

5. Singularity formation and frontogenesis

V. Zeitlin

Laboratory of Dynamical Meteorology,
Sorbonne University & Ecole Normale Supérieure,
Paris, France

Mathematics of the atmosphere and oceans,
SUSTECH, Shenzhen, 2023

Plan

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW

Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity : 2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state

Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

1.5D RSW

Dimension "1.5" : no dependence on y

Equations of the model :

$$\partial_t u + u \partial_x u - fv + g \partial_x h = 0 ,$$

$$\partial_t v + u \partial_x v + fu = 0 ,$$

$$\partial_t h + u \partial_x h + h \partial_x u = 0 .$$

Frontal configurations : **localized** distributions of $v(x)$, $h(x)$
with **common compact support** in x of v , $\partial_x h$.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Lagrangian invariants

- ▶ Potential Vorticity :

$$Q = (\partial_x v + f)/h, \quad (1)$$

- ▶ Geostrophic Momentum :

$$M = v + fx \quad (2)$$

$$(\partial_t + u\partial_x)M = 0, \quad (\partial_t + u\partial_x)Q = 0. \quad (3)$$

Inertia - gravity waves

Linearization with respect to the rest state $H = \text{const}$: zero mode (slow motions) and inertia- gravity waves (fast motions) with standard dispersion relation :

$$\omega = \pm(c_0^2 k^2 + f^2)^{\frac{1}{2}}, \quad c_0 = \sqrt{gH}. \quad (4)$$

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Geostrophic equilibrium

Exact solution of the equations of motion :

$$fv = g\partial_x h, \quad u = 0, \quad (5)$$

(Infinitely) slow motions : vorticity is entirely determined by the perturbation of h and vice versa :

$$Q^{(g)} = \left(\frac{f + \frac{g}{f} \partial_{xx}^2 h}{h} \right). \quad (6)$$

Geostrophic adjustment

Adjustment \rightarrow **Relaxation towards equilibrium state.**

Equilibrium \leftrightarrow **minimum of energy** \Rightarrow necessity to evacuate energy. The only energy sink in the absence of dissipation : **émission of inertia - gravity waves.**

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW

Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity : 2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state

Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

Equations of motion in Lagrangian coordinates

Lagrangian coordinates

Trajectories of "fluide parcels" $x \rightarrow X(x, t)$, where x is a position of the parcel at $t = 0$. $\dot{X} = u(X, t)$, $X' := \frac{\partial X}{\partial x}$.

Momentum equations

$$\begin{aligned}\ddot{X} - fv + g\partial_X h &= 0, \\ \dot{v} + f\dot{X} &= 0,\end{aligned}\tag{7}$$

where v is considered as a function of x and t .

Conservation of mass :

$$h(X, t) dX = h_I(x) dx, \Rightarrow h(X, t) = h_I(x)\partial_X x.\tag{8}$$

Reduction to a single equation

Integration of the equation for v :

$$v(x, t) + fX(x, t) = M(x). \quad (9)$$

Determination of M from b.c. :

$$M(x) = fx + v_l(x). \quad (10)$$

Chain differentiation :

$$\partial_X h = \partial_X (h_l(x) \partial_x X) = h_l'(X')^{-2} - h_l(x) X'' (X')^{-3}, \quad (11)$$

Closed equation for X :

$$\ddot{X} + f^2 X + gh_l'(X')^{-2} + \frac{gh_l}{2} [(X')^{-2}]' = fM. \quad (12)$$

Re-writing in terms of **deviations of parcels from their initial positions** : $X(x, t) = x + \phi(x, t)$:

$$\ddot{\phi} + f^2 \phi + gh'_I \left(\frac{1}{(1 + \phi')^2} \right) + \frac{gh_I}{2} \left(\frac{1}{(1 + \phi')^2} \right)' = fv_I . \quad (13)$$

To be solved with b.c. :

$$\phi(x, 0) = 0, \quad \dot{\phi}(x, 0) = u_I(x),$$

where u_I is the initial velocity in x direction.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

**Lagrangian 1.5D
RSW**

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

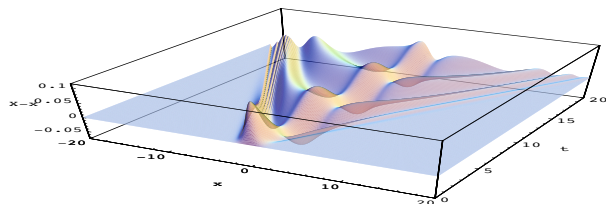
Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Direct simulation with MATHEMATICA of the 1.5D adjustment

Initial configuration :

$$h_I(x) = 1 + e^{-x^2}, \quad v_I(x) = -2(x + 0.2 \sin(x)) e^{-x^2}, \quad u_I(x) = 0.1 e^{-x^2}$$



Wave breaking leads to numerical oscillations on the left in this non-shock-capturing simulation.

