, 2, \dots \end{aligned} \quad (2.23)$$

In the lowest order we have:

$$\partial_t \mathbf{v}_{bc}^{(0)} + \hat{\mathbf{z}} \wedge \mathbf{v}_{bc}^{(0)} = \nabla \eta^{(0)} \quad (2.24)$$

$$\partial_t \mathbf{v}_{bt}^{(0)} + \hat{\mathbf{z}} \wedge \mathbf{v}_{bt}^{(0)} = -\nabla P^{(0)}, \quad (2.25)$$

where  $P^{(\alpha)}$  denotes the barotropic pressure component

$$P^{(\alpha)} = \bar{h}_1 \pi_1^{(\alpha)} + \bar{h}_2 \pi_2^{(\alpha)}. \quad (2.26)$$

Accordingly, we obtain from (2.22)

$$\begin{aligned} \zeta_{bc}^{(0)} + \eta^{(0)} &= \Pi_1^{(1)} - \Pi_2^{(1)}, \\ \zeta_{bt}^{(0)} &= \bar{h}_1 \Pi_1^{(1)} + \bar{h}_2 \Pi_2^{(1)}, \end{aligned} \quad (2.27)$$

where

$$\zeta_{bc}^{(\alpha)} = \hat{\mathbf{z}} \cdot \nabla \wedge \mathbf{v}_{bc}^{(\alpha)}, \quad \zeta_{bt}^{(\alpha)} = \hat{\mathbf{z}} \cdot \nabla \wedge \mathbf{v}_{bt}^{(\alpha)}, \quad \alpha = 0, 1, 2, \dots \quad (2.28)$$

As follows from the second equation in (2.20) and the second equation in (2.27), the barotropic relative vorticity is slow ( $t$ -independent). By rewriting (2.25) in the form of vorticity and divergence equations we see that the barotropic velocity field is divergenceless and slow:

$$\mathbf{v}_{bc}^{(0)} = \bar{\mathbf{v}}_{bc}^{(0)} = \hat{\mathbf{z}} \wedge \nabla P^{(0)}, \quad (2.29)$$

$$\nabla^2 P^{(0)} = \bar{h}_1 \Pi_1^{(1)} + \bar{h}_2 \Pi_2^{(1)}. \quad (2.30)$$

The baroclinic component is split into fast and slow components denoted as usual by tilde and over-bar, respectively:

$$\begin{aligned} \mathbf{v}_{bc}^{(0)} &= \bar{\mathbf{v}}_{bc}^{(0)} + \tilde{\mathbf{v}}_{bc}^{(0)} \\ \eta^{(0)} &= \bar{\eta}^{(0)} + \tilde{\eta}^{(0)} \end{aligned} \quad (2.31)$$

with

$$\bar{\mathbf{v}}_{bc}^{(0)} = -\hat{\mathbf{z}} \wedge \nabla \bar{\eta}^{(0)}, \quad (2.32)$$

$$\nabla^2 \bar{\eta}^{(0)} - \bar{\eta}^{(0)} = -\left(\Pi_1^{(1)} - \Pi_2^{(1)}\right) \quad (2.33)$$

and

$$\partial_t \tilde{\mathbf{v}}_{bc}^{(0)} + \hat{\mathbf{z}} \wedge \tilde{\mathbf{v}}_{bc}^{(0)} = \nabla \tilde{\eta}^{(0)}, \quad (2.34)$$

$$\tilde{\zeta}_{bc}^{(0)} + \tilde{\eta}^{(0)} = 0. \quad (2.35)$$

In order to get the initial conditions for both components consider (2.33) at  $t = 0$  and (2.22):

$$-\tilde{\eta}_I^{(0)} + \nabla^2 \tilde{\eta}_I^{(0)} = -(\zeta_{1I} - \zeta_{2I} + \eta_I). \quad (2.36)$$

This allows to find  $\tilde{\eta}_I^{(0)}$  and, hence, the initial conditions for the slow baroclinic component via

$$\tilde{\mathbf{v}}_{bcI}^{(0)} = -\hat{\mathbf{z}} \wedge \nabla \tilde{\eta}_I^{(0)}. \quad (2.37)$$

Initial conditions for the fast baroclinic component readily follow

$$\tilde{\mathbf{v}}_{bc}^{(0)} = \mathbf{v}_{1I} - \mathbf{v}_{2I} - \tilde{\mathbf{v}}_{bcI}^{(0)}, \quad \tilde{\eta}_I^{(0)} = \eta_I - \tilde{\eta}_I^{(0)}. \quad (2.38)$$

The system (2.34), (2.35) is equivalent to a single Klein - Gordon equation for  $\tilde{\eta}^{(0)}$ :

$$-\frac{\partial^2 \tilde{\eta}^{(0)}}{\partial t^2} - \tilde{\eta}^{(0)} + \nabla^2 \tilde{\eta}^{(0)} = 0 \quad (2.39)$$

with initial conditions

$$\tilde{\eta}^{(0)} \Big|_{t=0} = \tilde{\eta}_I^{(0)}, \quad \partial_t \tilde{\eta}^{(0)} \Big|_{t=0} = \nabla \cdot \tilde{\mathbf{v}}_{bcI}^{(0)}. \quad (2.40)$$

These initial conditions allow to determine  $\tilde{\eta}^{(0)}$  from (2.39); if the initial conditions are localized the fast field decays as  $\frac{1}{t}$  at  $t \rightarrow \infty$  at a fixed spatial point (we do not repeat here the details of the calculations which follow those of P1, with obvious changes of notation).

Thus, as in the RSW case, in the zeroth order of the perturbation theory the motion is split into the fast and the slow components defined in a unique way starting from arbitrary initial conditions. Note that the procedure imposes no *à priori* limitations on the relative initial values of the fast and the slow components. The fast part of the flow is completely resolved while the slow part remains undetermined. Its evolution equation follows from the condition of absence of secular growth of the next order solution.

#### 2.2.4 Calculations in the first-order approximation

The horizontal momentum equations give at this order

$$\partial_t \mathbf{v}_i^{(1)} + \hat{\mathbf{z}} \wedge \mathbf{v}_i^{(1)} = -\nabla \pi_i^{(1)} + \mathcal{R}_{\mathbf{v}_i}^{(0)}, \quad i = 1, 2, \quad (2.41)$$

where we define

$$\mathcal{R}_{\mathbf{v}_i}^{(0)} = \left( \mathcal{R}_{u_i}^{(0)}, \mathcal{R}_{v_i}^{(0)} \right) = - \left( \partial_{t_1} + \mathbf{v}_i^{(0)} \cdot \nabla \right) \mathbf{v}_i^{(0)}. \quad (2.42)$$

The first-order PV equations are

$$\partial_t \Pi_i^{(2)} + \partial_{t_1} \Pi_i^{(1)} + \mathbf{v}_i^{(0)} \cdot \nabla \Pi_i^{(1)} = 0, \quad i = 1, 2 \quad (2.43)$$

and (cf. 2.10)

$$\pi_2^{(1)} - \pi_1^{(1)} = \eta^{(1)}. \quad (2.44)$$

A consistency condition for having bounded in the fast time solutions of (2.43) is obtained by applying the fast-time averaging to (2.43) and gives the standard quasigeostrophic PV (QGPV) equations

$$\left( \partial_{t_1} + \bar{\mathbf{v}}_i^{(0)} \cdot \nabla \right) \Pi_i^{(1)} = 0, \quad i = 1, 2 \quad (2.45)$$

which may be rewritten in the form

$$\partial_{t_1} \Pi_i^{(1)} + J(\bar{\pi}_i^{(0)}, \Pi_i^{(1)}) = 0, \quad (2.46)$$

( $J$ , as usual, denotes the Jacobian) using the fact that

$$\bar{\mathbf{v}}_i^{(0)} = (-1)^{i+1} \bar{h}_{i+1} \bar{\mathbf{v}}_{bc}^{(0)} + \bar{\mathbf{v}}_{bt}^{(0)} = \hat{\mathbf{z}} \wedge \nabla \bar{\pi}_i^{(0)}. \quad (2.47)$$

Recalling that

$$\Pi_i^{(1)} = \nabla^2 \bar{\pi}_i^{(0)} + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta}^{(0)} \quad (2.48)$$

we see that (2.46), (2.48) reproduce the standard QG equations in the two-layer model (cf. Pedlosky, 1982).

The first correction to the PV-fields obeys, thus, the following equation

$$\partial_t \Pi_i^{(2)} + \tilde{\mathbf{v}}_i^{(0)} \cdot \nabla \Pi_i^{(1)} = 0, \quad i = 1, 2 \quad (2.49)$$

and using the fact that

$$\tilde{\mathbf{v}}_i^{(0)} = (-1)^{i+1} \bar{h}_{i+1} \tilde{\mathbf{v}}_{bc}^{(0)} \quad (2.50)$$

and (2.34) we get, by integrating (2.49) in  $t$  :

$$\begin{aligned} \Pi_i^{(2)} &= \tilde{\Pi}_i^{(2)} + \bar{\Pi}_i^{(2)} = \\ &(-1)^{i+1} \bar{h}_{i+1} \left[ J(\tilde{\mathcal{H}}_0 - \langle \tilde{\mathcal{H}}_0 \rangle, \Pi_i^{(1)}) - \tilde{v}_{bc}^{(1)} \partial_y \Pi_i^{(1)} + \tilde{v}_{bc}^{(1)} \partial_x \Pi_i^{(1)} \right] + \bar{\Pi}_i^{(2)}, \end{aligned} \quad (2.51)$$

where

$$\tilde{\mathcal{H}}_0 = \int_0^t \tilde{\eta}^{(0)}(t') dt' \quad (2.52)$$

and the angle brackets denote the fast-time averaging. Here and below, by introducing  $\tilde{\mathcal{H}}_0$  and  $\langle \tilde{\mathcal{H}}_0 \rangle$  we follow literally P1.

In order to get an equation for  $\eta^{(1)}$  we introduce the baroclinic and barotropic components of the order-one velocity field (cf. (2.23)) with the baroclinic one,  $\mathbf{v}_{bc}^{(1)}$ , obeying the following equation:

$$\partial_t \mathbf{v}_{bc}^{(1)} + \hat{\mathbf{z}} \wedge \mathbf{v}_{bc}^{(1)} = \nabla \eta^{(1)} + \mathcal{R}_{\mathbf{v}_{bc}}^{(0)}, \quad \mathcal{R}_{\mathbf{v}_{bc}}^{(0)} = \mathcal{R}_{\mathbf{v}_1}^{(0)} - \mathcal{R}_{\mathbf{v}_2}^{(0)}. \quad (2.53)$$

The equation for the baroclinic relative vorticity follows from (2.51) and the second equation in (2.12) (cf. (3.33) in P1)

$$\zeta_{bc}^{(1)} + \eta^{(1)} = \bar{\Pi}_1^{(2)} - \bar{\Pi}_2^{(2)} - \mathcal{R}_{\zeta}^{(0)}, \quad (2.54)$$

where

$$\mathcal{R}_\zeta^{(0)} = \mathcal{R}_{bc}^{(1)} \eta^{(0)} - J \left( \tilde{\mathcal{H}}_0 - \langle \tilde{\mathcal{H}}_0 \rangle, \mathcal{R}_{bc}^{(1)} \right) + \hat{\mathbf{z}} \cdot \left( \tilde{\mathbf{v}}_{bc}^{(0)} \wedge \nabla \mathcal{R}_{bc}^{(1)} \right) \quad (2.55)$$

and

$$\mathcal{R}_{bc}^{(1)}(x, y; t_1, \dots) = \bar{h}_2 \Pi_1^{(1)} + \bar{h}_1 \Pi_2^{(1)}. \quad (2.56)$$

Splitting the first-order baroclinic velocity into slow and fast parts, where the latter obeys the fast part of the equations (2.53), and taking the fast part of (2.54) we get:

$$\tilde{\zeta}_{bc}^{(1)} + \tilde{\eta}^{(1)} = -\mathcal{R}_{bc}^{(1)} \tilde{\eta}^{(0)} + J \left( \tilde{\mathcal{H}}_0 - \langle \tilde{\mathcal{H}}_0 \rangle, \mathcal{R}_{bc}^{(1)} \right) - \hat{\mathbf{z}} \cdot \left( \tilde{\mathbf{v}}_{bc}^{(0)} \wedge \nabla \mathcal{R}_{bc}^{(1)} \right) \equiv -\tilde{\mathcal{R}}_\zeta^{(0)}. \quad (2.57)$$

From this equation and equations of motion (2.53) taken for the fast component we obtain the equation for the first-order fast interface displacement:

$$-\frac{\partial^2 \tilde{\eta}^{(1)}}{\partial t^2} - \tilde{\eta}^{(1)} + \nabla^2 \tilde{\eta}^{(1)} = -\frac{\partial^2 \mathcal{R}_\zeta^{(0)}}{\partial t^2} - \tilde{\mathcal{R}}_\zeta^{(0)} - \tilde{D} + \partial_t \tilde{Z} \quad (2.58)$$

where

$$\tilde{Z} = \partial_x \tilde{\mathcal{R}}_{v_{bc}}^{(0)} - \partial_y \tilde{\mathcal{R}}_{u_{bc}}^{(0)}, \quad (2.59)$$

$$\tilde{D} = \partial_x \tilde{\mathcal{R}}_{u_{bc}}^{(0)} + \partial_y \tilde{\mathcal{R}}_{v_{bc}}^{(0)}. \quad (2.60)$$

The r.h.s. of (2.58) is, thus, a known function of  $\tilde{\mathbf{v}}_{bc}^{(0)}, \tilde{\eta}^{(0)}$  which are, in turn, known from the previous approximation.

The slow baroclinic velocity equations have the form:

$$\bar{\mathbf{v}}_{bc}^{(1)} = -\hat{\mathbf{z}} \wedge \left( \nabla \bar{\eta}^{(1)} + \bar{\mathcal{R}}_{\mathbf{v}_{bc}} \right). \quad (2.61)$$

The slow part of the baroclinic relative vorticity equation (2.54) is

$$\bar{\zeta}_{bc}^{(1)} + \bar{\eta}^{(1)} = -\mathcal{R}_{bc}^{(1)} \bar{\eta}^{(0)} + \bar{\Pi}_1^{(2)} - \bar{\Pi}_2^{(2)}. \quad (2.62)$$

It follows from (2.61) that

$$\bar{\zeta}_{bc}^{(1)} = \hat{\mathbf{z}} \cdot \left( \nabla \wedge \bar{\mathbf{v}}_{bc}^{(1)} \right) = -\nabla^2 \bar{\eta}^{(1)} - \nabla \cdot \bar{\mathcal{R}}_{\mathbf{v}_{bc}} \quad (2.63)$$

and from this equation and (2.62) one gets:

$$-\bar{\eta}_I^{(1)} + \nabla^2 \bar{\eta}_I^{(1)} = \mathcal{R}_{bc}^{(1)} \bar{\eta}^{(0)} - \bar{\Pi}_1^{(2)} + \bar{\Pi}_2^{(2)} - \nabla \cdot \bar{\mathcal{R}}_{\mathbf{v}_{bc}}. \quad (2.64)$$

Considering this equation at  $t = 0$ , recalling that  $\mathbf{v}_i^{(1)}$  and  $\eta^{(1)}$  are zero at the initial moment and using (2.54), (2.55) we obtain

$$-\bar{\eta}_I^{(1)} + \nabla^2 \bar{\eta}_I^{(1)} = \left[ -\nabla \cdot \bar{\mathcal{R}}_{\mathbf{v}_{bc}} - \tilde{\eta}_I^{(0)} \mathcal{R}_{bc}^{(1)} - J \left( \langle \tilde{\mathcal{H}}_0 \rangle, \mathcal{R}_{bc}^{(1)} \right) + \left( \tilde{v}_{bc_I} \partial_x \mathcal{R}_{bc}^{(1)} - \tilde{u}_{bc_I} \partial_y \mathcal{R}_{bc}^{(1)} \right) \right] \Big|_{t=0}. \quad (2.65)$$

The r.h.s. of this equation is known. Therefore, (2.65) determines  $\bar{\eta}_I^{(1)}$  and, hence,  $\tilde{\eta}_I^{(1)}$ , uniquely (if decaying at infinity boundary conditions are imposed) as  $\tilde{\eta}_I^{(1)} = -\bar{\eta}_I^{(1)}$ . The second initial condition for the equation (2.58) follows from (2.53) and (2.54):

$$\partial_t \tilde{\eta}^{(1)} \Big|_{t=0} = -\mathcal{Z} \Big|_{t=0} - \partial_t \tilde{\mathcal{R}}_\zeta^{(0)} \Big|_{t=0}, \quad (2.66)$$

where

$$\mathcal{Z} = \partial_x \mathcal{R}_{v_{bc}}^{(0)} - \partial_y \mathcal{R}_{u_{bc}}^{(0)}. \quad (2.67)$$

The r.h.s of (2.66) may be expressed in terms of initial fields (cf. (2.59)) by using, where necessary, the evolution equation for the slow component. Thus, for  $\tilde{\eta}^{(1)}$  we get a linear initial-value problem with a source term (cf. (2.58)). The analysis showing that the source term is non-resonant is the same as in P1 and is not repeated here.