Geostrophic
adjustment in
2.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

**Fully nonlinear
geostrophic
adjustment**

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Example : adjustment of a "wind blow" (Rossby, 1936)

Initial condition : **jet out of equilibrium** :

$$h_l = H = \text{const}, \quad v_l \neq 0.$$

Notation $J = \partial X / \partial x = H/h(X, t)$.

$$g \partial_X h = \partial_x P, \quad P = gH/(2J^2) - \text{Lagrangian pressure}$$

Lagrangian equations :

$$\dot{u} - fv + \partial_x P = 0, \quad (14)$$

$$\dot{v} + fu = 0, \quad (15)$$

$$\dot{J} - \partial_x u = 0. \quad (16)$$

Reduction to a single equation for J

$$\ddot{J} + f^2 J + \partial_{xx}^2 P = fHQ. \quad (17)$$

Here

$$Q(x) = \frac{1}{H} (\partial_x v(x, t) + fJ(x, t)) = \frac{1}{H} (\partial_x v_I(x) + fJ_I(x)).$$

Stationary adjusted solution :

$$f^2 J + \partial_{xx}^2 P = fHQ \quad (18)$$

- entirely determined by Q

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

**Fully nonlinear
geostrophic
adjustment**

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

High-resolution numerical simulations of Rossby adjustment

Mathematics of
the atmosphere
and oceans 5

V. Zeitlin

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

**Fully nonlinear
geostrophic
adjustment**

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

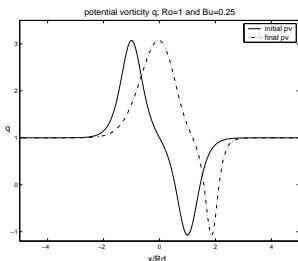
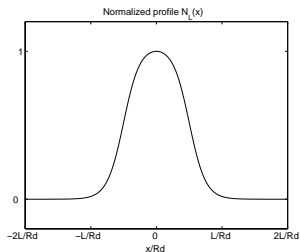
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions



Initial jet profile (left) and initial and final distributions of PV (right). Notice the region of **negative PV**.

Process of adjustment as seen in the thickness field

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

**Fully nonlinear
geostrophic
adjustment**

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

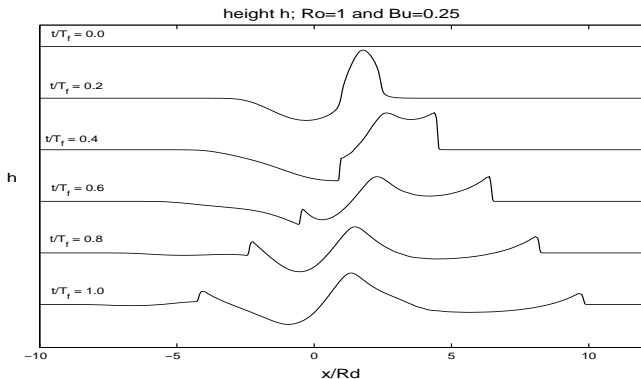
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions



Notice a discontinuity formation in the region of negative PV.

Check of balance in the adjusted state

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

**Fully nonlinear
geostrophic
adjustment**

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

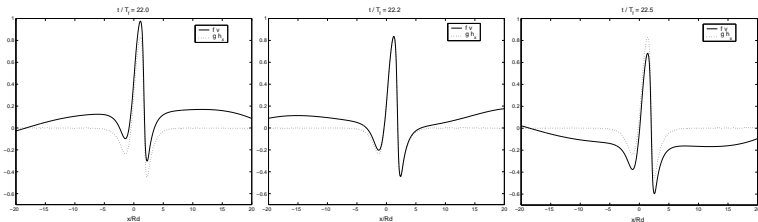
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions



Existence of adjusted state : question

Does physically acceptable, i.e. smooth with everywhere positive thickness, adjusted state exist for any initial PV, and is it unique?

In original variables X : $dX = Jda$,

$$-\frac{g}{f} \frac{d^2 h(X)}{dX^2} + h(X) Q(X) = f. \quad (19)$$

where PV is a function of X , which is given by the inverse mapping $x = x(X, t)$:

$$Q(X) = \frac{1}{h_l(x(X))} \left(f + \frac{\partial v_l(x(X))}{\partial x} \right).$$

Existence and uniqueness of positive solutions for ODE (20) ?

Existence of adjusted state : answer

Theorem

For positive $Q(X)$ with compact support derivatives and arbitrary constant asymptotics (front) equation (20) has unique bounded and everywhere positive solution $h(X)$ at $-\infty \leq X \leq +\infty$.

Frontogenesis : "catastrophic" adjustment leading to a non-smooth adjusted state.