The first correction to the barotropic component may be easily determined, too. We write the evolution equation for the barotropic velocity field at this order:

$$\partial_t \mathbf{v}_{bt}^{(1)} + \hat{\mathbf{z}} \wedge \mathbf{v}_{bt}^{(1)} = -\nabla P^{(1)} + \mathcal{R}_{\mathbf{v}_{bt}}^{(0)}, \quad \mathcal{R}_{\mathbf{v}_{bt}}^{(0)} = \bar{h}_1 \mathcal{R}_{\mathbf{v}_1}^{(0)} + \bar{h}_2 \mathcal{R}_{\mathbf{v}_2}^{(0)} \quad (2.68)$$

and get the barotropic relative vorticity using (2.12), (2.51):

$$\zeta_{bt}^{(1)} = \bar{h}_1 \bar{\Pi}_1^{(2)} + \bar{h}_2 \bar{\Pi}_2^{(2)} + \bar{h}_1 \bar{h}_2 \left[ -\eta^{(0)} \mathcal{R}_{bt}^{(1)} + \right. \quad (2.69)$$

$$\left. J(\tilde{\mathcal{H}}_0 - \langle \tilde{\mathcal{H}}_0 \rangle, \mathcal{R}_{bt}^{(1)}) + \hat{\mathbf{z}} \cdot \left( \tilde{\mathbf{v}}_{bc}^{(0)} \wedge \nabla \mathcal{R}_{bt}^{(1)} \right) \right], \quad (2.70)$$

where we defined

$$\mathcal{R}_{bt}^{(1)} = \Pi_1^{(1)} - \Pi_2^{(1)}. \quad (2.71)$$

The fast part of  $\zeta_{bt}^{(1)}$  is:

$$\tilde{\zeta}_{bt}^{(1)} = \bar{h}_1 \bar{h}_2 \left[ -\tilde{\eta}^{(0)} \mathcal{R}_{bt}^{(1)} + J(\tilde{\mathcal{H}}_0 - \langle \tilde{\mathcal{H}}_0 \rangle, \Pi_1^{(1)} - \Pi_2^{(1)}) + \hat{\mathbf{z}} \cdot \left( \tilde{\mathbf{v}}_{bc}^{(0)} \wedge \nabla \mathcal{R}_{bt}^{(1)} \right) \right]. \quad (2.72)$$

Taking curl and divergence of the fast part of the equations (2.68) we get

$$\tilde{D}_{bt}^{(1)} = \nabla \cdot \tilde{\mathbf{v}}_{bt}^{(0)} = -\partial_t \tilde{\zeta}_{bt}^{(1)} + \partial_x \tilde{\mathcal{R}}_{v_{bt}}^{(0)} - \partial_y \tilde{\mathcal{R}}_{u_{bt}}^{(0)}, \quad (2.73)$$

$$\nabla^2 \tilde{P}^{(1)} = -\partial_t \tilde{D}_{bt}^{(1)} + \tilde{\zeta}_{bt}^{(1)} + \partial_x \tilde{\mathcal{R}}_{u_{bt}}^{(0)} + \partial_y \tilde{\mathcal{R}}_{v_{bt}}^{(0)}. \quad (2.74)$$

The last equation, together with (2.72) and (2.73), allows to determine, by inversion, the fast correction to the barotropic pressure and, via the fast part of the equations (2.68), the fast barotropic velocity field at this order. Together, the fast barotropic and the fast baroclinic velocity fields allow to determine completely the fast velocity field in the model at the first order in Rossby number. The slow components evolve according to the standard QG equations (2.46), (2.48) at this order. It should be noticed that a fast correction to the slow zeroth-order barotropic fields appear at this order and that initial conditions at this order mix the lowest order fast and slow initial fields.

### 2.2.5 Calculations in the second-order approximation

We limit ourselves at this order by calculating corrections to the slow geostrophic dynamics leaving apart the fast wave field. The PV equation (2.4) gives

$$\partial_t \Pi_i^{(3)} + \partial_{t_1} \Pi_i^{(2)} + \partial_{t_2} \Pi_i^{(1)} + \mathbf{v}_i^{(0)} \cdot \nabla \Pi_i^{(2)} + \mathbf{v}_i^{(1)} \cdot \nabla \Pi_i^{(1)} = 0, \quad i = 1, 2. \quad (2.75)$$

Taking the time-average of this equation we get:

$$\partial_{t_1} \bar{\Pi}_i^{(2)} + \partial_{t_2} \bar{\Pi}_i^{(1)} + \bar{\mathbf{v}}_i^{(0)} \cdot \nabla \bar{\Pi}_i^{(2)} + \bar{\mathbf{v}}_i^{(1)} \cdot \nabla \bar{\Pi}_i^{(1)} + \left\langle \tilde{\mathbf{v}}_i^{(0)} \cdot \nabla \tilde{\Pi}_i^{(2)} \right\rangle = 0, \quad i = 1, 2. \quad (2.76)$$

It is easy to show, using (2.51) (cf. the analogous demonstration in P1) that  $\tilde{\Pi}_i^{(2)} = \mathcal{O}(\frac{1}{t})$  as  $t \rightarrow \infty$  and, hence the two last terms in (2.76) which represent the fast-component drag vanish. Hence, as in the RSW case we get splitting and the slow component of the flow evolves without being influenced by the fast one at this order. We, thus have

$$\left( \partial_{t_1} + \bar{\mathbf{v}}_i^{(0)} \cdot \nabla \right) \bar{\Pi}_i^{(2)} + \left( \partial_{t_2} + \bar{\mathbf{v}}_i^{(1)} \cdot \nabla \right) \bar{\Pi}_i^{(1)} = 0, \quad i = 1, 2 \quad (2.77)$$

with, cf. (2.12)

$$\bar{\Pi}_i^{(2)} = \bar{\zeta}_i^{(1)} + (-1)^{i+1} \bar{h}_{i+1} \left( \bar{\eta}^{(1)} + \bar{\eta}^{(0)} \bar{\Pi}_i^{(1)} \right). \quad (2.78)$$

Using the averaged equations (2.41) and (2.47) we find

$$\bar{\mathbf{v}}_i^{(1)} = \hat{\mathbf{z}} \wedge \nabla \bar{\pi}_i^{(1)} - \partial_{t_1} \nabla \bar{\pi}_i^{(0)} - J(\bar{\pi}_i^{(0)}, \nabla \bar{\pi}_i^{(0)}) \quad (2.79)$$

and get

$$\bar{\zeta}_i^{(1)} = \nabla^2 \bar{\pi}_i^{(1)} - 2J \left( \partial_x \bar{\pi}_i^{(0)}, \partial_y \bar{\pi}_i^{(0)} \right) \quad (2.80)$$

whence

$$\bar{\Pi}_i^{(2)} = \nabla^2 \bar{\pi}_i^{(1)} - 2J \left( \partial_x \bar{\pi}_i^{(0)}, \partial_y \bar{\pi}_i^{(0)} \right) + (-1)^{i+1} \bar{h}_{i+1} \left( \bar{\eta}^{(1)} + \bar{\eta}^{(0)} \bar{\Pi}_i^{(1)} \right). \quad (2.81)$$

By the same reasoning as in P1 we introduce a "full" slow pressure and interface displacement fields  $\bar{\pi}_i = \bar{\pi}_i^{(0)} + \epsilon \bar{\pi}_i^{(1)}$ ,  $\bar{\eta} = \bar{\eta}^{(0)} + \epsilon \bar{\eta}^{(1)}$  and get the "improved" QGPV equations of the two-layer model:

$$\begin{aligned} \frac{D_i}{Dt_1} \left[ \nabla^2 \bar{\pi}_i + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta} + \epsilon (-1)^{i+1} \bar{h}_{i+1} \bar{\eta} \left( \nabla^2 \bar{\pi}_i + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta} \right) \right. \\ \left. - \epsilon \nabla \bar{\pi}_i \cdot \nabla \left( \nabla^2 \bar{\pi}_i + (-1)^{i+1} \bar{h}_{i+1} \bar{\eta} \right) - 2\epsilon J \left( \partial_x \bar{\pi}_i, \partial_y \bar{\pi}_i \right) \right] = 0, \end{aligned} \quad (2.82)$$

where

$$\frac{D_i}{Dt_1} (\dots) := \partial_{t_1} (\dots) + J \left( \bar{\pi}_i - \epsilon \frac{(\nabla \bar{\pi}_i)^2}{2}, \dots \right), \quad i = 1, 2 \quad (2.83)$$

and  $\bar{\eta} = \bar{\pi}_2 - \bar{\pi}_1$ .

## 2.3 The FG regime

### 2.3.1 Definitions and the basic equations

The FG regime corresponds to the interface displacements of the order one. The FG scaling, thus, differs from the QG one used before. It is as follows. The interface displacement  $\eta$  is scaled as  $H_1$ . Choosing the characteristic length-scale  $L_0$  we rescale the pressure perturbations  $\pi_i$  (cf. (2.3) by  $\rho_i f_0 V_i L_0$ , where  $V_i$ ,  $i = 1, 2$  are the velocity scales in each layer. The velocity scales  $V_1$  and  $V_2$  are of the same order when the parameter  $d$  is of the order one, which corresponds to the FGH sub-regime (cf. Benilov & Reznik, 1996) or are chosen to be  $V_2 \sim \epsilon V_1$  for the FGI sub-regime where the parameter  $d$  is small,  $d \sim \epsilon^2$  (cf. Cushman-Roisin, Sutyrin & Tang, 1992). The consistency of these scalings with the dynamical boundary condition on the interface (2.5) requires that in order to have order one (frontal) interface displacements the Burger number  $Bu = \left(\frac{R_R}{L_0}\right)^2$  should be small  $Bu = \mathcal{O}(\epsilon)$ . Here the Rossby deformation radius is defined with the help of  $H_1$ :  $R_R = \sqrt{g'H_1}/f_0$ .

Introducing the complex variables  $\xi = x + iy$ ,  $\xi^* = x - iy$  we get the following non-dimensional equations for the FG regime:

$$\begin{aligned} \partial_t \mathcal{U}_i + i\mathcal{U}_i + \epsilon (\mathcal{U}_i \partial_\xi \mathcal{U}_i + \mathcal{U}_i^* \partial_{\xi^*} \mathcal{U}_i) &= -2\partial_{\xi^*} \pi_i, \quad i = 1, 2 \\ \partial_\xi [(1 - \eta)\mathcal{U}_1 + (d^{-1} + \eta)\mathcal{U}_2] + c.c &= 0, \\ \partial_t \eta = \epsilon \partial_\xi [(1 - \eta)\mathcal{U}_1] + c.c. & \\ \pi_2 = \pi_1 + \eta, & \end{aligned} \quad (2.84)$$

where  $\mathcal{U}_{1,2} = u_{1,2} + iv_{1,2}$  are the complex velocities in respective layers and  $\eta$  is the interface displacement. The following formulas are used here

$$\partial_\xi = \frac{1}{2} (\partial_x - i\partial_y), \quad \partial_{\xi^*} = \frac{1}{2} (\partial_x + i\partial_y), \quad \nabla^2 = 4\partial_{\xi\xi^*}, \quad J(\xi, \xi^*) = -2i \quad (2.85)$$

while

$$\mathbf{v}_i \cdot \nabla = \mathcal{U}_i \partial_\xi + \mathcal{U}_i^* \partial_{\xi^*}, \quad (2.86)$$

$$\nabla \cdot \mathbf{v}_i = \partial_\xi \mathcal{U}_i + \partial_{\xi^*} \mathcal{U}_i^*. \quad (2.87)$$

It is convenient to rewrite (2.84) in terms of the barotropic and the baroclinic modes (cf. (Benilov & Reznik, 1996)):

$$\partial_t \mathcal{U}_{bt} + i\mathcal{U}_{bt} + \frac{\epsilon d}{1+d} \left[ \partial_\xi (\mathcal{U}_{bt}^2 + \Phi \mathcal{U}_{bc}^2) + \partial_{\xi^*} (|\mathcal{U}_{bt}|^2 + \Phi |\mathcal{U}_{bc}|^2) \right] = -2\partial_{\xi^*} P, \quad (2.88)$$

$$\partial_t \mathcal{U}_{bc} + i\mathcal{U}_{bc} + \frac{\epsilon d}{1+d} \left[ \partial_\xi (\mathcal{U}_{bt} \mathcal{U}_{bc}) + \mathcal{U}_{bc}^* \partial_{\xi^*} \mathcal{U}_{bt} + \mathcal{U}_{bt}^* \partial_{\xi^*} \mathcal{U}_{bc} + \right. \quad (2.89)$$

$$\left. \mathcal{U}_{bc} [\partial_\xi ((d^{-1} + \eta) - \mathcal{U}_{bc}) - (1 - \eta) \partial_\xi \mathcal{U}_{bc}] + \mathcal{U}_{bc}^* [\partial_{\xi^*} ((d^{-1} + \eta) \mathcal{U}_{bc}) - (1 - \eta) \partial_{\xi^*} \mathcal{U}_{bc}] \right] = 2\partial_{\xi^*} \eta,$$

$$\partial_\xi \mathcal{U}_{bt} + c.c = 0, \quad (2.90)$$

$$\partial_t \eta = \frac{\epsilon d}{1+d} [-\mathcal{U}_{bt} \partial_\xi \eta + \partial_\xi (\Phi \mathcal{U}_{bc})] + c.c. \quad (2.91)$$

Here

$$\mathcal{U}_{bt} = (1-\eta)\mathcal{U}_1 + (d^{-1} + \eta)\mathcal{U}_2, \quad \mathcal{U}_{bc} = \mathcal{U}_1 - \mathcal{U}_2, \quad P = \pi_1 + d^{-1}\pi_2 + \frac{\eta^2}{2} \quad (2.92)$$

and a notation  $\Phi = (1-\eta)(d^{-1} + \eta)$  is used for compactness.

### 2.3.2 The main results

As in the QG regime all fields are split into slow and fast parts (cf. (2.15)). Both the slow and the fast components evolve from uniquely defined initial conditions. However, contrary to the QG case, the fast component consists not of the propagating IGW but of inertial oscillations with slowly changing amplitude. For example, the barotropic and baroclinic complex velocities in both sub-regimes are expressed as follows

$$\mathcal{U}_{bt} = 2i\partial_{\xi^*} P, \quad \mathcal{U}_{bc} = -2i\partial_{\xi^*} \eta + \mathcal{A}e^{-it}. \quad (2.93)$$

Here the slow functions  $\eta, P$  denote the leading-order interface displacement and the barotropic pressure, respectively, and  $\mathcal{A} = \mathcal{A}(\xi, \xi^*, t_1, \dots)$  is the slowly evolving envelope of the inertial oscillations. Correspondingly, the leading-order evolution is determined by two coupled equations for slow  $P$  and  $\eta$  and a separate equation for  $\mathcal{A}$ . The evolution equations obtained below are as follows:

FGH sub-regime

$$\partial_{t_1} \eta = \frac{1}{1+d^{-1}} J(\eta, P) \quad (2.94)$$

$$\partial_{t_1} \nabla^2 P + \frac{1}{1+d^{-1}} [J(P, \nabla^2 P) + \nabla \cdot ((1-\eta)(d^{-1} + \eta)J(\eta, \nabla \eta))] = 0. \quad (2.95)$$

$$(1+d^{-1})\partial_{t_1} \mathcal{A} + J(P - \eta^2 - (d^{-1} - 1)\eta, \mathcal{A}) + \quad (2.96)$$

$$\frac{i}{2} [\nabla^2 (P - \eta^2 - (d^{-1} - 1)\eta) + (\nabla \eta)^2] \mathcal{A} - \frac{i}{2} \nabla^2 ((1-\eta)(d^{-1} + \eta)\mathcal{A}) = 0.$$

FGI sub-regime

$$\partial_{t_2} \eta + J(P, \eta) + J\left(\eta, (1-\eta)\nabla^2 \eta - \frac{(\nabla \eta)^2}{2}\right) = 0 \quad (2.97)$$

$$\partial_{t_2} \nabla^2 P + J(P, \nabla^2 P) - J\left(\eta, (1-\eta)\nabla^2 \eta + \frac{(\nabla \eta)^2}{2}\right) = 0 \quad (2.98)$$

$$\partial_{t_1} \mathcal{A} - J(\eta, \mathcal{A}) - \frac{i}{2} \nabla^2 \mathcal{A} + \frac{i}{2} [\nabla^2 (\eta \mathcal{A}) - \mathcal{A} \nabla^2 \eta] = 0. \quad (2.99)$$

The most important and non-trivial feature of both FG sub-regimes is that although the inertial oscillations do not run away as IGW in the preceding Section, they do not make any contribution to the evolution of the slow component.

In other words, the fast oscillations exercise no drag on the slow vortical motion. At the same time, the slow modulation of the inertial oscillations is guided by the vortical motion since the coefficients in the modulation equations (2.96, 2.99) depend on  $P, \eta$ .

Another interesting point is the difference between the FGH and the FGI sub-regimes. In the former the slow component and the modulation amplitude evolve in the same slow time  $t_1$ , while in the latter the slow component evolves in the slow time  $t_2$  and the amplitude  $\mathcal{A}$  - in the faster time  $t_1$ . This is a novel feature arising due to stratification: in the barotropic RSW model the single FG regime is analogous to the FGI sub-regime (see P1 for details).

### 2.3.3 FGH - calculations in the lowest order

The momentum and the continuity equations give:

$$\begin{aligned}\partial_t \mathcal{U}_{bt}^{(0)} + i\mathcal{U}_{bt}^{(0)} &= -2\partial_{\xi^*} P^{(0)} \\ \partial_t \mathcal{U}_{bc}^{(0)} + i\mathcal{U}_{bc}^{(0)} &= 2\partial_{\xi^*} \eta^{(0)}\end{aligned}\quad (2.100)$$

$$\partial_{\xi} \mathcal{U}_{bt}^{(0)} + c.c. = 0, \quad \partial_t \eta^{(0)} = 0. \quad (2.101)$$

Hence

$$\mathcal{U}_{bt}^{(0)} = 2i\partial_{\xi^*} P^{(0)}, \quad P^{(0)} = P^{(0)}(x, y, t_1, \dots), \quad (2.102)$$

$$\mathcal{U}_{bc}^{(0)} = \bar{\mathcal{U}}_{bc}^{(0)} + \tilde{\mathcal{U}}_{bc}^{(0)} = -2i\partial_{\xi^*} \eta^{(0)} + \mathcal{A}^{(0)} e^{-it}, \quad \eta^{(0)} = \eta^{(0)}(x, y, t_1, \dots). \quad (2.103)$$

Proper initial conditions for the slow and the fast parts readily follow from the above equations. Note however that in order to respect the FG scaling the barotropic component of the initial velocity should be almost divergenceless (the same is true in the FGI case below).

### 2.3.4 FGH - the first order

We have at this order

$$\begin{aligned}\partial_t \mathcal{U}_{bt}^{(1)} + i\mathcal{U}_{bt}^{(1)} &= -2\partial_{\xi^*} P^{(1)} + F_{bt}^{(1)} \\ \partial_t \mathcal{U}_{bc}^{(1)} + i\mathcal{U}_{bc}^{(1)} &= 2\partial_{\xi^*} \eta^{(1)} + F_{bc}^{(1)},\end{aligned}\quad (2.104)$$

$$\partial_{\xi} \mathcal{U}_{bt}^{(1)} + c.c. = 0, \quad (2.105)$$

$$\partial_t \eta^{(1)} + \partial_{t_1} \eta^{(0)} = \frac{1}{1+d^{-1}} \left[ -\mathcal{U}_{bt}^{(0)} \partial_{\xi} \eta^{(0)} + \partial_{\xi} \left( \Phi^{(0)} \mathcal{U}_{bc}^{(0)} \right) \right] + c.c., \quad (2.106)$$

where we defined:

$$F_{bt}^{(1)} = -\partial_{t_1} \mathcal{U}_{bt}^{(0)} - \frac{1}{1+d^{-1}} \left[ \partial_{\xi} \left( \mathcal{U}_{bt}^{(0)2} + \Phi^{(0)} \mathcal{U}_{bc}^{(0)2} \right) + \partial_{\xi^*} \left( \left| \mathcal{U}_{bt}^{(0)} \right|^2 + \Phi^{(0)} \left| \mathcal{U}_{bc}^{(0)} \right|^2 \right) \right], \quad (2.107)$$

$$\begin{aligned}
F_{bc}^{(1)} &= -\partial_{t_1} \mathcal{U}_{bc}^{(0)} - \frac{1}{1+d^{-1}} \left[ \partial_\xi \left( \mathcal{U}_{bt}^{(0)} \mathcal{U}_{bc}^{(0)} \right) + \mathcal{U}_{bc}^{(0)*} \partial_{\xi^*} \mathcal{U}_{bt}^{(0)} + \mathcal{U}_{bt}^{(0)*} \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} \right. \\
&\quad + \mathcal{U}_{bc}^{(0)} \left[ \partial_\xi \left( (d^{-1} + \eta^{(0)}) \mathcal{U}_{bc}^{(0)} \right) - (1 - \eta^{(0)}) \partial_\xi \mathcal{U}_{bc}^{(0)} \right] \\
&\quad \left. + \mathcal{U}_{bc}^{(0)*} \left[ \partial_{\xi^*} \left( (d^{-1} + \eta^{(0)}) \mathcal{U}_{bc}^{(0)} \right) - (1 - \eta^{(0)}) \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} \right] \right]. \quad (2.108)
\end{aligned}$$

From (2.106) and (2.102) it follows that

$$\partial_{t_1} \eta^{(0)} = \frac{1}{1+d^{-1}} J \left( \eta^{(0)}, P^{(0)} \right) \quad (2.109)$$

and

$$\eta^{(1)} = \frac{1}{1+d^{-1}} i e^{-it} \partial_\xi \left( \Phi^{(0)} \mathcal{A}^{(0)} \right) + c.c + \bar{\eta}^{(1)}. \quad (2.110)$$

From (2.104), (2.105), (2.102) we get

$$\partial_\xi \bar{F}_{bt}^{(1)} - \partial_{\xi^*} \bar{F}_{bt}^{(1)*} = 0, \quad (2.111)$$

$$\bar{F}_{bt}^{(1)} = -\partial_{t_1} \mathcal{U}_{bt}^{(0)} - \frac{1}{1+d^{-1}} \left[ \partial_\xi \left( \mathcal{U}_{bt}^{(0)2} + \Phi^{(0)} \bar{\mathcal{U}}_{bc}^{(0)2} \right) + \partial_{\xi^*} \left\langle \left| \mathcal{U}_{bt}^{(0)} \right|^2 + \Phi^{(0)} \left| \mathcal{U}_{bc}^{(0)} \right|^2 \right\rangle \right]. \quad (2.112)$$

From (2.111), (2.112), (2.102), (2.103) the following evolution equation for the barotropic pressure component is obtained:

$$\partial_{t_1} \nabla^2 P^{(0)} + \frac{1}{1+d^{-1}} \left[ J \left( P^{(0)}, \nabla^2 P^{(0)} \right) + \nabla \cdot \left( \Phi^{(0)} J \left( \eta^{(0)}, \nabla \eta^{(0)} \right) \right) \right] = 0. \quad (2.113)$$

By virtue of (2.110) the r.h.s. of the second equation in (2.104) is well-defined and the condition of absence of secular terms gives the following equation for the amplitude  $\mathcal{A}^{(0)}$ :

$$\begin{aligned}
(1+d^{-1}) \partial_{t_1} \mathcal{A}^{(0)} + J \left( P^{(0)} - \eta^{(0)2} - (d^{-1}-1) \eta^{(0)}, \mathcal{A}^{(0)} \right) &\quad (2.114) \\
\frac{i}{2} \left[ \nabla^2 \left( P^{(0)} - \eta^{(0)2} - (d^{-1}-1) \eta^{(0)} \right) + \left( \nabla \eta^{(0)} \right)^2 \right] \mathcal{A}^{(0)} - \frac{i}{2} \nabla^2 \left( \Phi^{(0)} \mathcal{A}^{(0)} \right) &= 0.
\end{aligned}$$

Hence, the slow evolution of the lowest-order fields is described by (2.109), (2.113), and (2.114). Although the inertial oscillations envelope is guided by the pressure field, there is no time-scale separation in the time evolution of these two, both evolving in the  $(\epsilon f)^{-1}$  time.

### 2.3.5 FGI - preliminaries

In the FGI sub-regime the scaling should be changed because of the shallow upper layer. Hence, we take (cf. Cushman-Roisin, Sutyrin & Tang, 1992)

$$d = \mathcal{O}(\epsilon^2), \quad \mathcal{U}_1 = \mathcal{O}(1); \quad \mathcal{U}_2 = \mathcal{O}(\epsilon) \quad (2.115)$$

and, therefore

$$\mathcal{U}_{bt} = (1 - \eta)\mathcal{U}_1 + (d^{-1} + \eta)\mathcal{U}_2 = \mathcal{O}(\epsilon^{-1}), \quad \mathcal{U}_{bc} = \mathcal{U}_1 - \mathcal{U}_2 = \mathcal{O}(1). \quad (2.116)$$

Correspondingly, solution is sought in the form:

$$\begin{aligned} \mathcal{U}_{bc} &= \mathcal{U}_{bc}^{(0)} + \epsilon\mathcal{U}_{bc}^{(1)} + \epsilon^2\mathcal{U}_{bc}^{(2)} + \dots \\ \mathcal{U}_{bt} &= \epsilon^{-1}\mathcal{U}_{bc}^{(0)} + \mathcal{U}_{bc}^{(1)} + \epsilon\mathcal{U}_{bc}^{(2)} + \dots \\ P &= \epsilon^{-1}P^{(0)} + P^{(1)} + \epsilon P^{(2)} + \dots \\ \eta &= \eta^{(0)} + \epsilon\eta^{(1)} + \epsilon^2\eta^{(2)} + \dots \end{aligned} \quad (2.117)$$

In what follows we assume that  $d = \epsilon^2$  for simplicity of notation.

### 2.3.6 FGI - calculation in the lowest and the first orders

The lowest order calculation coincides exactly with the FGH one and the equations (2.100 - 2.101) remain valid. At the first order we have

$$\partial_t \mathcal{U}_{bt}^{(1)} + i\mathcal{U}_{bt}^{(1)} = -2\partial_{\xi^*} P^{(1)} - \partial_{t_1} \mathcal{U}_{bt}^{(0)} \quad (2.118)$$

$$\partial_t \mathcal{U}_{bc}^{(1)} + i\mathcal{U}_{bc}^{(1)} = 2\partial_{\xi^*} \eta^{(1)} - \partial_{t_1} \mathcal{U}_{bc}^{(0)} - \mathcal{U}_{bc}^{(0)} \partial_{\xi} \mathcal{U}_{bc}^{(0)} - \mathcal{U}_{bc}^{(0)*} \partial_{\xi^*} \mathcal{U}_{bc}^{(0)}, \quad (2.119)$$

$$\partial_{\xi} \mathcal{U}_{bt}^{(1)} + c.c. = 0, \quad (2.120)$$

$$\partial_t \eta^{(1)} + \partial_{t_1} \eta^{(0)} = \partial_{\xi} \left[ (1 - \eta^{(0)}) \mathcal{U}_{bc}^{(0)} \right] + c.c.. \quad (2.121)$$

It follows from (2.103) and (2.121) that

$$\eta^{(0)} = \eta^{(0)}(x, y, t_2) \quad (2.122)$$

and

$$\eta^{(1)} = i\partial_{\xi} \left[ (1 - \eta^{(0)}) \mathcal{A}^{(0)} \right] e^{-it} + c.c. + \bar{\eta}^{(1)}. \quad (2.123)$$

Equation (2.119) for inertial oscillations is inhomogeneous. Using (2.123) to eliminate  $\eta^{(1)}$  we see that the resonant forcing  $\sim e^{-it}$  appears in the first and the second terms in the r.h.s. of (2.119). These terms are to be eliminated in order to avoid a secular growth of  $\mathcal{U}_1$  and in this way we get the following modulation equation for the amplitude of inertial oscillations

$$-\partial_{t_1} \mathcal{A}^{(0)} + 2i\partial_{\xi^*}^2 \left( (1 - \eta^{(0)}) \mathcal{A}_1^{(0)} \right) + 2i\mathcal{A}^{(0)} \partial_{\xi^*}^2 \eta^{(0)} + 2i \left( \partial_{\xi^*} \eta^{(0)} \partial_{\xi} \mathcal{A}^{(0)} - \partial_{\xi} \eta^{(0)} \partial_{\xi^*} \mathcal{A}^{(0)} \right) = 0. \quad (2.124)$$

In the real notation this equation takes the form:

$$\partial_{t_1} \mathcal{A}^{(0)} - J(\eta^{(0)}, \mathcal{A}^{(0)}) - \frac{i}{2} \mathcal{A}^{(0)} \nabla^2 \mathcal{A}^{(0)} + \frac{i}{2} \left[ \nabla^2 (\eta^{(0)} - 1) \mathcal{A}^{(0)} \right] = 0. \quad (2.125)$$

After elimination of the secular growth, the regular solution of the fast part of (2.119) may be easily found (see an analogous calculation in P1). We, however,

do not present here the calculation of the first fast correction to the baroclinic velocity which is not explicitly used below. From (2.118), (2.120), and (2.102) we obtain the following equations for the slow barotropic variables:

$$\mathcal{U}_{bt}^{(1)} = 2i\partial_{\xi^*} P^{(1)}, \quad P^{(1)} = P^{(1)}(x, y, t_1, \dots), \quad P^{(0)} = P^{(0)}(x, y, t_2, \dots). \quad (2.126)$$

The first slow correction to the baroclinic velocity field is obtained by averaging of (2.119)

$$\bar{\mathcal{U}}_{bc}^{(1)} = -i \left( 2\partial_{\xi^*} \bar{\eta}^{(1)} + 4 \left( \partial_{\xi^*} \bar{\eta}^{(0)} \partial_{\xi^*}^2 \bar{\eta}^{(0)} - \partial_{\xi^*} \bar{\eta}^{(0)} \partial_{\xi^*}^2 \bar{\eta}^{(0)} \right) - \mathcal{A}^{(0)*} \partial_{\xi^*} \mathcal{A}^{(0)} \right) \quad (2.127)$$

### 2.3.7 FGI - calculations in the second order

The momentum equations give at this order:

$$\partial_t \mathcal{U}_{bt}^{(2)} + i\mathcal{U}_{bt}^{(2)} = -2\partial_{\xi^*} P^{(2)} + F_{bt}^{(2)}, \quad (2.128)$$

$$\partial_t \mathcal{U}_{bc}^{(2)} + i\mathcal{U}_{bc}^{(2)} = 2\partial_{\xi^*} \eta^{(2)} + F_{bc}^{(2)}. \quad (2.129)$$

Here

$$F_{bt}^{(2)} = -\partial_{t_2} \mathcal{U}_{bt}^{(0)} - \partial_{t_1} \mathcal{U}_{bt}^{(1)} - \partial_{\xi^*} \left[ \left( \mathcal{U}_{bt}^{(0)} \right)^2 + (1 - \eta^{(0)}) \left( \mathcal{U}_{bc}^{(0)} \right)^2 \right] - \partial_{\xi^*} \left[ |\mathcal{U}_{bt}^{(0)}|^2 + (1 - \eta^{(0)}) |\mathcal{U}_{bc}^{(0)}|^2 \right] \quad (2.130)$$

and

$$\begin{aligned} F_{bc}^{(2)} &= -\partial_{t_2} \mathcal{U}_{bc}^{(0)} - \partial_{t_1} \mathcal{U}_{bc}^{(1)} - \partial_{\xi^*} \left( \mathcal{U}_{bt}^{(0)} \mathcal{U}_{bc}^{(0)} \right) - \mathcal{U}_{bc}^{(0)*} \partial_{\xi^*} \mathcal{U}_{bt}^{(0)} - \mathcal{U}_{bt}^{(0)*} \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} - \mathcal{U}_{bc}^{(1)} \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} \\ &\quad - \mathcal{U}_{bc}^{(1)*} \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} - \mathcal{U}_{bc}^{(0)} \left[ \partial_{\xi^*} \mathcal{U}_{bc}^{(1)} - (1 - \eta^{(0)}) \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} \right] \\ &\quad - \mathcal{U}_{bc}^{(0)*} \left[ \partial_{\xi^*} \mathcal{U}_{bc}^{(1)} - (1 - \eta^{(0)}) \partial_{\xi^*} \mathcal{U}_{bc}^{(0)} \right]. \end{aligned} \quad (2.131)$$

The divergence and mass-conservation equations give, respectively:

$$\partial_{\xi^*} \mathcal{U}_{bt}^{(2)} + c.c. = 0, \quad (2.132)$$

$$\partial_{t_2} \eta^{(0)} + \partial_{t_1} \eta^{(1)} + \partial_t \eta^{(2)} - \partial_{\xi^*} \left( (1 - \eta^{(0)}) \mathcal{U}_{bc}^{(1)} - \eta^{(1)} \mathcal{U}_{bc}^{(0)} \right) + \mathcal{U}_{bt}^{(0)} \partial_{\xi^*} \eta^{(0)} + c.c. = 0. \quad (2.133)$$

By averaging this equation in  $t$  and supposing that  $\eta^{(2)}$  is bounded in time we get

$$\begin{aligned} \partial_{t_1} \bar{\eta}^{(1)} + \partial_{t_2} \bar{\eta}^{(0)} - \left( \partial_{\xi^*} \left[ (1 - \eta^{(0)}) \bar{\mathcal{U}}_{bc}^{(1)} \right] - \partial_{\xi^*} \left( \eta^{(1)} \bar{\mathcal{U}}_{bc}^{(0)} \right) + c.c. \right) \\ + \left\langle \partial_{\xi^*} \left( \bar{\eta}^{(1)} \bar{\mathcal{U}}_{bc}^{(0)} \right) + c.c. \right\rangle - \left( 2i\partial_{\xi^*} P^{(0)} \partial_{\xi^*} \eta^{(0)} + c.c. \right) = 0. \end{aligned} \quad (2.134)$$

The evolution equation for  $\bar{\eta}^{(0)}$  follows from (2.134) as a condition of absence of secular growth of  $\bar{\eta}^{(1)}$  in  $t_1$ . Coming back to the real notation we obtain:

$$\partial_{t_2} \bar{\eta}^{(0)} + J(P^{(0)}, \bar{\eta}^{(0)}) + J \left( \bar{\eta}^{(0)}, (1 - \bar{\eta}^{(0)}) \nabla^2 \bar{\eta}^{(0)} - \frac{(\nabla \bar{\eta}^{(0)})^2}{2} \right) = 0. \quad (2.135)$$

From (2.128, 2.132) we have

$$\partial_t \left( \partial_\xi \mathcal{U}_{bt}^{(2)} - c.c. \right) = -i \left( \partial_{t_2} \nabla^2 P^{(0)} + \partial_{t_1} \nabla^2 P^{(1)} \right) + \partial_\xi F_{bt}^{(2)} - c.c. = 0. \quad (2.136)$$

By time-averaging this equation and using (2.102, 2.103, 2.126, 2.130) we find, coming back to the real notation, the following evolution equation for  $P^{(0)}$ :

$$\partial_{t_2} \nabla^2 P^{(0)} + J(P^{(0)}, \nabla^2 P^{(0)}) + J \left( \bar{\eta}^{(0)}, (1 - \bar{\eta}^{(0)}) \nabla^2 \bar{\eta}^{(0)} - \frac{(\nabla \bar{\eta}^{(0)})^2}{2} \right) = 0. \quad (2.137)$$

Equations (2.135), (2.137) coincide with the  $f$  - plane version of the equations derived for the two-layer FG regime by Cushman-Roisin, Sutyrin & Tang (1992).