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW

Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity : 2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state

Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

2-layer rigid-lid RSW

$$\partial_t u_1 + u_1 \partial_x u_1 - f v_1 + \rho_1^{-1} \partial_x \pi = 0, \quad (20a)$$

$$\partial_t v_1 + u_1 (f + \partial_x v_1) = 0, \quad (20b)$$

$$\partial_t u_2 + u_2 \partial_x u_2 - f v_2 + \rho_2^{-1} \partial_x \pi + g' \partial_x \eta = 0, \quad (20c)$$

$$\partial_t v_2 + u_2 (f + \partial_x v_2) = 0, \quad (20d)$$

$$\partial_t (H_1 - \eta) + \partial_x ((H_1 - \eta) u_1) = 0, \quad (20e)$$

$$\partial_t (H_2 + \eta) + \partial_x ((H_2 + \eta) u_2) = 0, \quad (20f)$$

g' - reduced gravity, $H_1 + H_2 = H$. PV layer-wise :

$$Q_1 = \frac{f + \partial_x v_1}{h_1}, \quad \text{and} \quad Q_2 = \frac{f + \partial_x v_2}{h_2}. \quad (21)$$

Geostrophic equilibria : **exact solutions** :

$$v_1 = \frac{1}{f \rho_1} \partial_x \pi, \quad (22a)$$

$$v_2 = \frac{1}{f \rho_2} \partial_x \pi + \frac{g'}{f} \partial_x \eta. \quad (22b)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE
Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Existence and uniqueness of adjusted states

Combining (22) and (23a) \rightarrow ODEs for equilibrium heights :

$$\frac{g'}{f} h_1'' - (Q_2 + r Q_1) h_1 = -(-f(1-r) + H Q_2) , \quad (23a)$$

$$\frac{g'}{f} h_2'' - (Q_2 + r Q_1) h_2 = -(f(1-r) + rH Q_1) , \quad (23b)$$

where $r = \rho_1/\rho_2 < 1$. Both of the type

$$h'' - R(x) h = -S(x)$$

Similar to 1-layer case : $R > 0, S > 0 \Rightarrow$ existence, uniqueness, and positiveness of solutions.

$\pm\infty. \Rightarrow$ adjusted state \exists for initial states with localized PV anomalies with

$$Q_1 \geq 0 , \quad \text{and} \quad Q_2 \geq (1-r) f/H , \quad (24)$$

\rightarrow additional restrictions.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE
Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Equations of 2.5D PE

"Dimension 2.5" : no dependence of y :

$$\frac{Du}{Dt} - fv + \phi_x = 0, \quad (25)$$

$$\frac{Dv}{Dt} + fu = 0, \quad (26)$$

$$\phi_z = g \frac{\theta}{\theta_r}, \quad (27)$$

$$u_x + w_z = 0, \quad (28)$$

$$\frac{D\theta}{Dt} = 0, \quad (29)$$

$$\frac{D}{Dt} = \partial_t + u\partial_x + w\partial_z \quad (30)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Lagrangian invariants

- ▶ Potential temperature θ ,
- ▶ Potential vorticity :

$$q = (\partial_x v + f)\theta_z - v_z\theta_x, \quad (31)$$

- ▶ Geostrophic momentum

$$M = v + fx \quad (32)$$

$$\frac{D}{Dt}(\theta, M, q) = 0. \quad (33)$$

Expression of q in terms of M :

$$q = \frac{\partial(M, \theta)}{\partial(x, z)}. \quad (34)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW
Fully nonlinear
geostrophic
adjustment
The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE
Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP
Frontogenesis at
zero PV
Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Inertia - gravity waves

Linearization about state of rest with linear stratification

$$\theta = \theta_r \frac{N^2}{g} z$$

with constant Brunt - Vaisala frequency $N = \text{const}$: zero mode (slow motions) and inertia- gravity waves (fast motions) with standard dispersion relation :

$$\omega = \pm \left(N^2 \frac{k^2}{m^2} + f^2 \right)^{\frac{1}{2}}, \quad (35)$$

where wavenumber in (x, z) space is :

$$\mathbf{k} = k\hat{x} + m\hat{z}. \quad (36)$$

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW

Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity : 2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state

Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

Thermal wind and geostrophic potential

Stationary states

$$u = w = 0, \quad fv = \phi_x, \quad g \frac{\theta}{\theta_r} = \phi_z. \quad (37)$$

Elimination of ϕ , use of M :

$$f \frac{\partial M}{\partial z} = \frac{g}{\theta_r} \frac{\partial \theta}{\partial x}, \quad (38)$$

\Rightarrow "geostrophic potential" Φ may be introduced for equilibrium states :

$$M = f^{-1} \frac{\partial \Phi}{\partial x}, \quad (39a)$$

$$\theta = \frac{\theta_r}{g} \frac{\partial \Phi}{\partial z}. \quad (39b)$$

Monge-Ampère equation for adjusted state

Lagrangian conservation of PV \Rightarrow same PV in initial and adjusted states :

$$q = \frac{\partial(M, \theta)}{\partial(x, z)} = \frac{\partial^2 \Phi}{\partial x^2} \frac{\partial^2 \Phi}{\partial z^2} - \left(\frac{\partial^2 \Phi}{\partial x \partial z} \right)^2 = \frac{gf}{\theta_r} q \quad (40)$$

Monge-Ampère (MA) equation.