We, thus, proved the validity of the 2RSW slow FG equations both for the FGH and the FGI regimes and showed that, as in the RSW case, the slow component is accompanied by the inertial oscillations produced by the initial disturbance. The fast component, nevertheless, does not affect the slow one and evolves with a typical time-scale  $t_1$ . This evolution is described by the Schrödinger equation with coefficients depending on the slow parts of the interface elevation and the barotropic pressure. The calculation analogous to that made in P1 shows that there are no nonlinear (cubic) corrections to this Schrödinger equation at the next order of the perturbation theory. Note also that, although we did work with the non-filtered equation in both FGH and FGI cases we could not avoid a self-consistency constraint of balanced initial conditions, namely that the barotropic component of the initial velocity field should be almost divergenceless. This restricts the applicability of the model.

### 3 Nonlinear geostrophic adjustment in continuously stratified model

#### 3.1 Preliminaries

The hydrostatic primitive equations in the Boussinesq approximation (HSPE) can be written in standard notations as follows:

$$\begin{aligned} \partial_t \mathbf{v}_h + \mathbf{v} \cdot \nabla \mathbf{v}_h + f_0 \hat{\mathbf{z}} \wedge \mathbf{v}_h + \frac{1}{\rho_0} \nabla_h P &= 0, \\ \partial_z P + \rho g &= 0, \\ \partial_t \rho + \mathbf{v} \cdot \nabla \rho &= 0, \\ \nabla \cdot \mathbf{v} &= 0. \end{aligned} \quad (3.1)$$

Here the subscript "h" denotes the horizontal part of the fields (which depend now on the full  $\mathbf{r} = (x, y, z)$ ) or operators. The velocity field is now three-dimensional:  $\mathbf{v} = (\mathbf{v}_h, w)$ , as well as nabla  $\nabla = (\nabla_h, \partial_z)$  and we close the system by requiring that the vertical velocity vanishes at the top and the bottom boundaries:

$$w|_{z=-H} = w|_{z=0} = 0. \quad (3.2)$$

The density  $\rho$  and the pressure  $P$  may be decomposed into static and dynamic parts (here we put ourselves into the oceanographic context with  $\rho_0 \gg \rho_s$ , the calculations may be repeated with corresponding changes in the atmospheric context, see, e.g. Vallis (1996) for the description of the balanced motion)

$$\rho = \rho_0 + \rho_s(z) + \lambda \rho'(x, y, z; t), \quad P = p_s(z) + \lambda p'(x, y, z; t), \quad (3.3)$$

where

$$p_s = -\rho_0 g z + g \int_z^H \rho_s(z', t) dz' \quad (3.4)$$

and  $\lambda$  is a non-dimensional amplitude of the relative deviations of the isopycnal surfaces. Throughout this section  $\rho_s$  is supposed to be a stably stratified density profile and primes in the dynamical part of density and pressure will be omitted.

We are solving an initial-value problem with initial conditions

$$\mathbf{v}_h|_{t=0} = \mathbf{v}_{hI}(x, y, z); \quad \rho|_{t=0} = \rho_I(x, y, z) \quad (3.5)$$

(pressure and vertical velocity are not independent variables in HSPE and may be expressed via hydrostatics and incompressibility equations in (3.1)).

To be consistent with the Boussinesq approximation (divergenceless of velocity) and boundary conditions (3.2) the initial horizontal divergence  $D_I = \nabla_h \cdot \mathbf{v}_h$  should obey the following relation:

$$\int_{-H}^0 dz D_I = 0. \quad (3.6)$$

The PV equation has the form

$$(\partial_t + \mathbf{v} \cdot \nabla) \Pi = 0, \quad (3.7)$$

where

$$\Pi = (\omega + \hat{\mathbf{z}} f_0) \cdot \nabla (\rho_s(z) + \lambda \rho) \quad (3.8)$$

and

$$\omega = (-\partial_z v, \partial_z u, \partial_x v - \partial_y u) \quad (3.9)$$

is the three-dimensional relative vorticity in the hydrostatic approximation. Introducing, as usual, the characteristic horizontal scale  $L$  and the characteristic horizontal velocity scale  $U$  we define the Rossby number  $\epsilon = \frac{U}{f_0 L}$ . The characteristic vertical scale is the fluid layer thickness,  $H \ll L$ , and, to be consistent with incompressibility, the vertical velocity scale is  $W \sim U \frac{H}{L}$ . The characteristic pressure scale is  $\rho_0 f_0 U L$ , the characteristic density variations scale is  $\rho_0 \frac{f_0 U L}{g H}$  as dictated by the Boussinesq equations (3.1). The non-dimensional version of (3.1) is:

$$\begin{aligned} \partial_t \mathbf{v}_h + \epsilon \mathbf{v} \cdot \nabla \mathbf{v}_h + \hat{\mathbf{z}} \wedge \mathbf{v}_h + \nabla_h p &= 0, \\ \partial_z p + \rho &= 0, \\ \partial_t \rho + \epsilon \mathbf{v} \cdot \nabla \rho - s N^2 w &= 0, \\ \nabla \cdot \mathbf{v} &= 0, \end{aligned} \quad (3.10)$$

where the Burger number  $Bu \equiv s = \frac{R_d^2}{L^2}$  and the baroclinic Rossby radius  $R_d = \frac{N_0 H}{f_0}$  are introduced with  $N_0$  denoting the characteristic scale of the Brunt-Väisälä frequency  $N = \left(-\frac{g}{\rho_0} \frac{d\rho_s(z)}{dz}\right)^{\frac{1}{2}}$ . We impose, as usual, (cf. e.g. Romanova & Zeitlin, 1984) the quasi-geostrophy condition  $\frac{\lambda B u}{R_o} = \mathcal{O}(1)$  and omit all order-one numerical factors.

The PV equation can be written as

$$(\partial_t + \epsilon \mathbf{v} \cdot \nabla) \Pi = 0; \quad \Pi = \Pi_0 + \epsilon \Pi_1 + \epsilon^2 \Pi_2, \quad (3.11)$$

where

$$\Pi_0 = -sN^2; \quad \Pi_1 = -s\zeta N^2 + \partial_z \rho; \quad \Pi_2 = -\partial_z v \partial_x \rho + \partial_z u \partial_y \rho + \zeta \partial_z \rho, \quad (3.12)$$

and  $\zeta$  denotes the vertical component of the relative vorticity  $\partial_x v - \partial_y u$ , as usual. Using the density advection equation in (3.10) one can rewrite (3.11) as follows:

$$\partial_t \left( N^2 \Omega - \epsilon \Pi_2 - \frac{\epsilon}{s} \sigma \rho^2 \right) + \epsilon \mathbf{v} \cdot \nabla \left( N^2 \Omega - \epsilon \Pi_2 - \frac{\epsilon}{s} \sigma \rho^2 \right) + \frac{\epsilon^2}{s} \frac{d\sigma}{dz} w \rho^2 = 0, \quad (3.13)$$

where we denote, for brevity

$$\Omega = s\zeta - \partial_z \left( \frac{\rho}{N^2} \right), \quad \sigma = \frac{1}{2N^2} \frac{d^2 \log N^2}{dz^2}. \quad (3.14)$$

## 3.2 The QG regime

### 3.2.1 Definitions and statement of the main results

In this Section we assume that the relative deviations of the isopycnal surfaces are small,  $\lambda = \mathcal{O}(\epsilon)$ . Then from the quasi-geostrophy condition  $\frac{\lambda s}{\epsilon} = \mathcal{O}(1)$  it follows that  $s = \mathcal{O}(1)$ , which means that the scale of the motion is of the order of the Rossby deformation radius,  $L \sim R_d$ .

Qualitatively, the evolution of an arbitrary initial perturbation with small Rossby number and Burger number of order unity is analogous to the two-layer QG case considered in Sect. 2.2, although mathematics is more complicated. Again, our analysis is performed up to the third order in  $\epsilon$ . All fields are split in a unique way into slow and fast components.

The slow component obeys the single "improved" QG equation for the slow pressure field  $\bar{p} = \bar{p}^{(0)} + \epsilon \bar{p}^{(1)}$ :

$$\begin{aligned} \frac{D}{Dt_1} \left[ \partial_z \left( \frac{1}{N^2} \partial_z \bar{p} \right) + \nabla_h^2 \bar{p} - \epsilon 2J(\partial_x \bar{p}, \partial_y \bar{p}) + \frac{\epsilon}{N^2} \left( \partial_{zz}^2 \bar{p} \nabla_h^2 \bar{p} - (\partial_{zx}^2 \bar{p})^2 - (\partial_{zy}^2 \bar{p})^2 \right. \right. \\ \left. \left. - \sigma (\partial_z \bar{p})^2 - \nabla_N \bar{p} \cdot \nabla \left[ N^2 \left( \nabla_h^2 \bar{p} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p} \right) \right) \right] \right) \right] = 0, \end{aligned} \quad (3.15)$$

where  $\frac{D}{Dt_1}$  is the following advective derivative:

$$\frac{D}{Dt_1} \dots = \partial_{t_1} \dots + J \left( \bar{p} - \frac{\epsilon}{2} \nabla \bar{p} \cdot \nabla_N \bar{p}, \dots \right) \quad (3.16)$$

and  $\nabla_N = (\nabla_h, \frac{1}{N^2}\partial_z)$ . Equation (3.15) describes the QG motion on times of the order of  $\epsilon^{-2}f^{-1}$ , i.e. on much longer times than the standard QG equation which follows from (3.15) if the  $\mathcal{O}(\epsilon)$  terms are neglected.

The fast component consists of the internal IGW emitted by the localized initial disturbance. The waves obey the inhomogeneous wave equation for the fast pressure field  $\tilde{p} = \tilde{p}^{(0)} + \epsilon\tilde{p}^{(1)}$

$$\partial_{tt}^2 \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p} \right) + \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p} \right) + \nabla_h^2 \tilde{p} = \epsilon \mathcal{R}(x, y, z; t, t_1, \dots), \quad (3.17)$$

with the boundary conditions

$$\partial_z \tilde{p}|_{z=-1,0} = 0 \quad (3.18)$$

and well-defined initial conditions. The known r.h.s. in (3.17) results from nonlinear interactions of the lowest-order fast component with itself and with the slow one. As in the 2RSW case, these interactions produce no essential resonances and, therefore, the fast fields decay in time at a fixed spatial location and induce no drag in the slow equation (3.15).

### 3.2.2 Calculations in the lowest-order approximation

For zero-order fields we get from (3.10):

$$\begin{aligned} \partial_t \mathbf{v}_h^{(0)} + \hat{\mathbf{z}} \wedge \mathbf{v}_h^{(0)} &= -\nabla_h p^{(0)}, \\ \nabla \cdot \mathbf{v}^{(0)} = 0, \quad \partial_z p^{(0)} + \rho^{(0)} &= 0, \\ \partial_t \rho^{(0)} - N^2 w^{(0)} &= 0. \end{aligned} \quad (3.19)$$

The PV equation (3.11) gives

$$\partial_t \left[ -\partial_z \left( \frac{\rho^{(0)}}{N^2} \right) + \zeta^{(0)} \right] = 0 \quad (3.20)$$

whence it follows that

$$-\partial_z \left( \frac{\rho^{(0)}}{N^2} \right) + \zeta^{(0)} = \Omega^{(0)}(\mathbf{r}, t_1, \dots). \quad (3.21)$$

The horizontal momentum equations in (3.19) may be rewritten in terms of vorticity  $\zeta^{(0)}$  and divergence  $D^{(0)}$  of  $\mathbf{v}_h^{(0)}$ :

$$\begin{aligned} \partial_t \zeta^{(0)} + D^{(0)} &= 0, \\ \partial_t D^{(0)} - \zeta^{(0)} + \nabla_h^2 p^{(0)} &= 0, \end{aligned} \quad (3.22)$$

Excluding the divergence from (3.22) we obtain the equation

$$-\partial_{tt}^2 \zeta^{(0)} - \zeta^{(0)} + \nabla_h^2 p^{(0)} = 0 \quad (3.23)$$

which gives

$$\partial_{tt}^2 \partial_z \left( \frac{1}{N^2} \partial_z p^{(0)} \right) + \partial_z \left( \frac{1}{N^2} \partial_z p^{(0)} \right) + \nabla_h^2 p^{(0)} = \Omega^{(0)}(\mathbf{r}, t_1, \dots). \quad (3.24)$$

The zero-order vertical velocity  $w^{(0)}$  is zero at the vertical boundaries, as follows from (3.2). Then from the density equation in (3.19) it follows that density variations at these boundaries are slow. The hydrostatic balance implies that:

$$\partial_z p^{(0)} \Big|_{z=-1,0} = -\rho^{(0)}(x, y, t_1, \dots) \Big|_{z=-1,0}. \quad (3.25)$$

The initial conditions are:

$$\left( u^{(0)}, v^{(0)}, \rho^{(0)} \right)_{t=0} = (u_I, v_I, \rho_I). \quad (3.26)$$

Representing the pressure as  $p^{(0)} = \bar{p}^{(0)}(\mathbf{r}, t_1, \dots) + \tilde{p}^{(0)}(\mathbf{r}, t, t_1, \dots)$  where  $\bar{p}$  and  $\tilde{p}$  are the slow and the fast parts, respectively, we get the following equation for  $\tilde{p}^{(0)}$ :

$$\partial_{tt}^2 \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p}^{(0)} \right) + \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p}^{(0)} \right) + \nabla_h^2 \tilde{p}^{(0)} = 0, \quad (3.27)$$

with the boundary condition

$$\partial_z \tilde{p}^{(0)} \Big|_{z=-1,0} = 0. \quad (3.28)$$

For  $\bar{p}^{(0)}$  we have the equation

$$\partial_z \left( \frac{1}{N^2} \partial_z \bar{p}^{(0)} \right) + \nabla_h^2 \bar{p}^{(0)} = \Omega^{(0)}(\mathbf{r}, t_1, \dots), \quad (3.29)$$

with the boundary condition

$$\partial_z \bar{p}^{(0)} \Big|_{z=-1,0} = -\rho^{(0)} \Big|_{z=-1,0}. \quad (3.30)$$

The velocity and density fields are split into the fast and the slow components, too, with

$$\bar{\mathbf{v}}_h^{(0)} = \hat{\mathbf{z}} \wedge \bar{\rho}^{(0)}, \quad \bar{w}^{(0)} = 0, \quad \bar{\rho}^{(0)} = -\partial_z \bar{p}^{(0)} \quad (3.31)$$

and

$$\partial_t \tilde{\mathbf{v}}_h^{(0)} + \hat{\mathbf{z}} \wedge \tilde{\mathbf{v}}_h^{(0)} = -\nabla_h \tilde{p}^{(0)}, \quad \partial_z \tilde{p}^{(0)} + \tilde{\rho}^{(0)} = 0, \quad \partial_t \tilde{\rho}^{(0)} - N^2 \tilde{w}^{(0)} = 0. \quad (3.32)$$

The fields  $\bar{\mathbf{v}}_h^{(0)}$ ,  $\tilde{\mathbf{v}}_h^{(0)}$ ,  $\bar{\rho}^{(0)}$ ,  $\tilde{\rho}^{(0)}$ ,  $\bar{w}^{(0)}$ ,  $\tilde{w}^{(0)}$  may be easily found from (3.31), (3.32) once  $\tilde{p}^{(0)}$  and  $\bar{p}^{(0)}$  are given.

Equation (3.29) allows us to initialize the slow and the fast part of the motion (cf. P1). We find that at the initial moment

$$\partial_z \left( \frac{1}{N^2} \partial_z \bar{p}_I^{(0)} \right) + \nabla_h^2 \bar{p}_I^{(0)} = \Omega_I^{(0)}(\mathbf{r}, t_1, \dots) = \zeta_I - \partial_z \left( \frac{\rho_I}{N^2} \right) \quad (3.33)$$

and

$$\partial_z \bar{p}_I^{(0)} \Big|_{z=-1,0} = -\rho_I \Big|_{z=-1,0}. \quad (3.34)$$

After finding  $\bar{p}_I^{(0)}$  one can determine the initial slow velocity and density fields with the help of (3.31)

$$\bar{\mathbf{v}}_{h_I}^{(0)} = \hat{\mathbf{z}} \wedge \nabla_h \bar{p}_I^{(0)}, \quad \bar{\rho}_I^{(0)} = -\partial_z \bar{p}_I^{(0)}. \quad (3.35)$$

Hence

$$\left( \tilde{u}_I^{(0)}, \tilde{v}_I^{(0)}, \tilde{\rho}_I^{(0)} \right) = \left( u_I - \bar{u}_I^{(0)}, v_I - \bar{v}_I^{(0)}, \rho_I - \bar{\rho}_I^{(0)} \right) \quad (3.36)$$

and the first initial condition for  $\tilde{p}^{(0)}$  readily follows

$$\partial_z \tilde{p}^{(0)} \Big|_{t=0} = -\tilde{\rho}_I^{(0)}. \quad (3.37)$$

The second initial condition for  $\tilde{p}^{(0)}$  is found from the first equation in (3.22) by using (3.21) and the hydrostatic equation in (3.19):

$$\partial_{zt}^2 \left( \frac{1}{N^2} \partial_z \tilde{p}^{(0)} \right) \Big|_{t=0} = D_I. \quad (3.38)$$

The problem (3.27), (3.37), and (3.38) is solved by use of Fourier-decomposition in the eigenfunctions  $\Psi_m$  of the following eigenproblem

$$\partial_z \left( \frac{1}{N^2} \partial_z \Psi_m \right) + \lambda_m^2 \Psi_m = 0; \quad \partial_z \Psi_m \Big|_{z=-1,0} = 0; \quad m = 0, 1, \dots, \quad (3.39)$$

where  $\Psi_m(z)$  and  $\lambda_m$  are the eigenfunctions and the eigenvalues, respectively. As is well known, the eigenfunctions  $\Psi_m$  form a complete orthogonal basis. Thus, the fast pressure field is represented as

$$\tilde{p}^{(0)}(x, y, z; t, t_1, \dots) = \sum_{m=0}^{\infty} \tilde{p}_m^{(0)}(x, y; t, t_1, \dots) \Psi_m(z). \quad (3.40)$$

In order to decompose the initial condition (3.37) we write it in the following form:

$$\tilde{p}^{(0)} \Big|_{t=0} = \tilde{F}_0(x, y, z) + F_1(x, y), \quad (3.41)$$

where

$$\tilde{F}_0 = -\int_{-1}^z dz \tilde{\rho}_I^{(0)} - \int_{-1}^0 dz z \tilde{\rho}_I^{(0)}; \quad \int_{-1}^0 dz \tilde{F}_0 = 0 \quad (3.42)$$

and  $F_1$  is an arbitrary function. For each mode  $m \neq 0$  we get the same Klein-Gordon equation as in the RSW case with the only difference that the coefficient in front of Laplacian is  $\lambda_m$ -dependent:

$$-\partial_{tt}^2 \tilde{p}_m^{(0)} - \tilde{p}_m^{(0)} + \frac{1}{\lambda_m^2} \nabla_h^2 \tilde{p}_m^{(0)} = 0; \quad \left( \tilde{p}_m^{(0)}, \partial_t \tilde{p}_m^{(0)} \right)_{t=0} = \left( \tilde{F}_{0m}, -\frac{1}{\lambda_m^2} \tilde{D}_{I_m} \right). \quad (3.43)$$

For  $m = 0$  we have

$$\nabla_h^2 \tilde{p}_0^{(0)} = 0, \tilde{p}_0^{(0)} \Big|_{t=0} = F_1. \quad (3.44)$$

The functions  $\tilde{F}_{0_m}, \tilde{D}_{I_m}$  are the coefficients of the corresponding Fourier-harmonics in the chosen basis. In order to have a localized solution we have to impose  $\tilde{p}_0^{(0)} = 0 \Leftrightarrow F_1 = 0$ .

The solution of problem (3.43) is conveniently written in the form of Fourier-integral:

$$\tilde{p}_m^{(0)} = \int d\mathbf{k}_h \left[ \hat{c}_m^{(+)} e^{i(\mathbf{k}_h \cdot \mathbf{r}_h + \omega_m t)} + \hat{c}_m^{(-)} e^{i(\mathbf{k}_h \cdot \mathbf{r}_h - \omega_m t)} \right], \quad (3.45)$$

where the modal frequencies are

$$\omega_m = \left( \frac{\mathbf{k}_h^2 + \lambda_m^2}{\lambda_m^2} \right)^{\frac{1}{2}} \quad (3.46)$$

and the Fourier-coefficients are

$$\hat{c}_m^{(\pm)} = \frac{1}{2} \left( \hat{F}_{0_m}(\mathbf{k}_h) \mp \frac{\hat{D}_{I_m}(\mathbf{k}_h)}{i\lambda_m^2 \omega_m} \right). \quad (3.47)$$

Here  $\hat{F}_{0_m}, \hat{D}_{I_m}$  are the Fourier-transforms of  $\tilde{F}_{0_m}, \tilde{D}_{I_m}$ , respectively.

In order to determine the horizontal velocity field we use the equation

$$\tilde{\zeta}^{(0)} = \partial_x \tilde{v}^{(0)} - \partial_y \tilde{u}^{(0)} = \partial_z \left( \frac{\tilde{\rho}^{(0)}}{N^2} \right) \quad (3.48)$$

simply following from (3.21). We have from the first equation in (3.22) and (3.48) that

$$\tilde{D}^{(0)} = \partial_x \tilde{u}^{(0)} + \partial_y \tilde{v}^{(0)} = \partial_z \left( \frac{1}{N^2} \partial_t \tilde{\rho}^{(0)} \right). \quad (3.49)$$

From (3.48), (3.49) and the hydrostatic equation we obtain the equation

$$\nabla_h^2 \tilde{U}^{(0)} = (\partial_t - i) \left[ \partial_z \left( \frac{1}{N^2} \partial_z \right) \right] \left( \partial_x \tilde{p}^{(0)} + i \partial_y \tilde{p}^{(0)} \right) \quad (3.50)$$

for the complex velocity  $\tilde{U}^{(0)} = \tilde{u}^{(0)} + i \tilde{v}^{(0)}$ . Once  $\tilde{p}^{(0)}$  is known (cf. (3.45) - (3.47)) we get for the coefficient  $\tilde{U}_m^{(0)}$  of the expression for  $\tilde{U}^{(0)}$  analogous to (3.40):

$$\tilde{U}_m^{(0)} = \int d\mathbf{r}_h e^{i\mathbf{k} \cdot \mathbf{r}_h} \hat{U}_m^{(0)}(\mathbf{r}_h, t), \quad (3.51)$$

$$\hat{U}_m^{(0)} = \frac{\lambda_m^2}{\mathbf{k}^2} (k_1 + ik_2) \left[ (1 - \omega_m) \hat{c}_m^{(+)} e^{i\omega_m t} + (1 + \omega_m) \hat{c}_m^{(-)} e^{-i\omega_m t} \right]. \quad (3.52)$$

### 3.2.3 The first-order solution

The second-order equations of motion are:

$$\begin{aligned}\partial_t \mathbf{v}_h^{(1)} + \hat{\mathbf{z}} \wedge \mathbf{v}_h^{(1)} + \nabla_h p^{(1)} &= -\partial_{t_1} \mathbf{v}_h^{(0)} - \mathbf{v}^{(0)} \cdot \nabla \mathbf{v}_h^{(0)}, \\ \nabla \cdot \mathbf{v}^{(1)} &= 0, \quad \partial_z p^{(1)} + \rho^{(1)} = 0, \\ \partial_t \rho^{(1)} - N^2 w^{(1)} &= -\partial_{t_1} \rho^{(0)} - \mathbf{v}^{(0)} \cdot \nabla \rho^{(0)}.\end{aligned}\quad (3.53)$$

The first-order PV equation gives (cf. (3.13))

$$\partial_t \left( N^2 \Omega^{(1)} - \Pi_2^{(0)} - \sigma \rho^{(0)^2} \right) + \left( \partial_{t_1} + \mathbf{v}^{(0)} \cdot \nabla \right) \left( N^2 \Omega^{(0)} \right) = 0 \quad (3.54)$$

whence by using the last equation in (3.19) we have:

$$\partial_t \left( N^2 \Omega^{(1)} - \Pi_2^{(0)} - \sigma \rho^{(0)^2} + \frac{\rho^{(0)}}{N^2} \partial_z \left( N^2 \Omega^{(0)} \right) \right) = -N^2 \left[ \left( \partial_{t_1} + \bar{\mathbf{v}}_h^{(0)} \cdot \nabla_h \right) \Omega^{(0)} + \bar{\mathbf{v}}_h^{(0)} \Omega^{(0)} \right], \quad (3.55)$$

where (cf. (3.12))

$$\Pi_2^{(0)} = -\partial_z v^{(0)} \partial_x \rho^{(0)} + \partial_z u^{(0)} \partial_y \rho^{(0)} + \zeta^{(0)} \partial_z \rho^{(0)}. \quad (3.56)$$

Averaging (3.55) over the fast time gives

$$\left( \partial_{t_1} + \bar{\mathbf{v}}_h^{(0)} \cdot \nabla_h \right) \Omega^{(0)} = 0 \quad (3.57)$$

and, therefore,

$$\Omega^{(1)} = \frac{1}{N^2} \left[ \Pi_2^{(0)} + \sigma \left( \bar{\rho}^{(0)^2} + 2\bar{\rho}^{(0)} \bar{\rho}^{(0)} \right) - \frac{\bar{\rho}^{(0)}}{N^2} \partial_z \left( N^2 \Omega^{(0)} \right) \right] - \tilde{U}_{01} \partial_x \Omega^{(0)} - \tilde{V}_{01} \partial_y \Omega^{(0)} + \bar{\Omega}^{(1)}(\mathbf{r}, t_1, \dots). \quad (3.58)$$

Here  $\tilde{U}_{01}, \tilde{V}_{01}$  are defined via

$$\tilde{U}_{01} + i\tilde{V}_{01} = \int_0^t dt \left( \tilde{u}^{(0)} + i\tilde{v}^{(0)} \right) - \left\langle \int_0^t dt \left( \tilde{u}^{(0)} + i\tilde{v}^{(0)} \right) \right\rangle \quad (3.59)$$

and the angle brackets denote fast-time averaging, as usual. It follows from (3.52) that the Fourier-transforms of  $\tilde{U}_{01_m}$  in the decomposition  $\tilde{U}_{01} = \sum_m \tilde{U}_{01_m} \Psi_m$  are given by

$$\hat{U}_{01_m} = \frac{\lambda_m^2}{\mathbf{k}^2} \frac{(k_1 + ik_2)}{i\omega_m} \left[ (1 - \omega_m) \hat{c}_m^{(+)} e^{i\omega_m t} - (1 + \omega_m) \hat{c}_m^{(-)} e^{-i\omega_m t} \right]. \quad (3.60)$$

Splitting all the fields into the slow and the fast components gives for the former:

$$\begin{aligned}\bar{\mathbf{v}}_h^{(1)} &= \hat{\mathbf{z}} \wedge \left( \nabla_h \bar{p}^{(1)} - \bar{\mathcal{R}}_{\mathbf{v}_h}^{(0)} \right) \\ \bar{w}^{(1)} &= -\frac{\bar{\mathcal{R}}_\rho^{(0)}}{N^2}; \\ \partial_z \bar{p}^{(1)} + \bar{\rho}^{(1)} &= 0, \\ \bar{\zeta}^{(1)} - \partial_z \left( \frac{\bar{\rho}^{(1)}}{N^2} \right) &= \bar{\Omega}^{(1)}(\mathbf{r}, t_1, \dots),\end{aligned}\quad (3.61)$$

where

$$\begin{aligned}\bar{\mathcal{R}}_{\mathbf{v}_h}^{(0)} &= \left( \partial_{t_1} + \bar{\mathbf{v}}_h^{(0)} \cdot \nabla \right) \bar{\mathbf{v}}_h^{(0)} \\ \bar{\mathcal{R}}_{\rho}^{(0)} &= \left( \partial_{t_1} + \bar{\mathbf{v}}_h^{(0)} \cdot \nabla \right) \bar{\rho}^{(0)},\end{aligned}\quad (3.62)$$

and for the latter:

$$\begin{aligned}\partial_t \tilde{\mathbf{v}}_h^{(1)} + \hat{\mathbf{z}} \wedge \tilde{\mathbf{v}}_h^{(1)} + \nabla_h \tilde{p}^{(1)} &= \tilde{\mathcal{R}}_{\mathbf{v}_h}^{(0)} \\ \partial_t \tilde{\rho}^{(1)} - N^2 \tilde{w}^{(1)} &= \tilde{\mathcal{R}}_{\rho}^{(0)} \\ \partial_z \tilde{p}^{(1)} + \tilde{\rho}^{(1)} &= 0, \\ \tilde{\zeta}^{(1)} - \partial_z \left( \frac{\tilde{\rho}^{(1)}}{N^2} \right) &= \tilde{\mathcal{R}}_{\zeta}^{(0)},\end{aligned}\quad (3.63)$$

where

$$\begin{aligned}\tilde{\mathcal{R}}_{\mathbf{v}_h}^{(0)} &= \left( \partial_{t_1} + \left( \bar{\mathbf{v}}_h^{(0)} + \tilde{\mathbf{v}}_h^{(0)} \right) \cdot \nabla \right) \tilde{\mathbf{v}}_h^{(0)} + \tilde{\mathbf{v}}_h^{(0)} \cdot \nabla \bar{\mathbf{v}}_h^{(0)} + \tilde{w}^{(0)} \partial_z \left( \bar{\mathbf{v}}_h^{(0)} + \tilde{\mathbf{v}}_h^{(0)} \right) \\ \tilde{\mathcal{R}}_{\rho}^{(0)} &= \left( \partial_{t_1} + \left( \bar{\mathbf{v}}_h^{(0)} + \tilde{\mathbf{v}}_h^{(0)} \right) \cdot \nabla \right) \tilde{\rho}^{(0)} + \tilde{\mathbf{v}}_h^{(0)} \cdot \nabla_h \bar{\rho}^{(0)} + \tilde{w}^{(0)} \partial_z \left( \bar{\rho}^{(0)} + \tilde{\rho}^{(0)} \right), \\ \tilde{\mathcal{R}}_{\zeta}^{(0)} &= \frac{1}{N^2} \left[ \tilde{\Pi}_2^{(0)} + \sigma \left( \tilde{\rho}^{(0)^2} + 2\tilde{\rho}^{(0)} \bar{\rho}^{(0)} \right) - \frac{\tilde{\rho}^{(0)}}{N^2} \partial_z \left( N^2 \Omega^{(0)} \right) - N^2 \left( \tilde{\mathbf{U}}_{01} \cdot \nabla_h \Omega^{(0)} \right) \right]\end{aligned}\quad (3.64)$$

and

$$\begin{aligned}\tilde{\Pi}_2^{(0)} &= \left( -\partial_z \bar{v}^{(0)} - \partial_z \tilde{v}^{(0)} \right) \partial_x \tilde{\rho}^{(0)} + \left( \partial_z \bar{u}^{(0)} + \partial_z \tilde{u}^{(0)} \right) \partial_y \tilde{\rho}^{(0)} \\ &+ \left( \bar{\zeta}^{(0)} + \tilde{\zeta}^{(0)} \right) \partial_z \tilde{\rho}^{(0)} - \partial_z \tilde{v}^{(0)} \partial_x \bar{\rho}^{(0)} + \partial_z \tilde{u}^{(0)} \partial_y \bar{\rho}^{(0)} + \tilde{\zeta}^{(0)} \partial_z \bar{\rho}^{(0)}\end{aligned}\quad (3.65)$$