Localized fronts on the background of **linear stratification**

$N = \text{const}$ in the whole (x, z) - plane :

$$\Phi|_{|x|, |z| \rightarrow \infty} = \frac{1}{2}(f^2 x^2 + N^2 z^2) \Rightarrow$$

$\Phi = \text{const}$ at a distant ellipse \Rightarrow Dirichlet problem in a **convex domain**.

Existence and uniqueness of solution : $\Leftrightarrow q > 0$.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

**Existence and
uniqueness of
adjusted state**

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Complications with vertical boundaries

Flow in a strip $z_- \leq z \leq z_+$, $z_{\pm} = \text{const.}$ $q > 0 \Rightarrow$
 $(x, z) \rightarrow (M, \theta)$ well defined, strip \mapsto **non-convex** domain.

Thermal wind relation :

$$f \frac{\partial x}{\partial \theta} = \frac{g}{\theta_r} \frac{\partial z}{\partial M} \rightarrow \quad (41)$$

"Potential" Ψ for (x, z) :

$$x = \frac{\theta_r}{g} \frac{\partial \Psi}{\partial M} \quad z = f^{-1} \frac{\partial \Psi}{\partial \theta} \rightarrow \quad (42)$$

Monge-Ampère equation :

$$\frac{\partial^2 \Psi}{\partial M^2} \frac{\partial^2 \Psi}{\partial \theta^2} - \left(\frac{\partial^2 \Psi}{\partial M \partial \theta} \right)^2 = \frac{\theta_r}{g f} \frac{1}{q}. \quad (43)$$

Neumann boundary conditions at vertical boundaries :

$$f^{-1} \frac{\partial \Psi}{\partial \theta} \Big|_{M_{\pm}, \theta_{\pm}} = z_{\pm} \quad (44)$$

No existence and uniqueness results for MA equation in
 non-convex domain with Neumann b.c.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

**Existence and
uniqueness of
adjusted state**

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Equations of motion in Lagrangian coordinates

Lagrangian coordinates

Trajectories of fluid "parcels"

$(x, z) \rightarrow (X(x, z, t), Z(x, z, t))$, where (x, z) is a position of a parcel at $t = 0$. $(\dot{X}, \dot{Z}) = (u(X, Z, t), w(X, Z, t))$.

Incompressibility equation - conservation of volume :

$$\frac{\partial(X, Z)}{\partial(x, z)} = 1. \quad (45)$$

Hydrostatic equation

$$\partial_z \phi \equiv \frac{\partial(X, \phi)}{\partial(x, z)} = g \frac{\theta_l}{\theta_r}. \quad (46)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

**Lagrangian 2.5D
EP**

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Horizontal momentum equations

$$\begin{aligned} \ddot{X} - fv + \partial_X \phi &= 0, \\ \dot{v} + f\dot{X} &= 0, \end{aligned} \quad (47)$$

Elimination of v :

Conservation of M and b. c. :

$$M(x) = v + fX = fx + v_I(x). \quad (48)$$

Elimination of ϕ by cross-differentiation :

$$\frac{\partial(X, \ddot{X} - fv_I - f^2x)}{\partial(x, z)} + \frac{g}{\theta_r} \frac{\partial(\theta_I, Z)}{\partial(x, z)} = 0 \quad (49)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

**Lagrangian 2.5D
EP**

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Stationary adjusted states :

$$\frac{\partial(X, -fv_l - f^2x)}{\partial(x, z)} + \frac{g}{\theta_r} \frac{\partial(\theta_l, Z)}{\partial(x, z)} = 0 \quad (50)$$

$$\frac{\partial(X, Z)}{\partial(x, z)} = 1 \quad (51)$$

These equations can be solved **analytically** for configurations with constant PV, for example for a layer of the fluid between a flat bottom (at $z = 0$) and a rigid lid (at $z = H = 1$), with b. c. :

$$Z(x, 0) = 0, Z(x, 1) = 1. \quad (52)$$

Localized fronts/jets correspond to $X|_{x \rightarrow \pm\infty} = x$.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

**Lagrangian 2.5D
EP**

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Initial configuration :

θ_I varying only horizontally, no vertical shear in v_I :

$$\theta_I = \theta_I(x), \quad v_I = v_I(x) \Rightarrow q \equiv 0. \quad (53)$$

Horizontal momentum equation :

$$\frac{\partial X}{\partial z} f(v_I' + f) + \frac{\partial Z}{\partial z} \frac{g\theta_I'}{\theta_r} = 0, \quad (54)$$

where prime denotes differentiation with respect to x .