The initial conditions for (3.53) are

$$\mathbf{v}_I^{(1)} = 0, \quad \rho_I^{(1)} = 0. \quad (3.66)$$

Boundary conditions follow from the density equation in (3.53):

$$\partial_t \rho^{(1)} \Big|_{z=-1,0} = - \left( \partial_{t_1} \rho^{(0)} + \mathbf{v}^{(0)} \cdot \nabla_h \rho^{(0)} \right) \Big|_{z=-1,0}. \quad (3.67)$$

Since  $\tilde{\rho}^{(0)} \Big|_{z=-1,0} = 0$  (see (3.28)), we have from (3.67)

$$\left( \partial_{t_1} \bar{\rho}^{(0)} + \mathbf{v}^{(0)} \cdot \nabla_h \bar{\rho}^{(0)} \right) \Big|_{z=-1,0} = 0, \quad (3.68)$$

$$\tilde{\rho}^{(1)} \Big|_{z=-1,0} = - \left( \tilde{\mathbf{U}}_{01} \cdot \nabla_h \bar{\rho}^{(0)} \right) \Big|_{z=-1,0}. \quad (3.69)$$

The boundary conditions (3.68) together with the PV equation (3.57) and known  $\bar{p}_I^{(0)} = \bar{p}^{(0)} \Big|_{t_1=0}$  constitute the complete problem for the lowest-order slow component:

$$\partial_{t_1} \left( \nabla_h^2 \bar{p}^{(0)} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p}^{(0)} \right) \right) + J \left( \bar{p}^{(0)}, \nabla_h^2 \bar{p}^{(0)} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p}^{(0)} \right) \right) = 0, \quad (3.70)$$

$$\begin{aligned} \partial_z \partial_{t_1} \bar{p}^{(0)} + J\left(\bar{p}^{(0)}, \partial_z \bar{p}^{(0)}\right) \Big|_{z=-1,0} &= 0, \\ \bar{p}^{(0)} \Big|_{t_1=0} &= \bar{p}_I^{(0)}, \end{aligned} \quad (3.71)$$

where  $\bar{p}_I^{(0)}$  is determined from the problem (3.33, 3.34). From (3.61) and (3.63) one can obtain a single equation for  $\bar{p}^{(1)}$  and a single equation for  $\tilde{p}^{(1)}$ , respectively:

$$\nabla_h^2 \bar{p}^{(1)} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p}^{(1)} \right) = \bar{\Omega}^{(1)} + \nabla_h \cdot \tilde{\mathcal{R}}_{\mathbf{v}_h}^{(0)} = \bar{\Omega}^{(1)} + 2J\left(\partial_x \bar{p}^{(0)}, \partial_y \bar{p}^{(0)}\right), \quad (3.72)$$

$$(\partial_t^2 + 1) \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p}^{(1)} \right) + \nabla_h^2 \tilde{p}^{(1)} = (\partial_t^2 + 1) \tilde{\mathcal{R}}_\zeta^{(0)} - \partial_t \tilde{\mathcal{Z}}^{(0)} + \nabla_h \cdot \tilde{\mathcal{R}}_{\mathbf{v}_h}^{(0)}. \quad (3.73)$$

Here  $\tilde{\mathcal{Z}}^{(0)} = \partial_x \tilde{\mathcal{R}}_v^{(0)} - \partial_y \tilde{\mathcal{R}}_u^{(0)}$ . Boundary conditions for (3.73) follow from (3.69) and the hydrostatic equation in (3.63):

$$\partial_z \tilde{p}^{(1)} \Big|_{z=-1,0} = -\tilde{\rho}^{(1)} \Big|_{z=-1,0} = \left( \tilde{\mathbf{U}}_{01} \cdot \bar{\rho}^{(0)} \right) \Big|_{z=-1,0}. \quad (3.74)$$

In order to determine the initial conditions for (3.73) we use (3.72) at  $t = 0$ :

$$\nabla_h^2 \bar{p}_I^{(1)} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p}_I^{(1)} \right) = \bar{\Omega}_I^{(1)} + 2J\left(\partial_x \bar{p}_I^{(0)}, \partial_y \bar{p}_I^{(0)}\right). \quad (3.75)$$

The function  $\bar{\Omega}_I^{(1)}$  is calculated from (3.58), (3.74):

$$\bar{\Omega}_I^{(1)} = -\frac{1}{N^2} \left[ \Pi_{2I}^{(0)} + \sigma \left( \tilde{\rho}_I^{(0)2} + 2\tilde{\rho}_I^{(0)} \tilde{\rho}_I^{(0)} \right) - \frac{1}{N^2} \tilde{\rho}_I^{(0)} \partial_z \left( N^2 \Omega_I^{(0)} \right) \right] + \tilde{U}_{01I} \partial_x \Omega_I^{(0)} + \tilde{V}_{01I} \partial_y \Omega_I^{(0)}. \quad (3.76)$$

Boundary conditions for (3.75) follow from (3.66), (3.74):

$$\partial_z \bar{p}_I^{(1)} \Big|_{z=-1,0} = -\left( \tilde{\mathbf{U}}_{01I} \cdot \nabla \tilde{\rho}_I^{(0)} \right) \Big|_{z=-1,0}. \quad (3.77)$$

Solution of (3.75, 3.77) allows to find  $\bar{p}_I^{(1)}$  and, therefore,

$$\tilde{p}_I^{(1)} = -\bar{p}_I^{(1)}. \quad (3.78)$$

The second initial condition for (3.73) is determined from (3.63) and (3.66). From the momentum equation in (3.63) we get the vorticity equation

$$\partial_t \tilde{\zeta}^{(1)} + D^{(1)} = \partial_y \tilde{\mathcal{R}}_u^{(0)} - \partial_x \tilde{\mathcal{R}}_v^{(0)} \quad (3.79)$$

and, hence, (cf. (3.66))

$$\partial_t \tilde{\zeta}^{(1)} \Big|_{t=0} = \partial_y \tilde{\mathcal{R}}_{u_I}^{(0)} - \partial_x \tilde{\mathcal{R}}_{v_I}^{(0)}. \quad (3.80)$$

From the last two equations in (3.63) one obtains

$$\partial_t \tilde{\zeta}^{(1)} + \partial_t \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p}^{(1)} \right) = \partial_t \tilde{\mathcal{R}}_\zeta^{(0)}. \quad (3.81)$$

The second initial condition for  $\tilde{p}^{(1)}$  follows from (3.80, 3.81):

$$\partial_t \partial_z \left( \frac{1}{N^2} \partial_z \tilde{p}^{(1)} \right) \Big|_{t=0} = \partial_t \tilde{\mathcal{R}}_\zeta^{(0)} \Big|_{t=0} - \left( \partial_y \tilde{\mathcal{R}}_{u_I}^{(0)} - \partial_x \tilde{\mathcal{R}}_{v_I}^{(0)} \right). \quad (3.82)$$

Thus we get for  $\tilde{p}^{(1)}$  the closed problem (3.73), (3.74), (3.78), and (3.82).

### 3.2.4 The second-order PV equation

At the third order we analyze only the PV equation which has the form (see (3.13)):

$$\begin{aligned} \partial_t \left( N^2 \Omega^{(2)} - \Pi_2^{(1)} - 2\sigma \rho^{(0)} \rho^{(1)} \right) + \partial_{t_1} \left( N^2 \Omega^{(1)} - \Pi_2^{(0)} - \sigma \rho^{(0)^2} \right) + \partial_{t_2} \left( N^2 \Omega^{(0)} \right) \\ + \mathbf{v}_h^{(0)} \cdot \nabla_h \left( N^2 \Omega^{(1)} - \Pi_2^{(0)} - \sigma \rho^{(0)^2} \right) + \mathbf{v}^{(1)} \cdot \nabla \left( N^2 \Omega^{(0)} \right) + \frac{d\sigma}{dz} w^{(0)} \rho^{(0)^2} = 0. \end{aligned} \quad (3.83)$$

Averaging this equation over the fast time and using the last of the equations (3.19) and the fact that the fast-fast contributions vanish due to the radiation boundary conditions for the waves we get

$$\begin{aligned} \partial_{t_1} \left( N^2 \bar{\Omega}^{(1)} - \bar{\Pi}_2^{(0)} - \sigma \bar{\rho}^{(0)^2} \right) + \partial_{t_2} \left( N^2 \bar{\Omega}^{(0)} \right) \\ + \bar{\mathbf{v}}_h^{(0)} \cdot \nabla_h \left( N^2 \bar{\Omega}^{(1)} - \bar{\Pi}_2^{(0)} - \sigma \bar{\rho}^{(0)^2} \right) + \bar{\mathbf{v}}_h^{(1)} \cdot \nabla_h \left( N^2 \bar{\Omega}_0 \right) + \bar{w}^{(1)} \partial_z \left( N^2 \bar{\Omega}_0 \right) = 0. \end{aligned} \quad (3.84)$$

We rewrite now this equation in terms of slow pressures  $\bar{p}^{(0)}, \bar{p}^{(1)}$ . From (3.61) and (3.62) we obtain the equation:

$$\bar{\mathbf{v}}_h^{(1)} \cdot \nabla_h \left( N^2 \bar{\Omega}^{(0)} \right) = J \left( \bar{p}^{(1)}, N^2 \Omega^{(0)} \right) - \left[ \nabla_h \left( \partial_{t_1} \bar{p}^{(0)} \right) \cdot \nabla_h \left( N^2 \Omega^{(0)} \right) + J \left( \bar{p}^{(0)}, \nabla_h \bar{p}^{(0)} \right) \cdot \nabla_h \left( N^2 \Omega_0 \right) \right] \quad (3.85)$$

which can be represented in the following form with the help of (3.57):

$$\begin{aligned} \bar{\mathbf{v}}_h^{(1)} \cdot \nabla_h \left( N^2 \bar{\Omega}^{(0)} \right) &= J \left( \bar{p}^{(1)} - \frac{(\nabla \bar{p}^{(0)})^2}{2}, N^2 \Omega^{(0)} \right) - \partial_{t_1} \left[ \nabla_h \bar{p}^{(0)} \cdot \nabla_h \left( N^2 \Omega^{(0)} \right) \right] \\ &- J \left( \bar{p}^{(0)}, \nabla \bar{p}^{(0)} \cdot \nabla \left( N^2 \Omega^{(0)} \right) \right). \end{aligned} \quad (3.86)$$

Analogously, using (3.61), (3.62), and (3.57) we have

$$\begin{aligned} \bar{w}^{(1)} \partial_z \left( N^2 \Omega^{(0)} \right) &= -\partial_{t_1} \left( \frac{1}{N^2} \partial_z \bar{p}^{(0)} \partial_z \left( N^2 \Omega^{(0)} \right) \right) - J \left( \bar{p}^{(0)}, \frac{1}{N^2} \partial_z \bar{p}^{(0)} \partial_z \left( N^2 \Omega^{(0)} \right) \right) \\ &- J \left( \frac{\partial_z \bar{p}^{(0)^2}}{2N^2}, N^2 \Omega_0 \right). \end{aligned} \quad (3.87)$$

From (3.61) and (3.31) we obtain

$$\bar{\Omega}^{(1)} = \bar{\zeta}^{(1)} - \partial_z \left( \frac{\bar{\rho}^{(1)}}{N^2} \right) = \nabla_h^2 \bar{p}^{(1)} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p}^{(1)} \right) - 2J \left( \partial_x \bar{p}^{(0)}, \partial_y \bar{p}^{(0)} \right). \quad (3.88)$$

By virtue of (3.31)  $\bar{\Pi}_2^{(0)}$  can be rewritten as

$$\bar{\Pi}_2^{(0)} = -\partial_z \bar{v}^{(0)} \partial_x \bar{\rho}^{(0)} + \partial_z \bar{u}^{(0)} \partial_y \bar{\rho}^{(0)} + \nabla_h^2 \bar{p}^{(0)} \partial_z \bar{\rho}^{(0)} = -\nabla_h^2 \bar{p}^{(0)} \partial_{zz}^2 \bar{p}^{(0)} + \left( \partial_{xz}^2 \bar{p}^{(0)} \right)^2 + \left( \partial_{yz}^2 \bar{p}^{(0)} \right)^2. \quad (3.89)$$

Substituting (3.86), (3.87), (3.88), and (3.89) into (3.84) and combining the resulting equation with (3.57) we finally get a single "improved" QG equation by introducing the "full" slow pressure field  $\bar{p} = \bar{p}^{(0)} + \epsilon \bar{p}^{(1)}$ :

$$\begin{aligned} \frac{D}{Dt_1} \left[ \partial_z \left( \frac{1}{N^2} \partial_z \bar{p} \right) + \nabla_h^2 \bar{p} - \epsilon 2J(\partial_x \bar{p}, \partial_y \bar{p}) + \frac{\epsilon}{N^2} \left( \partial_{zz}^2 \bar{p} \nabla_h^2 \bar{p} - (\partial_{zx}^2 \bar{p})^2 - (\partial_{zy}^2 \bar{p})^2 \right) \right. \\ \left. - \sigma (\partial_z \bar{p})^2 - \nabla_N \bar{p} \cdot \nabla \left[ N^2 \left( \nabla_h^2 \bar{p} + \partial_z \left( \frac{1}{N^2} \partial_z \bar{p} \right) \right) \right] \right] = 0, \end{aligned} \quad (3.90)$$

where  $\frac{D}{Dt_1}$  is the advective derivative corresponding to the "full" velocity field  $\bar{\mathbf{v}}^{(0)} + \epsilon \bar{\mathbf{v}}^{(1)}$  given by

$$\frac{D}{Dt_1} \dots = \partial_{t_1} \dots + J \left( \bar{p} - \frac{\epsilon}{2} \nabla \bar{p} \cdot \nabla_N \bar{p}, \dots \right) \quad (3.91)$$

and we introduced a modified nabla  $\nabla_N = (\nabla_h, \frac{1}{N^2} \partial_z)$ .

### 3.3 The FG regime

#### 3.3.1 Preliminaries

The stratified FG regime, as the two-layer ones considered above, is characterized by  $\mathcal{O}(1)$  isopycnal deviations, i.e. the parameter  $\lambda = \mathcal{O}(1)$  and, hence,  $Bu = \mathcal{O}(\epsilon) \ll 1$ . By this reason we do not use here the representation (3.3) for the density variable  $\rho$ ; the non-dimensional density equation in (3.10) is substituted by the following one:

$$\partial_t \rho + \epsilon \mathbf{v} \cdot \nabla \rho = 0. \quad (3.92)$$

As usual in the frontal regime, it is convenient to introduce the complex horizontal coordinates  $\xi = x + iy$  and the complex velocity  $\mathcal{U} = u + iv$ . Taking into account (3.92) the system (3.10) can be rewritten then as

$$\begin{aligned} \partial_t \mathcal{U} + i\mathcal{U} + 2\partial_{\xi^*} p + \epsilon [(U\partial_\xi + U^*\partial_{\xi^*})\mathcal{U} + w\partial_z \mathcal{U}] &= 0, \\ \partial_z p + \rho &= 0, \\ \partial_t \rho + \epsilon [(U\partial_\xi + U^*\partial_{\xi^*})\rho + w\partial_z \rho] &= 0, \\ \partial_\xi \mathcal{U} + \partial_{\xi^*} \mathcal{U}^* + \partial_z w &= 0. \end{aligned} \quad (3.93)$$

The initial conditions are

$$(\mathcal{U}, \rho)_{t=0} = (\mathcal{U}_I, \rho_I) \quad (3.94)$$

and we use, as before, the rigid lid top and bottom boundary conditions:

$$w|_{z=-1,0} = 0. \quad (3.95)$$

Hence, by virtue of the continuity equation in (3.93) the barotropic part of the initial horizontal velocity field  $\mathcal{U}_{bt_I} = \int_{-1}^0 dz \mathcal{U}_I$  is divergenceless:

$$\partial_\xi \mathcal{U}_{bt_I} + \partial_{\xi^*} \mathcal{U}_{bt_I}^* = 0. \quad (3.96)$$

### 3.3.2 The statement of the main results

The FG regime which we consider here is similar to the FGH sub-regime in the two-layer model. The lowest-order velocity field is represented in the form:

$$\mathcal{U}^{(0)} = \mathcal{A}(x, y, z; t_1) e^{-it} + 2i \partial_{\xi^*} \bar{p}; \quad w = -\partial_{\xi^*} \mathcal{M} e^{-it} + c.c., \quad (3.97)$$

where the slow modulation amplitude  $\mathcal{A}$  of the inertial oscillations and the function  $\mathcal{M}$  are related as follows:

$$\partial_z \mathcal{M} = \mathcal{A}. \quad (3.98)$$

As we see from (3.97) only the horizontal velocity  $\mathcal{U}$  contains a slow component expressed in terms of the slow pressure  $\bar{p}$ ; the lowest-order vertical velocity  $w$  is due to inertial oscillations only.