Integration in z :

$$X = \frac{\mathcal{F}(x)}{fv_I' + f^2} - \frac{g\theta_I'/\theta_r}{fv_I' + f^2} Z, \quad (55)$$

$\mathcal{F}(x)$ - integration "constant" .

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW
Fully nonlinear
geostrophic
adjustment
The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE
Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**
Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Using the incompressibility equation :

$$Z^2 \left(\frac{g\theta'_1/\theta_r}{fv'_1 + f^2} \right)' - 2 \left(\frac{\mathcal{F}}{fv'_1 + f^2} \right)' Z + 2(\mathcal{G}(x) + z) = 0, \quad (56)$$

where $\mathcal{G}(x)$ - another integration "constant" after integration in z .

Applying b. c.

$$\mathcal{G}(x) = 0, \quad (57)$$

$$\left(\frac{\mathcal{F}}{fv'_1 + f^2} \right)' = 1 + \frac{1}{2} \left(\frac{g\theta'_1/\theta_r}{fv'_1 + f^2} \right)' \equiv 1 + \frac{1}{2} \mathcal{A}'(x). \quad (58)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Explicit form of stationary solutions :

$$X_s = x + \mathcal{A}(x) \left(\frac{1}{2} - Z \right), \quad \mathcal{A} = \frac{g\theta'_l/\theta_r}{fv'_l + f^2} \quad (59)$$

$$Z_s = \frac{1}{\mathcal{A}'(x)} \left[1 + \frac{1}{2}\mathcal{A}'(x) - \sqrt{\left(1 + \frac{1}{2}\mathcal{A}'(x)\right)^2 - 2z\mathcal{A}'(x)} \right], \quad (60)$$

This **mapping** $(x, z) \rightarrow (X_s, Z_s)$ can be **singular** \equiv not bijective, if $\exists(x, z) : \frac{\partial X_s}{\partial x} = 0$.

Singularity appears at the boundaries \Rightarrow criterion :

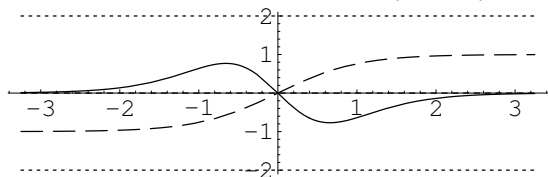
$1 \pm \frac{\mathcal{A}'}{2} = 0$, or :

$$\frac{g}{f\theta_r} \left(\frac{g\theta'_l/\theta_r}{f + v'_l} \right)' = \pm 2. \quad (61)$$

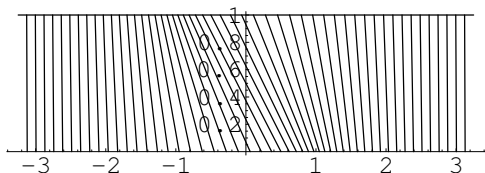
Illustrations : zero PV, localized anomaly of θ without initial jet $v_I \equiv 0$.

Initial configuration

Profiles of $\theta_I = \tanh(x)$ (dashed), of \mathcal{A}' (continuous), and discontinuity thresholds $\mathcal{A}' = \pm 2$ (dotted) :



Isentropes in the adjusted state



Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW
Fully nonlinear
geostrophic
adjustment
The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE
Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**
Frontogenesis at
constant PV

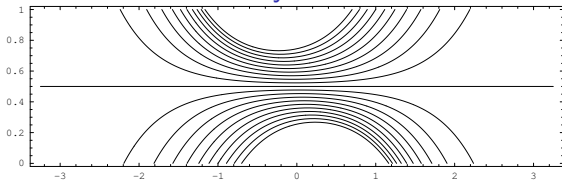
Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

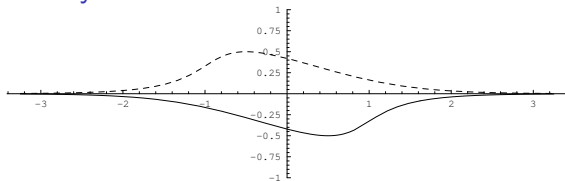
Adjusted state

V. Zeitlin

Isotachs of the velocity field



Velocity as a function of X on the vertical boundaries



Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**

Frontogenesis at
constant PV

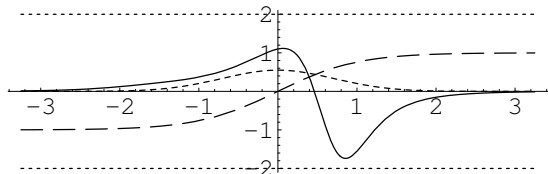
Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

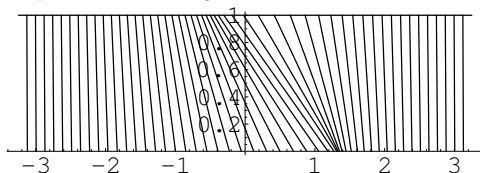
Illustrations : zero PV, localized anomaly of θ with an initial jet $v_I = 0.55e^{-x^2}$.

Initial configuration

Profiles of $\theta_I = \tanh(x)$ (dashed) and of \mathcal{A}' (continuous), and discontinuity thresholds $\mathcal{A}' = \pm 2$ (dotted) :



Isentropes in the adjusted state



Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW
Fully nonlinear
geostrophic
adjustment
The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE
Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**
Frontogenesis at
constant PV

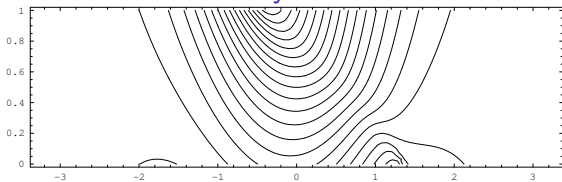
Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

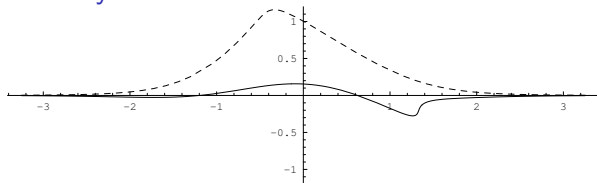
Adjusted state

V. Zeitlin

Isotachs of the velocity field



Velocity as a function of X at the vertical boundaries



Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**

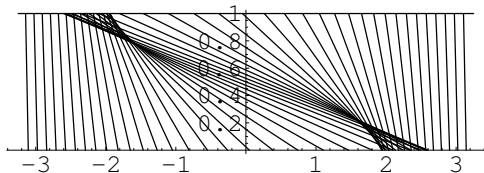
Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

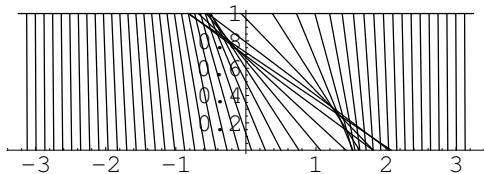
Conclusions

Beyond the singularity :

Configuration with $v_f = 0$, isentropes :



Configuration with $v_f \neq 0$, isentropes :



Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

**Frontogenesis at
zero PV**

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Initial conditions and equation for adjusted state

Initial configuration :

Flow in a **strip** : $-\infty \leq x \leq +\infty$, $0 \leq z \leq H$.

Horizontal anomaly of linear profile potential temperature, no v_I :

$$\theta_I = \frac{\theta_r}{g} (N^2 z + g\psi(x)), \quad v_I = 0 \quad N = \text{constant}. \quad (62)$$

Horizontal momentum equation :

$$-\frac{\partial(X, f^2 x)}{\partial(x, z)} + g\psi'(x) \frac{\partial Z}{\partial z} - N^2 \frac{\partial Z}{\partial x} = 0, \quad (63)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

Frontogenesis at
zero PV

**Frontogenesis at
constant PV**

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

New variables and equivalent Laplace equation

New variables : $(x, z) \rightarrow (x, Z)$. Momentum equation \rightarrow

$$-\frac{\partial(X, f^2x)}{\partial(x, Z)} + g\psi'(x) - N^2\frac{\partial z}{\partial x} = 0 \quad (64)$$

Incompressibility condition :

$$\frac{\partial(X, Z)}{\partial(x, z)} = 1 \rightarrow \frac{\partial X}{\partial x} - \frac{\partial z}{\partial Z} = 0. \quad (65)$$

Elimination of $z \rightarrow$ equation for X :

$$f^2\frac{\partial^2 X}{\partial^2 Z} + N^2\frac{\partial^2 X}{\partial^2 x} = 0, \quad \left. \frac{\partial X}{\partial Z} \right|_{Z=0, H} = \frac{g}{f^2}\psi'(x). \quad (66)$$

After rescaling - standard Laplace equation with Neumann boundary conditions in a unit strip.

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW

Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity : 2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state

Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

Use of the theory of complex variables

Neumann problem \leftrightarrow Dirichlet problem for conjugate analytic function.