The slow pressure obeys the following pair of equations:

$$\int_{-1}^0 dz [\partial_{t_1} \nabla^2 \bar{p} + J(\bar{p}, \nabla^2 \bar{p})] = 0, \quad (3.99)$$

$$\partial_{t_1 z}^2 \bar{p} + J(\bar{p}, \partial_z \bar{p}) = 0. \quad (3.100)$$

Evolution of the fast oscillations is conveniently described by the modulation equation for  $\mathcal{M}$ :

$$\begin{aligned} & \partial_{t_1} \partial_{zz}^2 \mathcal{M} + J(\bar{p}, \partial_{zz}^2 \mathcal{M}) + i \frac{\nabla_h^2 \bar{p}}{2} \partial_{zz}^2 \mathcal{M} \\ & - \frac{i}{2} (\partial_z \bar{p}) \nabla_h^2 \mathcal{M} - i [\partial_z (\partial_x + i \partial_y) \bar{p}] [\partial_z (\partial_x - i \partial_y) \mathcal{M}] = 0, \end{aligned} \quad (3.101)$$

Again, we see that the slow component does not "feel" the fast oscillations which give no drag terms in (3.99), (3.100). At the same time the coefficients of the modulation equation (3.101) depend on the slow pressure and density fields, i.e. the fast component is guided by the slow one as in the FG regimes considered above. An important point is that the inertial oscillations envelope and the slow vortex field evolve in the same time  $t_1$ , like in the two-layer FGH regime, and there is no time-scale separation between the two, unlike the RSW FG regime and 2RSW FGI regime. As the initial conditions considered below are almost arbitrary, a natural question arises: is an analog of the two-layer FGI regime possible in the continuously stratified model? A discussion of this point is presented in Sect. 4.

### 3.3.3 The lowest-order linear solution

Taking the lowest-order terms in system (3.93), (3.94), (3.95) yields the following set of equations:

$$\begin{aligned} \partial_t \mathcal{U}^{(0)} + i\mathcal{U}^{(0)} + 2\partial_{\xi^*} p^{(0)} &= 0, \\ \partial_z p^{(0)} + \rho^{(0)} &= 0, \\ \partial_t \rho^{(0)} &= 0, \\ \partial_{\xi} \mathcal{U}^{(0)} + \partial_{\xi^*} \mathcal{U}^{(0)*} + \partial_z w^{(0)} &= 0 \end{aligned} \quad (3.102)$$

with initial and boundary conditions

$$\begin{aligned} (\mathcal{U}_I^{(0)}, \rho_I^{(0)}) &= (\mathcal{U}_I, \rho_I), \\ w^{(0)} \Big|_{z=-1,0} &= 0. \end{aligned} \quad (3.103)$$

The third equation in (3.102) means that the density field is slow:  $\rho^{(0)} = \bar{\rho}_0(\mathbf{r}; t_1, \dots)$ . The pressure field is split into the slow and the fast parts

$$p = \bar{p}^{(0)}(\mathbf{r}; t_1, \dots) + \tilde{p}^{(0)}(\mathbf{r}; t, \dots). \quad (3.104)$$

By introducing the slow-fast decomposition of the velocity field we get the following equations for the slow and the fast components, respectively:

$$\bar{\mathcal{U}}^{(0)} = 2i\partial_{\xi^*} \bar{p}^{(0)}, \quad \partial_z \bar{p}^{(0)} + \bar{\rho}^{(0)} = 0, \quad \partial_{\xi} \bar{\mathcal{U}}^{(0)} + \partial_{\xi^*} \bar{\mathcal{U}}^{(0)*} + \partial_z \bar{w}^{(0)} = 0, \quad (3.105)$$

$$\partial_t \tilde{\mathcal{U}}_0 + i\tilde{\mathcal{U}}_0 = -2\partial_{\xi^*} \tilde{p}^{(0)}, \quad \partial_z \tilde{p}^{(0)} = 0, \quad \partial_{\xi} \tilde{\mathcal{U}}_0 + \partial_{\xi^*} \tilde{\mathcal{U}}_0^* + \partial_z \tilde{w}^{(0)} = 0. \quad (3.106)$$

The corresponding boundary conditions are

$$\bar{w}^{(0)} \Big|_{z=-1,0} = \tilde{w}^{(0)} \Big|_{z=-1,0} = 0. \quad (3.107)$$

From (3.105), (3.107) it follows that  $\bar{w}^{(0)} = 0$ . We represent the fast component of the horizontal velocity as a sum of baroclinic and barotropic parts:

$$\tilde{\mathcal{U}}^{(0)} = \tilde{\mathcal{U}}_{bc}^{(0)}(\mathbf{r}, t, t_1, \dots) + \tilde{\mathcal{U}}_{bt}^{(0)}(x, y, t, t_1, \dots); \quad \int_{-1}^0 dz \tilde{\mathcal{U}}_{bc}^{(0)} = 0. \quad (3.108)$$

Using (3.104), (3.106), and (3.107) we obtain for the barotropic component

$$\partial_t \tilde{\mathcal{U}}_{bt}^{(0)} + i\tilde{\mathcal{U}}_{bt}^{(0)} = -2\partial_{\xi^*} \tilde{p}^{(0)}, \quad \partial_{\xi} \tilde{\mathcal{U}}_{bt}^{(0)} + \partial_{\xi^*} \tilde{\mathcal{U}}_{bt}^{(0)*} = 0 \quad (3.109)$$

whence it readily follows that

$$\tilde{\mathcal{U}}_{bt}^{(0)} = \tilde{p}^{(0)} = 0. \quad (3.110)$$

From (3.106) and (3.110) we get:

$$\tilde{\mathcal{U}}^{(0)} = \tilde{\mathcal{U}}_{bc}^{(0)} = \mathcal{A}^{(0)}(\mathbf{r}; t_1, \dots) e^{-it}; \quad \int_{-1}^0 dz \mathcal{A}^{(0)} = 0 \quad (3.111)$$

and

$$w^{(0)} = \tilde{w}^{(0)} = \mathcal{W}^{(0)}(\mathbf{r}; t_1, \dots) e^{-it} + \text{c.c.}, \quad (3.112)$$

where

$$\mathcal{W}^{(0)} = -\partial_\xi \mathcal{M}^{(0)}. \quad (3.113)$$

The function  $\mathcal{M}^{(0)}$  is the primitive with respect to  $z$  of the modulated amplitude of the inertial oscillations:

$$\partial_z \mathcal{M}^{(0)} = \mathcal{A}^{(0)}. \quad (3.114)$$

Thus, at the lowest order the flow is split into slow geostrophic (vortical) motion with no vertical velocity and fast inertial oscillations field. The former is completely determined by the quasi-steady pressure field and the latter has no signature in the pressure field. As to initial conditions, the slow density and pressure are in the hydrostatic balance,  $\partial_z \bar{p}_I^{(0)} = -\bar{\rho}_I$ , and by decomposing the initial pressure field into the baroclinic and the barotropic parts:

$$\bar{p}_I^{(0)} = \bar{p}_{bc_I}^{(0)}(\mathbf{r}) + \bar{p}_{bt_I}^{(0)}(x, y), \quad (3.115)$$

where  $\int_{-1}^0 dz \bar{p}_{bc_I}^{(0)} = 0$ , we get

$$\bar{p}_{bc_I}^{(0)} = -\int_{-1}^z dz \rho_I + \int_{-1}^0 dz' \int_{-1}^{z'} dz \rho_I. \quad (3.116)$$

In order to find  $\bar{p}_{bt_I}^{(0)}$  and  $\bar{U}_I^{(0)}$  we represent  $\bar{U}^{(0)}$  in the same form:

$$\bar{U}^{(0)} = \bar{U}_{bc}^{(0)}(\mathbf{r}, t_1, \dots) + \bar{U}_{bt}^{(0)}(x, y, t, t_1, \dots) \quad (3.117)$$

with  $\int_{-1}^0 dz \bar{U}_{bc}^{(0)} = 0$ . We have

$$\bar{U}_I^{(0)} = \bar{U}_{bc_I}^{(0)}(\mathbf{r}) + \bar{U}_{bt_I}^{(0)}(x, y), \quad (3.118)$$

where  $\bar{U}_{bc_I}^{(0)} = 2i\partial_{\xi^*} \bar{p}_{bc_I}^{(0)}$ . Since  $\mathcal{U}_I = \bar{U}_I^{(0)} + \tilde{U}_I^{(0)} = \bar{U}_{bc_I}^{(0)} + \bar{U}_{bt_I}^{(0)} + \tilde{U}_I^{(0)}$  and by virtue of (3.110)  $\int_{-1}^0 dz \tilde{U}_I^{(0)} = 0$  the barotropic component at the initial moment is

$$\bar{U}_{bt_I}^{(0)} = \mathcal{U}_{bt_I} = \int_{-1}^0 dz \mathcal{U}_I \quad (3.119)$$

and, therefore,

$$\tilde{U}_I^{(0)} = \mathcal{A}_I^{(0)} = \mathcal{U}_I - \int_{-1}^0 dz \mathcal{U}_I - \bar{U}_{bc_I}^{(0)}. \quad (3.120)$$

Finally, the field  $\bar{p}_{bt_I}^{(0)}$  is determined from the equation

$$2i\partial_{\xi^*} \bar{p}_{bt_I}^{(0)} = \bar{U}_{bt_I}^{(0)}. \quad (3.121)$$

### 3.3.4 The second order evolution equations

At the first order in  $\epsilon$  the system (3.93) yields:

$$\begin{aligned} \partial_t \mathcal{U}^{(1)} + i\mathcal{U}^{(1)} &= - \left[ \partial_{t_1} \mathcal{U}^{(0)} + 2\partial_{\xi^*} p^{(1)} + \left( \mathcal{U}^{(0)} \partial_\xi + \mathcal{U}^{(0)*} \partial_{\xi^*} \right) \mathcal{U}^{(0)} + w^{(0)} \partial_z \mathcal{U}^{(0)} \right], \\ \partial_z p^{(1)} + \rho^{(1)} &= 0, \\ \partial_t \rho^{(1)} &= - \left[ \partial_{t_1} \rho^{(0)} + \left( \mathcal{U}^{(0)} \partial_\xi + \mathcal{U}^{(0)*} \partial_{\xi^*} \right) \rho^{(0)} + w^{(0)} \partial_z \rho^{(0)} \right], \\ \partial_\xi \mathcal{U}^{(1)} + \partial_{\xi^*} \mathcal{U}^{(1)*} + \partial_z w^{(1)} &= 0. \end{aligned} \quad (3.122)$$

The evolution equation for the slow density field at this order

$$\partial_{t_1} \rho^{(0)} + \bar{\mathcal{U}}^{(0)} \partial_\xi \rho^{(0)} + \bar{\mathcal{U}}^{*(0)} \partial_{\xi^*} \rho^{(0)} = \partial_{t_1} \rho^{(0)} + J(\bar{p}^{(0)}, \rho^{(0)}) = 0 \quad (3.123)$$

is readily derived from the mass conservation equation in (3.122) by averaging over the fast time. Thus, the zeroth-order density field is horizontally advected by the geostrophic velocity. The fast part of the mass conservation equation in (3.122) gives

$$\partial_t \rho^{(1)} = - \left[ \left( \tilde{\mathcal{U}}^{(0)} \partial_\xi + \tilde{\mathcal{U}}^{(0)*} \partial_{\xi^*} \right) \rho^{(0)} + \tilde{w}^{(0)} \partial_z \rho^{(0)} \right]. \quad (3.124)$$

Hence, the full density field at this order (cf. (3.113), (3.112), (3.124)) is given by

$$\rho_1 = \bar{p}^{(1)}(\mathbf{r}; t_1, \dots) + [\mathcal{R}_1(\mathbf{r}; t_1, \dots) e^{-it} + \text{c.c.}], \quad (3.125)$$

whence

$$p^{(1)} = \bar{p}^{(1)}(\mathbf{r}; t_1, \dots) + [\mathcal{P}^{(1)}(\mathbf{r}; t_1, \dots) e^{-it} + \text{c.c.}], \quad (3.126)$$

where

$$\partial_z \mathcal{P}^{(1)} = -\mathcal{R}^{(1)} = i \left[ \mathcal{A}^{(0)} \partial_\xi \rho^{(0)} + \mathcal{W}^{(0)} \partial_z \rho^{(0)} \right] = i \frac{\partial (\mathcal{M}^{(0)}, \rho^{(0)})}{\partial (z, \xi)} \quad (3.127)$$

and we used another standard notation for Jacobians allowing us to display explicitly the independent variables.

As usual in the frontal regime, the r.h.s. of the horizontal velocity equation in (3.122) contains resonances. Their removal gives an evolution equation for the inertial oscillations envelope:

$$\partial_{t_1} \mathcal{A}^{(0)} - 2i \frac{\partial (\bar{p}^{(0)}, \mathcal{A}^{(0)})}{\partial (\xi, \xi^*)} + 2i \mathcal{A}^{(0)} \partial_{\xi \xi^*}^2 \bar{p}^{(0)} + 2i \mathcal{W}^{(0)} \partial_z \partial_{\xi^*} \bar{p}^{(0)} + 2\partial_{\xi^*} \mathcal{P}^{(1)} = 0. \quad (3.128)$$

Differentiating (3.128) in  $z$  and using (3.127) and the definition of  $\mathcal{M}^{(0)}$  we arrive at the following modulation equation for  $\mathcal{M}^{(0)}$ :

$$\begin{aligned} \partial_{t_1} \partial_{zz} \mathcal{M}^{(0)} - 2i \frac{\partial (\bar{p}^{(0)}, \partial_{zz}^2 \mathcal{M}^{(0)})}{\partial (\xi, \xi^*)} + 2i \partial_{\xi \xi^*}^2 \bar{p}^{(0)} \partial_{zz}^2 \mathcal{M}^{(0)} \\ - 2i \left( \partial_z \rho^{(0)} \right) \partial_{\xi \xi^*}^2 \mathcal{M}^{(0)} - 4i \left( \partial_{\xi z}^2 \bar{p}^{(0)} \right) \partial_{\xi^* z}^2 \mathcal{M}^{(0)} = 0, \end{aligned} \quad (3.129)$$

which can be rewritten in Cartesian coordinates as follows

$$\begin{aligned} & \partial_{t_1} \partial_{zz}^2 \mathcal{M}^{(0)} + J(\bar{p}^{(0)}, \partial_{zz}^2 \mathcal{M}^{(0)}) + i \frac{\nabla_h^2 \bar{p}^{(0)}}{2} \partial_{zz}^2 \mathcal{M}_0 \quad (3.130) \\ & - \frac{i}{2} \left( \partial_z \bar{p}^{(0)} \right) \nabla_h^2 \mathcal{M}^{(0)} - i \left[ \partial_z (\partial_x + i\partial_y) \bar{p}^{(0)} \right] \left[ \partial_z (\partial_x - i\partial_y) \mathcal{M}^{(0)} \right] = 0. \end{aligned}$$

This equation (with additional terms arising on the  $\beta$ - plane) was initially derived by Young & Ben Jelloul (1997) and then studied by Balmforth & Young (1999). Young and Ben Jelloul omitted the last term arguing that the shear of the background geostrophic flow in their setting was weak. However, they also pointed out that this term was needed to ensure the energy conservation for the inertial oscillations field:

$$E = \frac{1}{2} \int dx dy dz \mathcal{A}^{(0)} \mathcal{A}^{(0)*} \equiv \frac{1}{2} \int dx dy dz \left( \partial_z \mathcal{M}^{(0)} \right) \partial_z \mathcal{M}^{(0)*}. \quad (3.131)$$

Once resonances removed, the first correction to the (complex) horizontal velocity field is readily calculated from the first equation in (3.122):

$$\mathcal{U}_1 = \mathcal{A}^{(1,1)} e^{it} + \bar{\mathcal{U}}^{(1)} + \mathcal{A}^{(1,-1)} e^{-it} + \mathcal{A}^{(1,-2)} e^{-2it} \quad (3.132)$$

where

$$\mathcal{A}^{(1,-2)} = -i \left( \partial_z \mathcal{M}^{(0)} \partial_{\xi z}^2 \mathcal{M}^{(0)} - \partial_{\xi} \mathcal{M}^{(0)} \partial_{zz}^2 \mathcal{M}^{(0)} \right), \quad (3.133)$$

$$\mathcal{A}^{(1,-1)} = \mathcal{A}^{(1)}, \quad (3.134)$$

$$\mathcal{A}^{(1,1)} = \frac{i}{2} \left( \partial_z \mathcal{M}^{(0)*} \partial_{\xi^*} \bar{\mathcal{U}}^{(0)} + 2\partial_{\xi^*} \mathcal{P}^{(1)*} - \partial_{\xi^*} \mathcal{M}^{(0)*} \partial_z \bar{\mathcal{U}}^{(0)} \right), \quad (3.135)$$