Schwarz integral : general solution

$F(\zeta) = a(\zeta) + ib(\zeta)$, $\zeta = x + iZ$ of Dirichlet problem in a unit strip in the complex x, Z plane with b.c. $a = a_{0,1}$ at $Z = (0, 1)$

$$F(\zeta) = \frac{i}{2} \int_{-\infty}^{+\infty} dt a_1(t) \tanh \frac{\pi(t - \zeta)}{2} - \frac{i}{2} \int_{-\infty}^{+\infty} dt a_0(t) \coth \frac{\pi(t - \zeta)}{2}.$$

Our case : $a_0 = a_1 = \psi(x) \Rightarrow$

$$F(\zeta) = -i \int_{-\infty}^{+\infty} dt \frac{\psi(t)}{\sinh \pi(t - \zeta)}. \quad (67)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

**Frontogenesis at
constant PV**

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Solution of the adjustment problem

$$X(x, Z) = x + \int_x^{+\infty} dt \left(1 + \frac{\partial a}{\partial Z} \right), \quad (68)$$

where

$$a(x, Z) = \mathcal{R}[F(\zeta)] = \int_{-\infty}^{+\infty} dt \psi(t) \frac{\cosh \pi(t-x) \sin \pi Z}{\sinh^2 \pi(t-x) + \sin^2 \pi Z}. \quad (69)$$

Singularity : $\exists(x, Z) : \frac{\partial X}{\partial x} \leq 0$. Appears at strong enough amplitudes of density perturbation $|\psi(x)|$ **at the boundaries**.

Example : $\psi(x) = a \tanh(\pi x)$

$$X(x, Z) = x + a \frac{\cos(\pi Z)}{\cosh(\pi x) + \sin(\pi Z)} \rightarrow$$

Singularity at $z = Z = 0$ if $a \geq 2$.

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW

Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity : 2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state

Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

$$\frac{du}{dt} - v \left(f + \frac{v}{r} \right) = -\partial_r \phi, \quad (70a)$$

$$\frac{dv}{dt} + u \left(f + \frac{v}{r} \right) = 0, \quad (70b)$$

$$\frac{db}{dt} = 0, \quad \partial_z \phi = b, \quad (70c)$$

$$\frac{1}{r} \partial_r (r u) + \partial_z w = 0, \quad (70d)$$

where $\frac{d}{dt} = \partial_t + u \partial_r + w \partial_z$.

Stationary solution : **cyclo-geostrophic equilibrium** :

$$v \left(f + \frac{v}{r} \right) = \partial_r \phi, \quad b = \partial_z \phi. \quad (71)$$

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW
Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Lagrangian picture

Lagrangian mapping : $(r, z) \mapsto (R(r, z), Z(r, z))$, $u = \dot{R}$.

Advection of buoyancy : $\dot{b}(R, Z) = 0 \Rightarrow b(R, Z) = b_I(r, z)$

From (71b)

$$\frac{d}{dt} \left(rv + f \frac{r^2}{2} \right) = 0 \Rightarrow Rv + f \frac{R^2}{2} = rv_I + f \frac{r^2}{2} \equiv M,$$

where $(\dots)_I$ - initial values. M - **angular momentum** \rightarrow

$$v = \frac{1}{R} \left(M - f \frac{R^2}{2} \right). \quad (72)$$

Incompressibility $\leftrightarrow R dR dZ = r dr dz \leftrightarrow \frac{\partial(R^2, Z)}{\partial(r^2, z)} = 1$

Lagrangian equations :

$$\ddot{R} + \frac{f^2}{4} R - \frac{M^2}{R^3} + \partial_R \phi, \quad (73a)$$

$$\partial_Z \phi = b, \quad \frac{\partial(R^2, Z)}{\partial(r^2, z)} = 1. \quad (73b)$$

Equation for adjusted state

Elimination of ϕ by cross-differentiation :

$$\frac{\partial \left(R, \ddot{R} - \frac{M^2}{R^3} \right)}{\partial (R, Z)} - \frac{\partial (b, Z)}{\partial (R, Z)} = 0, \quad (74a)$$

$$\frac{R \partial (R, Z)}{r \partial (r, z)} = 1. \quad (74b)$$

Stationary solution \leftrightarrow adjusted state resulting from evolution of initial state with M_I, b_I :

$$-\frac{1}{R^3} \frac{\partial (R, M_I^2(r, z))}{\partial (r, z)} + \frac{\partial (b_I(r, z), Z)}{\partial (r, z)}, \quad \frac{R \partial (R, Z)}{r \partial (r, z)} = 1. \quad (75)$$

Geostrophic adjustment in 1.5D RSW, and the first idea of frontogenesis

1.5D RSW
Lagrangian 1.5D RSW

Fully nonlinear geostrophic adjustment

The role of baroclinicity :
2-layer RSW

Fronts and frontogenesis in the PE model

2.5D PE

Existence and uniqueness of adjusted state
Lagrangian 2.5D EP

Frontogenesis at zero PV

Frontogenesis at constant PV

Cyclogenesis, a catastrophic cyclo-geostrophic adjustment

Conclusions

Barotropic initial state

z - independent initial configuration $b_I(r)$, $M_I(r) \Rightarrow$

$$R^{-3} \partial_z R \partial_r M_I^2 + \partial_r b_I \partial_z Z = 0 \Rightarrow \quad (76)$$

Integrating in z allows to get an expression for R :

$$R^2 = A_I (Z - \mathcal{H}(r))^{-1}, \quad (77)$$

where \mathcal{H} is arbitrary function (“integration constant” divided by b'_I), to be determined from b.c., prime denotes r differentiation, and we introduced $A_I(r) := \frac{M_I M'_I}{b'_I}$

Injection into (75b) and integration once more in $z \rightarrow$

$$\frac{1}{2r} (A'_I \log(Z - \mathcal{H}) - A_I \mathcal{H}' (Z - \mathcal{H})^{-1}) = z + \mathcal{G}(r), \quad (78)$$

where $\mathcal{G}(r)$ - another arbitrary function to be determined.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

 Lagrangian 1.5D
RSW

 Fully nonlinear
geostrophic
adjustment

 The role of
baroclinicity :
2-layer RSW

 Fronts and
frontogenesis in
the PE model

2.5D PE

 Existence and
uniqueness of
adjusted state
Lagrangian 2.5D
EP

 Frontogenesis at
zero PV

 Frontogenesis at
constant PV

 Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment

Conclusions

Using boundary conditions

Vertical boundary conditions :

1) $Z|_{z=0} = 0$, 2) $Z|_{z=H} = H \Rightarrow$

1. Expression of \mathcal{G} via $\mathcal{H}(r)$

$$\frac{1}{2r} (A'_l \log(-\mathcal{H}) + A_l \mathcal{H}' \mathcal{H}^{-1}) = \mathcal{G}(r). \quad (79)$$

2. Equation for \mathcal{H}

$$\frac{1}{2r} \left(A'_l \log(H - \mathcal{H}) - A_l \frac{\mathcal{H}'}{H - \mathcal{H}} \right) = H + \mathcal{G}(r), \quad (80)$$

where expression for \mathcal{F} (81) to be injected

Using b.c. $R(\infty, z) = r$

$$\mathcal{H} = H \left[1 - \exp\left(\frac{H}{A_l} r^2\right) \right]^{-1} \Rightarrow \quad (81)$$

z as a function of (r, Z) from (79) :

$$z = \frac{1}{2r} \left(A'_l(r) \log\left(1 - \frac{Z}{\mathcal{H}(r)}\right) + A_l(r) \frac{\mathcal{H}'(r)}{\mathcal{H}(r)} \frac{\frac{Z}{\mathcal{H}(r)}}{1 - \frac{Z}{\mathcal{H}(r)}} \right). \quad (82)$$

Simple example of cyclogenesis

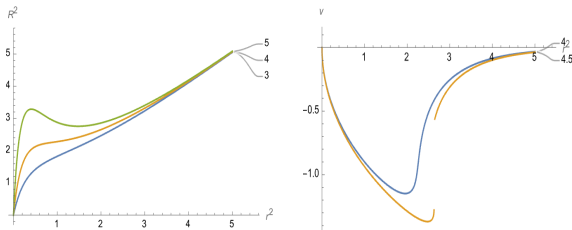
Scaling : $z \sim H, r \sim R_d = \frac{gH}{f^2}, b \sim g$. Initial motionless state with Gaussian distribution of (non-dimensional) buoyancy :

$$v_I = 0, b_I = B e^{-r^2/4}.$$

At the **upper boundary** (78) :

$$R^2|_{Z=1} = (r^2 \exp(r^2/B) (\exp(B \exp(-r^2)) - 1)) \quad (83)$$

becomes **non-monotonous** $\leftrightarrow \exists r : \partial R / \partial r = 0$ at $B \geq B_{cr} \approx 4.3$.



R^2 (left) and v (right) as functions of r^2 at $z = Z = H$.

Conclusions

- ▶ Geostrophic adjustment in the absence of dissipation can lead to **singularity** in Lagrangian mapping
- ▶ Singularity manifests itself as formation of sharp gradients of buoyancy and velocity \Leftrightarrow **fronto- or cyclo-genesis**
- ▶ Sufficient condition of the absence of singularity in the unbounded domain : **positiveness of potential vorticity (PV)**
- ▶ Absence of criteria in the presence of **boundaries**
- ▶ Zero-PV configurations allow for analytic solutions of the adjustment problem and direct proof of singularity formation.

Geostrophic
adjustment in
1.5D RSW, and
the first idea of
frontogenesis

1.5D RSW

Lagrangian 1.5D
RSW

Fully nonlinear
geostrophic
adjustment

The role of
baroclinicity :
2-layer RSW

Fronts and
frontogenesis in
the PE model

2.5D PE

Existence and
uniqueness of
adjusted state

Lagrangian 2.5D
EP

Frontogenesis at
zero PV

Frontogenesis at
constant PV

Cyclogenesis, a
catastrophic
cyclo-geostrophic
adjustment