$\mathcal{A}^{(1)}$  denotes the first correction to  $\mathcal{A}^{(0)}$  and

$$\begin{aligned} \bar{\mathcal{U}}^{(1)} &= -i \left( -2i\partial_{t_1} \partial_{\xi^*} \bar{p}^{(0)} - \mathcal{A}^{(0)*} \partial_{\xi^*} \mathcal{A}^{(0)} + 4i \left( \partial_{\xi^*} \bar{p}^{(0)} \partial_{\xi \xi^*}^2 \bar{p}^{(0)} - \partial_{\xi} \bar{p}^{(0)} \partial_{\xi^* \xi^*}^2 \bar{p}^{(0)} \right) \right. \\ & \left. + \partial_{\xi^*} \mathcal{M}^{(0)*} \partial_z \mathcal{A}^{(0)} - 2\partial_{\xi^*} \bar{p}^{(1)} \right). \end{aligned} \quad (3.136)$$

The first correction to the vertical velocity can be easily determined, too, from the continuity equation in (3.122). Its slow part is given by

$$\partial_z \bar{w}^{(1)} = - \left( \partial_{\xi} \bar{\mathcal{U}}^{(1)} + c.c. \right) \quad (3.137)$$

whence using (3.136) we obtain the equation

$$\begin{aligned} \partial_z \bar{w}^{(1)} &= -2\partial_{t_1} \partial_{\xi \xi^*}^2 \bar{p}^{(0)} - 2i\partial_{\xi \xi^*}^2 \bar{p}^{(1)} + \quad (3.138) \\ & 4i\partial_{\xi} \left( \partial_{\xi^*} \bar{p}^{(0)} \partial_{\xi \xi^*}^2 \bar{p}^{(0)} - \partial_{\xi} \bar{p}^{(0)} \partial_{\xi^* \xi^*}^2 \bar{p}^{(0)} \right) - i\partial_{\xi} \left( \mathcal{A}^{(0)*} \partial_{\xi^*} \mathcal{A}^{(0)} - \partial_{\xi^*} \mathcal{M}^{(0)*} \partial_z \mathcal{A}^{(0)} \right) + c.c., \end{aligned}$$

which may be rewritten as

$$\partial_z \bar{w}^{(1)} = \frac{1}{2} \left( \partial_{t_1} \nabla^2 \bar{p}^{(0)} + J(\bar{p}^{(0)}, \nabla^2 \bar{p}^{(0)}) \right) - i \left[ \partial_{\xi} \left( \mathcal{A}^{(0)*} \partial_{\xi^*} \mathcal{A}^{(0)} \right) - \partial_{\xi} \left( \partial_{\xi^*} \mathcal{M}^{(0)*} \partial_z \mathcal{A}^{(0)} \right) \right] + c.c. \quad (3.139)$$

By integrating this equation over  $z$  from  $-1$  to  $0$  and using the definition of  $\mathcal{M}^{(0)}$  and the vertical boundary conditions we obtain from this equation that

$$\int_{-1}^0 dz \left[ \partial_{t_1} \nabla^2 \bar{p}^{(0)} + J(\bar{p}^{(0)}, \nabla^2 \bar{p}^{(0)}) \right] = 0. \quad (3.140)$$

Finally, we rewrite (3.123) in terms of  $\bar{p}^{(0)}$ :

$$\partial_{t_1 z} \bar{p}^{(0)} + J(\bar{p}^{(0)}, \partial_z \bar{p}^{(0)}) = 0. \quad (3.141)$$

Equations (3.140), (3.141) together with the initial conditions for  $\bar{p}^{(0)}$  following from (3.115), (3.116), (3.119), and (3.121) form a closed system of equations for the evolution of the slow vortical component of the flow. The system (3.140), (3.141) was first introduced by Benilov (1993).

We, thus, see that at this order the evolution of the system is described by two autonomous equations for the slow pressure coupled with a modulation equation for inertial oscillations field. The modulation equation (3.130) describes the redistribution of energy among the inertial oscillations "catalyzed", in the language of Lelong & Riley (1991), by the vortical component. It is worth repeating that, just as in the two-layer FGH regime (and contrary to the one-layer FG and two-layer FGI), the slow component and the fast oscillations envelope evolve in the same time  $t_1$ .

### 3.3.5 The third-order approximation

As before, we will not dwell into the tedious, but rather straightforward calculations of the third-order corrections to the velocity and density (or pressure) fields, limiting ourselves by analysis of the PV equation. We should mention, however, that cubic corrections to the modulation equation (3.130) identically vanish, as in one- and two-layer FG regimes.

The non-dimensional PV conservation equation is

$$\partial_t \Pi + \epsilon \mathbf{v} \cdot \nabla \Pi = 0, \quad (3.142)$$

where the potential vorticity  $\Pi$  is expressed as

$$\Pi = \partial_z \rho + \epsilon [\zeta \partial_z \rho - \partial_z v \partial_x \rho + \partial_z u \partial_y \rho]. \quad (3.143)$$

Let us state the result of the calculations in the previous orders of the perturbation theory. In the lowest order we get that  $\Pi^{(0)} = \partial_z \rho^{(0)}$  does not depend on the fast time. In the first order in  $\epsilon$  we obtain

$$\partial_t \Pi^{(1)} + \partial_{t_1} \Pi^{(0)} + \mathbf{v}^{(0)} \cdot \nabla \Pi^{(0)} = 0, \quad (3.144)$$

where

$$\Pi^{(1)} = \partial_z \rho^{(1)} + \zeta^{(0)} \partial_z \rho^{(0)} - \partial_z v^{(0)} \partial_x \rho^{(0)} + \partial_z u^{(0)} \partial_y \rho^{(0)}. \quad (3.145)$$

Note that the inertial oscillations do give rise to PV in the first order as

$$\Pi^{(1)} = \partial_z \rho^{(0)} - i \frac{\partial(\rho^{(0)}, \mathcal{U}^{(0)})}{\partial(z, \xi)} + c.c.. \quad (3.146)$$

Finally, at the third order we have the equation

$$\partial_t \Pi^{(2)} + \partial_{t_1} \Pi^{(1)} + \partial_{t_2} \Pi^{(0)} + \mathbf{v}^{(0)} \cdot \nabla \Pi^{(1)} + \mathbf{v}^{(1)} \cdot \nabla \Pi^{(0)} = 0. \quad (3.147)$$

Averaging this equation over the fast time  $t$  gives

$$\partial_{t_1} \bar{\Pi}^{(1)} + \partial_{t_2} \bar{\Pi}^{(0)} + \bar{\mathbf{v}}^{(0)} \cdot \nabla \bar{\Pi}^{(1)} + \bar{\mathbf{v}}^{(1)} \cdot \nabla \bar{\Pi}^{(0)} + \langle \tilde{\mathbf{v}}^{(0)} \cdot \nabla \tilde{\Pi}^{(1)} \rangle = 0. \quad (3.148)$$

Tedious but straightforward calculations using the Jacobi identity for the Jacobians show that the fast-component contributions containing the envelope of the inertial oscillations  $\mathcal{A}^{(0)}$  in the third and the fifth terms in (3.148) are mutually canceled, while there is none of them in the fourth term. Thus, there is no inertial-oscillations drag in the PV evolution equation. By introducing the "full" fields

$$\bar{p} = \bar{p}^{(0)} + \epsilon \bar{p}^{(1)}, \quad (3.149)$$

$$\bar{\Pi} = - (1 + \epsilon \nabla^2 \bar{p}) \partial_{zz}^2 \bar{p} + \epsilon |\nabla \partial_z \bar{p}|^2 \quad (3.150)$$

the PV evolution equation may be rewritten in an explicitly conservative form:

$$\partial_\tau \bar{\Pi} + \bar{\mathbf{v}} \cdot \nabla \bar{\Pi} = O(\epsilon^2), \quad (3.151)$$

where the slow divergenceless velocity field  $\bar{\mathbf{v}}$  is given by the complete geostrophic velocity plus slow ageostrophic corrections. These corrections are provided by the slow pressure terms in (3.136), (3.138), the other terms are canceled as explained above.

## 4 Discussion

The problem of nonlinear geostrophic adjustment or, more generally, the problem of interaction between the fast and the slow components of motion is of fundamental importance for understanding rotating stratified turbulence and has been under active study for years (see, e.g. Bartello, 1995, and references therein). Practically, its solution is crucial for weather and climate prediction (see P1 for general discussion). This problem is attracting much attention from the mathematical community and many important results were recently obtained (Babin, Mahalov & Nicolaenko (1996, 1999, 2000), Babin *et al* (1997), Embid & Majda (1996, 1998)). All these works deal with a spatially periodic motion in a periodic box containing a "soup" of wave-modes and "bones" of vortex-modes evolving together. This setting is evidently well-suited for comparisons with numerical simulations of turbulence and allows, in particular, to apply the ideas of statistical equilibrium to find the evolution tendencies (cf. Bartello, 1995).

In the present paper we, however, take a different approach, which is more appropriate when studying the geostrophic adjustment. Namely, we consider the problem of evolution of a localized initial disturbance in the open domain, thus letting the fast waves to be radiated to infinity. In terms of comparison with numerical simulations, therefore, our results should correspond to the sponge boundary conditions in the horizontal directions. This approach allows us to avoid wave-wave resonances which were thoroughly studied in the above-mentioned papers. The only resonances which do play a rôle in our analysis are those due to the "catalytic" interactions (Lelong & Riley, 1991; Bartello, 1995) of quasi-stationary inertial oscillations with the vortical flow in the frontal geostrophic regime. Inertial oscillations are non-propagative and, therefore, they are staying for a long time at the initial perturbation location. However, they do not make any contribution to the equations governing the slow motion, which we confirm by direct calculation. Another idealization is that we use the hydrostatic approximation compatible with a thin slab geometry, leaving the study of non-hydrostatic Euler - Boussinesq equations for a future work. This approximation simplifies the analysis because of the absence of vertically propagating inertia waves which can produce resonances due to the smallness of their horizontal group velocities (cf. Babin, Mahalov & Nicolaenko, 1998).

The main result of the present work is that, like in the barotropic case considered in P1, the motion of rapidly rotating (small Rossby number) stratified fluid is uniquely split into the slow part close to the geostrophic balance and the fast part consisting of rapidly propagating IGW or non-propagating inertial oscillations. The key point is that the fast component does not affect the slow one up to the third order in the Rossby number. At the same time, the adjustment scenario strongly depends on the stratification and the order of magnitude of the typical deviations of the isopycnal surfaces from their equilibrium positions. We considered above the geostrophic regimes for two types of stratification of the fluid contained in a slab: a density jump (two-layer model) and a smooth density profile (continuously stratified model). If the deviations of the isopycnal surfaces (of the interface in the two-layer case) are small, the adjustment follows the quasigeostrophic scenario. The slow component is governed by the standard PV equations on times of the order of  $(fRo)^{-1}$ . The method we use allows to proceed further in the expansion in  $Ro$  and to derive an improved quasigeostrophic PV equation describing the slow QG component on much longer times of the order of  $(fRo^2)^{-1}$ . This equation is also written in the PV-conservation form and is reduced to the standard quasigeostrophic PV equation on times  $\mathcal{O}(f^{-1}Ro^{-1})$ . The fast component consists of the internal IGW rapidly propagating outward of the localized initial perturbation. It decays in time at a fixed spatial location. Nonlinear interactions of the fast waves with each other and with the slow component do not produce any drag in the slow-component equations (at least up to times  $\mathcal{O}(f^{-1}Ro^{-2})$ ).

In the case of strong deviations of the isopycnal surfaces all the fields, again, are split into slow and fast components, each of them evolving from the well-defined initial conditions. But unlike the QG case the fast component here consists of the non-propagating inertial oscillations with slowly modulated am-

plitude. The modulation is described by a Schrödinger-type equation with coefficients depending on the slow variables, i.e. the oscillations are strongly coupled to the slow component contrary to the QG case. Another distinction from the QG case is that nonlinear self-interaction of the fast component *does give rise* to the slow velocity field. However, and this is a non-trivial fact, this interaction *does not result in any drag terms* in the equations for the slow component.

Correspondingly, the slow component is governed by the frontal geostrophic dynamics equations derived in the papers cited in the Introduction. In the two-layer model the frontal regime depends strongly on the density stratification, i.e. on the ratio of the layer depths. We distinguish between the two basic cases: homogeneous FGH (layer depths of the same order) and inhomogeneous FGI (thin upper layer). In the FGH sub-regime the slow component and the modulation amplitude of the inertial oscillations evolve in the same slow time  $t_1 = Ro t$  while in the FGI regime the slow component is even slower and evolves in  $t_2 = Ro^2 t$ , while the modulation amplitude still evolves in  $t_1$ . Thus, for large-scale initial disturbances with strong density perturbations the geostrophic adjustment may be incomplete or delayed due to the presence of the fast near-inertial oscillations co-evolving with the slow frontal-geostrophic vortical component of the flow.

In the continuously stratified fluid the frontal regime is similar to the two-layer FGH sub-regime as the slow component and the envelope of the inertial oscillations both evolve in  $t_1$ . It should be noted, however, that while performing our calculations we tacitly assume that the initial density has no discontinuities nor sharp gradients in the vertical. If this assumption does not hold, the asymptotic procedure of Subsection 3.3 should be modified. We believe that regimes analogous to the FGI one are also possible for certain continuous stratifications, for example the thermocline-type stratifications with a thin sharp thermocline.

The perturbation method we use imposes obvious self-consistency restrictions and has a validity domain limited in time. The motion should preserve its single-scale character for both fast and slow components (including the vertical scale in continuously stratified model) and there should not be explosive finite-time instabilities in slow dynamics destroying the slow-time scaling.

The limits of the single space-scale approach become evident while passing to the  $\beta$  - plane equations. The  $\beta$ -effect may be introduced in all models above along the lines of P1 with the same conclusions. First, the fast-slow splitting persists on the  $\beta$  - plane, too, and the slow-component equations are the same as those derived by filtering of the fast component. Thus, the slow dynamics of the stratified fluid on the  $\beta$  - plane is self-consistent. Second, the single spatial-scale asymptotic approach results in incurable secular growth of the higher-order fast corrections. A self-consistent asymptotic procedure, yet to be developed, should be based on at least two spatial scales in order to take into account distortions of the fast-wave rays due to the spatial inhomogeneity induced by the  $\beta$  - effect.

Another problem is the baroclinic instability which should be endemic in the frontal regimes, whose characteristic scales are much larger than the deformation radius. It is evident that this instability can destroy the presumed FG scaling if it gives rise to the growth of the perturbations with scale of the order of the deformation radius. Benilov (1993) showed that in the continuously stratified frontal

regime the instability occurs for perturbations with small enough horizontal scale. Moreover, the instability growth-rate tends to infinity as the perturbation scale goes to zero. Stability of the two-layer frontal geostrophic regimes was considered by Benilov & Cushman-Roisin (1994). Swaters (1993) explored the similar problem for the two-layer ocean with sloping bottom. Karsten & Swaters (2000a,b) investigated in detail the stability of various two-layer frontal sub-regimes on the  $\beta$ - plane. The results of these papers indicate that higher-order corrections to the FG slow equations (like those calculated above for the QG-regime) are necessary to control the instability. In any case our analysis, as in the RSW case in P1, shows that the evolution properties of slow structures, in general, and their stability, in particular, may be safely studied within the slow dynamics equations as they are not influenced at all by the fast component of the flow. Even if the flow in the frontal regime develops a baroclinic instability, unless this latter is explosive, one can still follow the early stages (note, that "early" is in the slow-time sense and that the baroclinic instability is known to be slow to develop) of the joint evolution of inertial oscillations and vortex flow with the help of the fast and slow FG equations.

We should also emphasize that the "improved" QG equations obtained in P1 and in the present paper deserve further study as they contain higher derivatives with respect to the standard QG equations which should modify the short-scale behavior of solutions. It should be noticed that the improved QGPV equations in RSW, 2RSW and HSPE may be simplified by passing from the geostrophic pressure(s) to the geostrophic Bernoulli function(s)  $B = p - \frac{\epsilon}{2}(\nabla p)^2$ . (The usefulness of the Bernoulli function in the context of balanced motions was advocated long ago by Sutyrin, 1994). In this way the gradients disappear from the advecting velocity and the third derivatives disappear from the advected PV, which is important for computational purposes. It should be also mentioned that Vallis (1996) obtained a system of balanced equations from "a step beyond quasi-geostrophy" approximation by direct filtering of the fast component and by considering corrections to the QG PV-inversion in the primitive equations in the atmospheric context. We believe that this system may be further reduced to a single improved QGPV equation.

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